

Instantons and the Spin-Flavor Structure of Hadrons

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Quantumchromodynamics

Elementary fields:

Quarks

Gluons

$$(q_\alpha)_f^a \begin{cases} \text{color} & a = r, b, g \\ \text{spin} & \alpha = \uparrow, \downarrow \\ \text{flavor} & f = u, d, s, c, b, t \end{cases}$$

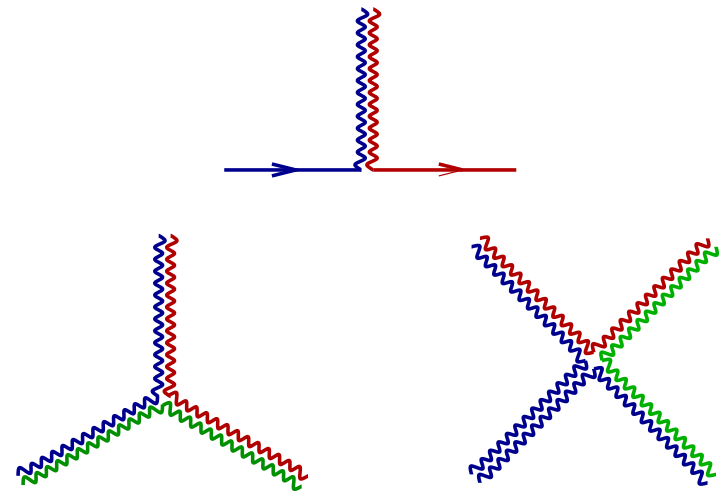
$$A_\mu^a \begin{cases} \text{color} & a = 1, \dots, 8 \\ \text{spin} & \epsilon_\mu^\pm \end{cases}$$

Dynamics: Dirac + generalized Maxwell theory (Yang-Mills theory)

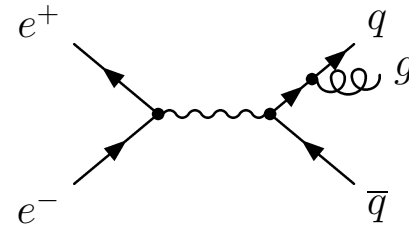
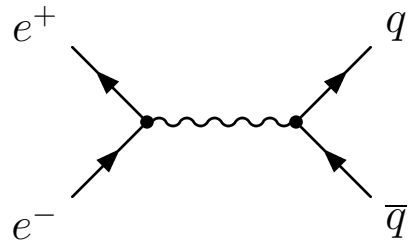
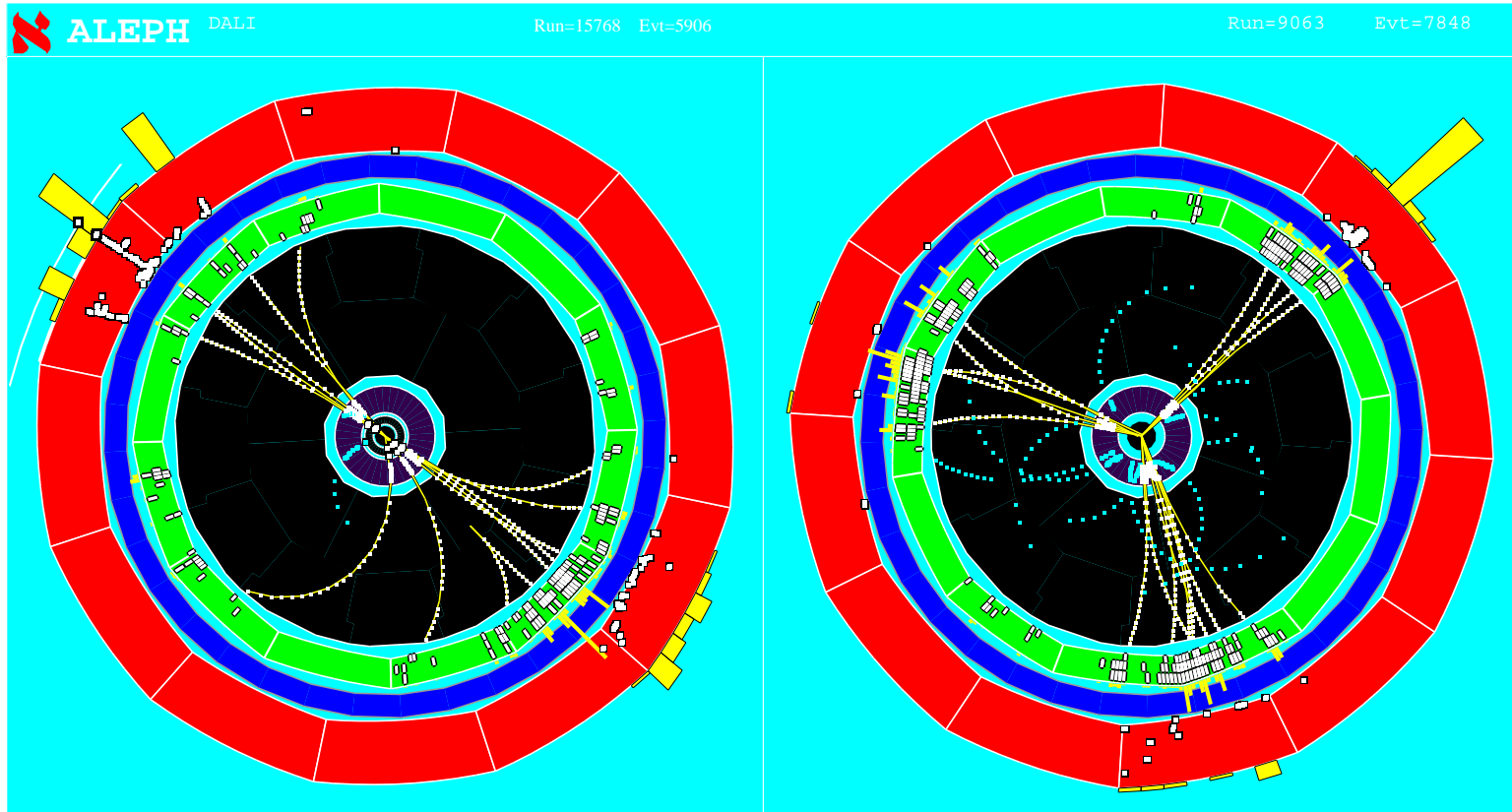
$$\mathcal{L} = \bar{q}_f (i\not{D} - m_f) q_f - \frac{1}{4} G_{\mu\nu}^a G_{\mu\nu}^a$$

$$G_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c$$

$$i\not{D}q = \gamma^\mu (i\partial_\mu + g A_\mu^a t^a) q$$



“Seeing” Quarks and Gluons

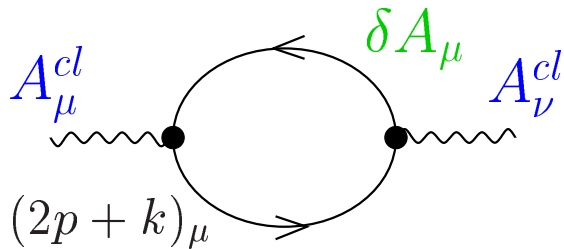


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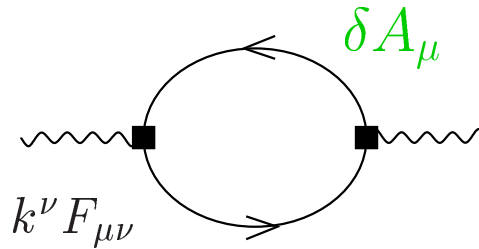
Asymptotic Freedom

Classical field $A_0^{cl} \sim g/r$. Modification due to quantum fluctuations:

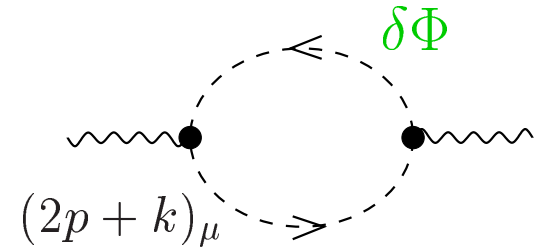
$$A_\mu = A_\mu^{cl} + \delta A_\mu \qquad g \rightarrow g(\mu) \qquad \beta(g) = \frac{\partial g}{\partial \log(\mu)}$$



dielectric $\epsilon > 1$



paramagnetic $\mu > 1$

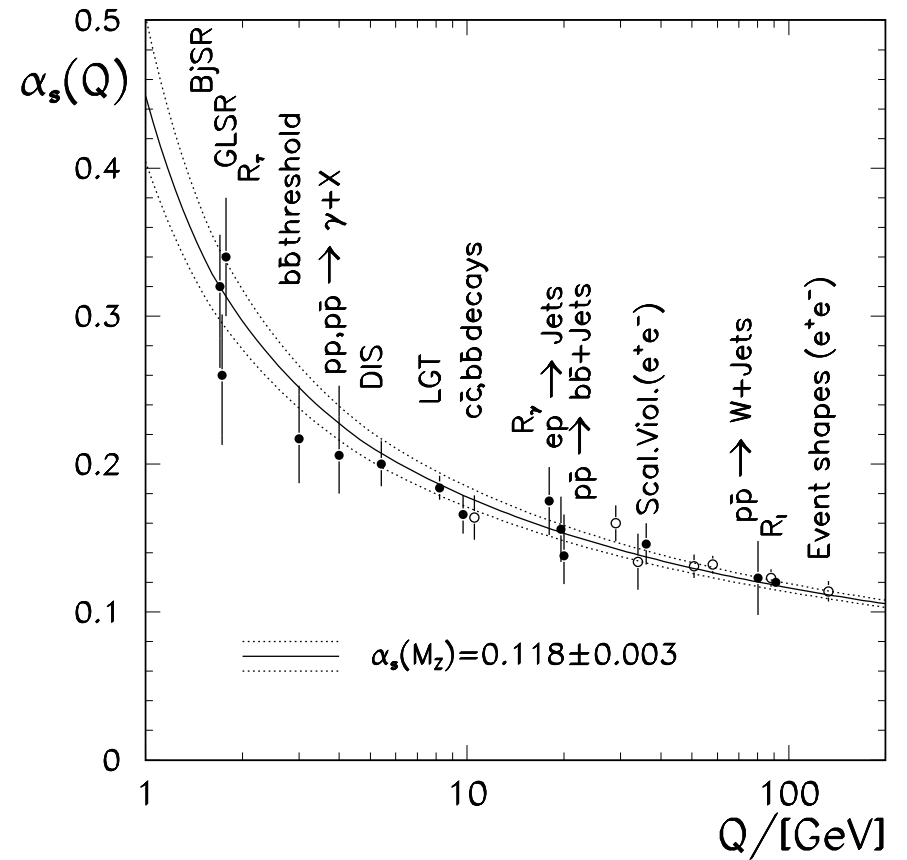
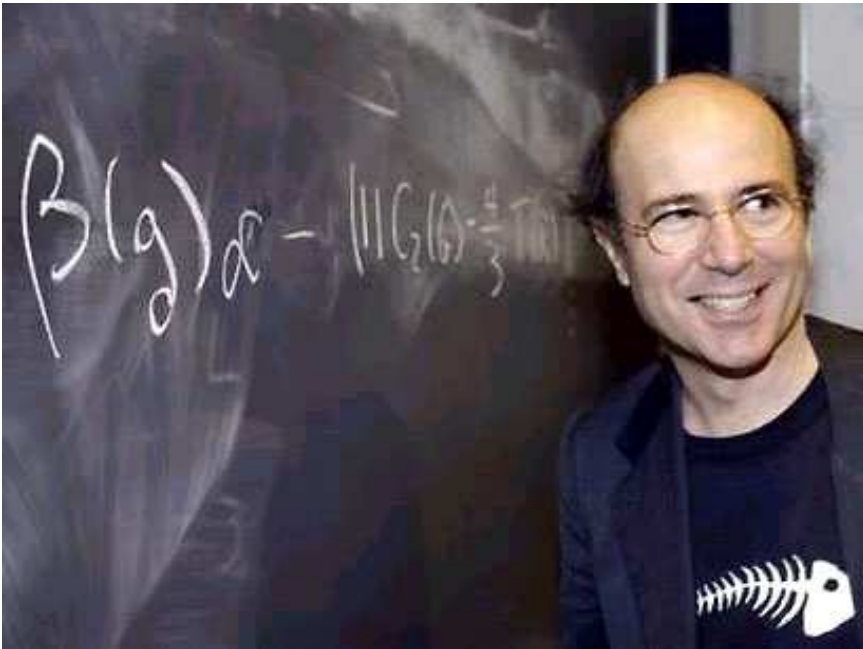


dielectric $\epsilon > 1$

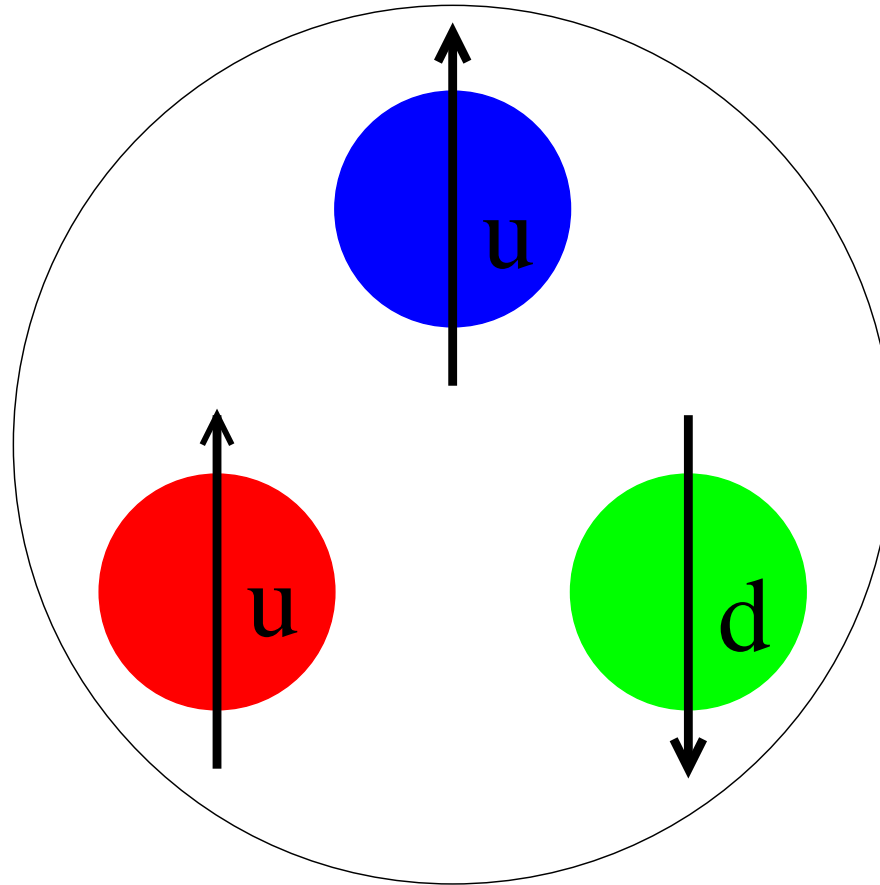
$$\mu\epsilon = 1 \Rightarrow \epsilon < 1$$

$$\beta(g) = \frac{g^3}{(4\pi)^2} \left\{ \left[\frac{1}{3} - 4 \right] N_c + \frac{2}{3} N_f \right\}$$

Running Coupling Constant



What is a proton?



Why does this picture “work”?

Large N_c limit (?)

Where does it fail? Why?

OZI violation, flavor mixing

The Structure of the Proton

The mass of the Proton (from DIS, trace anomaly)

$$\begin{aligned} E_q &= \langle p | \int d^3x (-i\vec{\alpha} \cdot \vec{D}) | p \rangle && \simeq 310 \text{ MeV} \\ E_g &= \langle p | \int d^3x \frac{1}{2} (E^2 + B^2) | p \rangle + \dots && \simeq 545 \text{ MeV} \\ E_m &= \langle p | \int d^3x (m_u \bar{u}u + m_d \bar{d}d) | p \rangle && \simeq 45 \text{ MeV} \end{aligned}$$

Gluon field strength is large

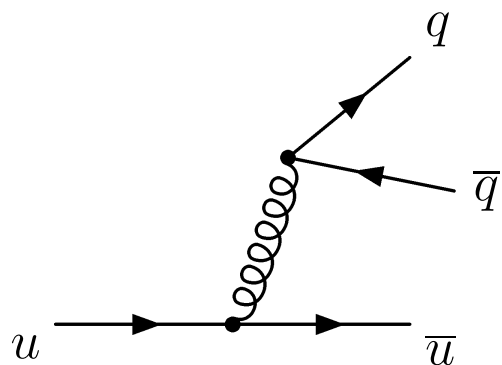
$$\langle p | E^2 | p \rangle \simeq 1700 \text{ MeV} \quad \langle p | B^2 | p \rangle \simeq -1050 \text{ MeV}$$

and approximately self-dual

number of quark-anti-quark pairs is large

$$\langle p | \bar{u}u + \bar{d}d | p \rangle = \frac{\Sigma_{\pi N}}{\bar{m}} \simeq 6$$

... and not flavor symmetric



$$\frac{\bar{d}(x)}{\bar{u}(x)} \simeq 2 \quad (\text{NuSea, ...})$$

quark contribution to proton spin is small

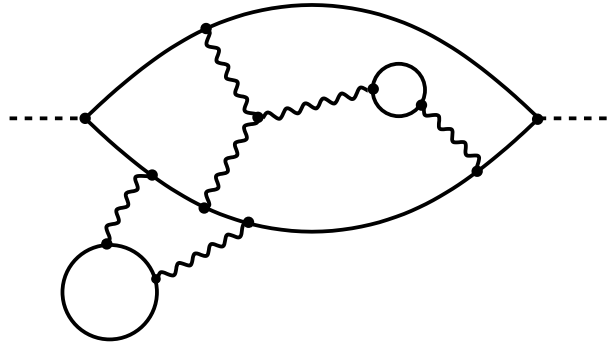
$$\Delta\Sigma = \Delta u + \Delta d + \Delta s = (0.25 \pm 0.1) \quad (\text{SMC, SLAC, Hermes})$$

$$\langle p | \bar{q} \gamma_{\mu} \gamma_5 q | p \rangle = \Delta q s_{\mu}$$

... and strange quarks are polarized $\Delta s = -0.12$

Hadronic Correlation Functions

hadronic current $j_M(x) = \bar{q}(x)\Gamma q(x)$



$$\Pi(x) = \langle j(x)j(0) \rangle$$

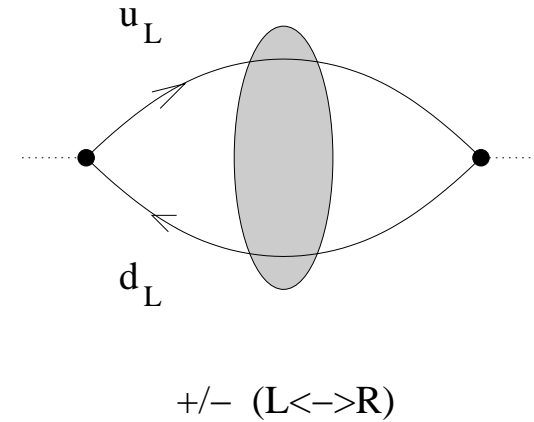
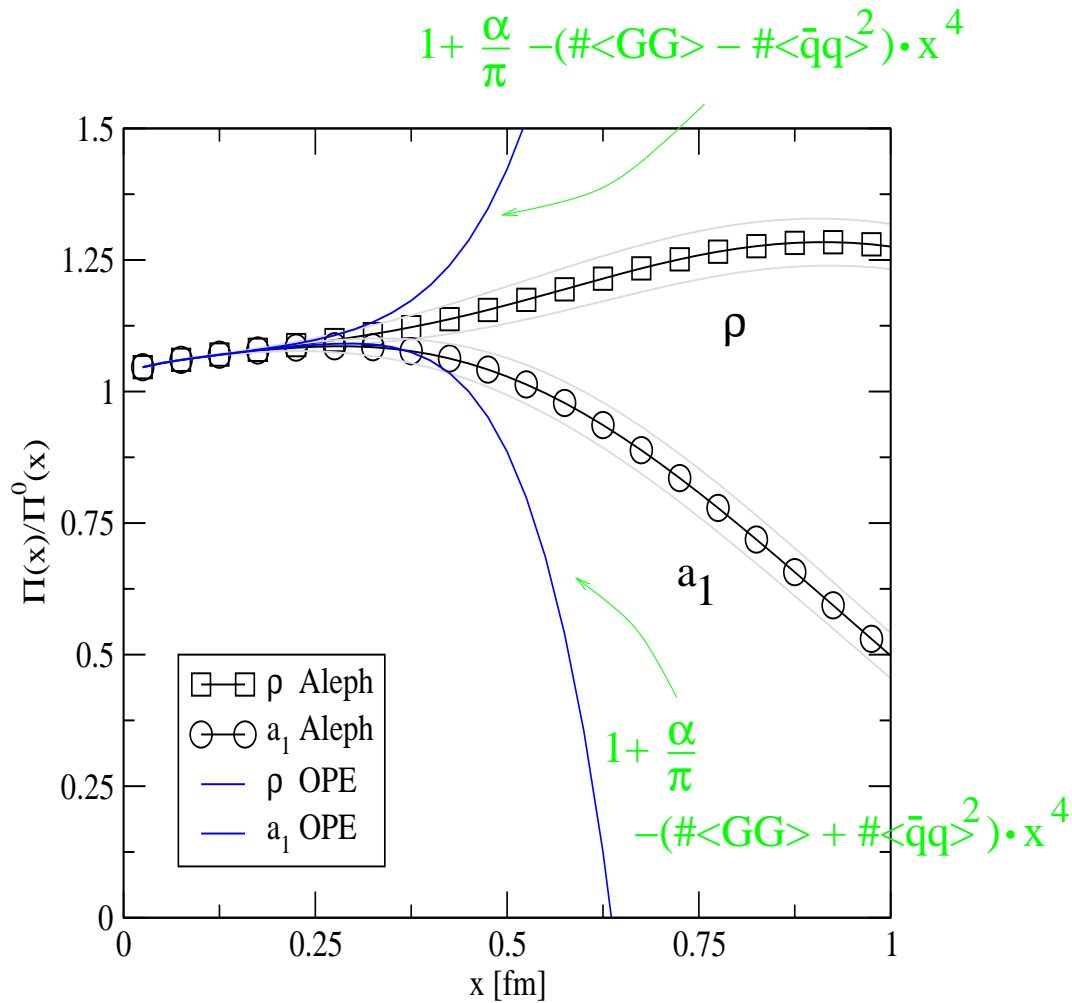
short distance behavior: OPE

$$\Pi(Q) = c_0 \log(Q^2) + c_4 \frac{\langle \mathcal{O}_4 \rangle}{Q^4} + c_6 \frac{\langle \mathcal{O}_6 \rangle}{Q^6} + \dots$$

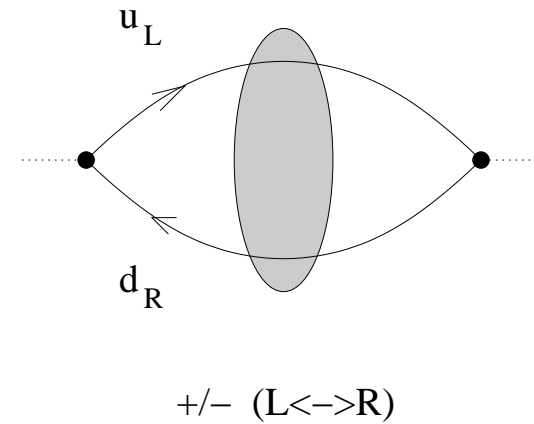
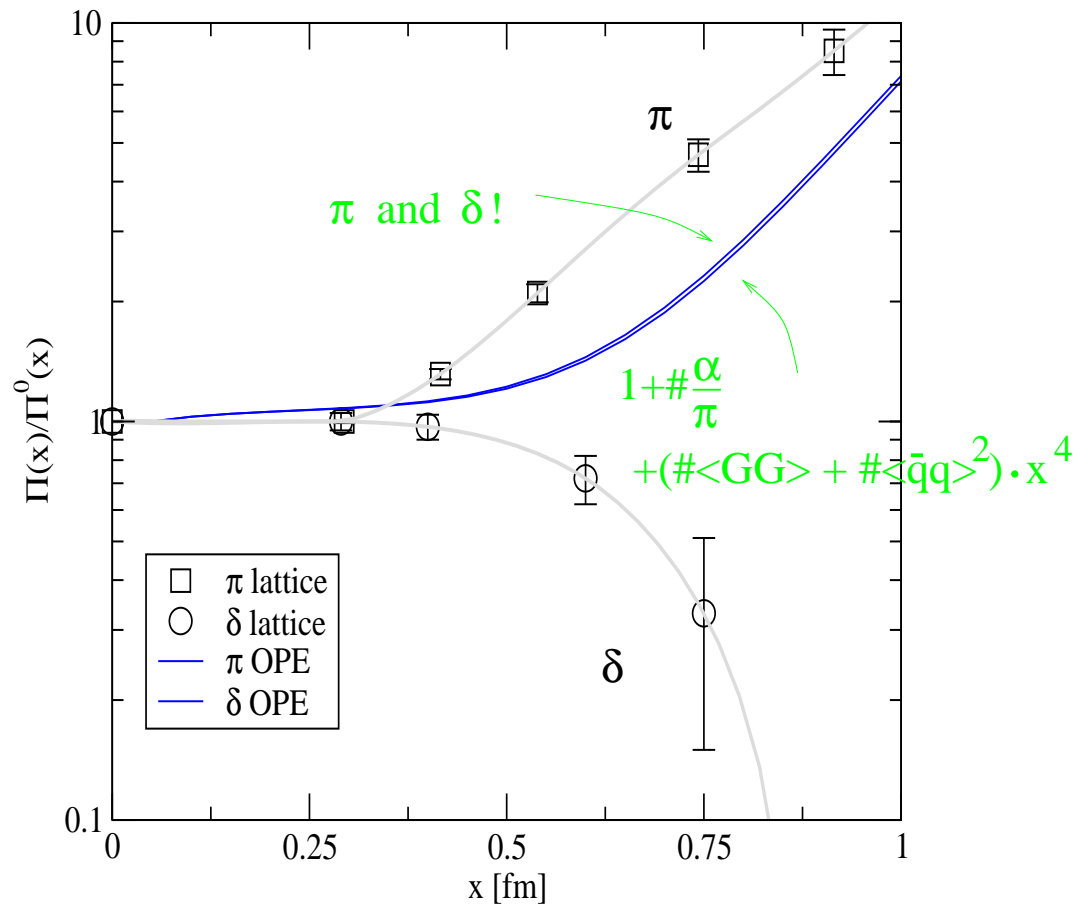
experimental information

$$\Pi(Q) = \int ds \frac{\rho(s)}{s + Q^2}$$

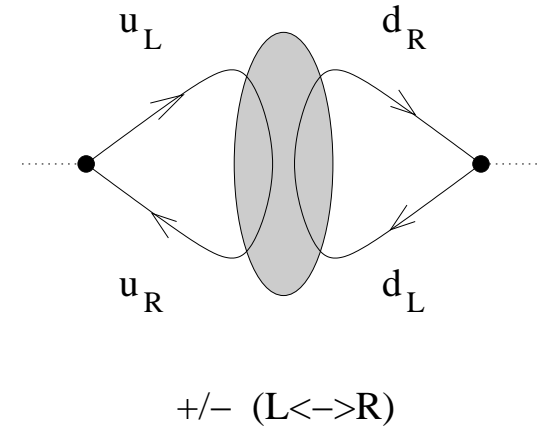
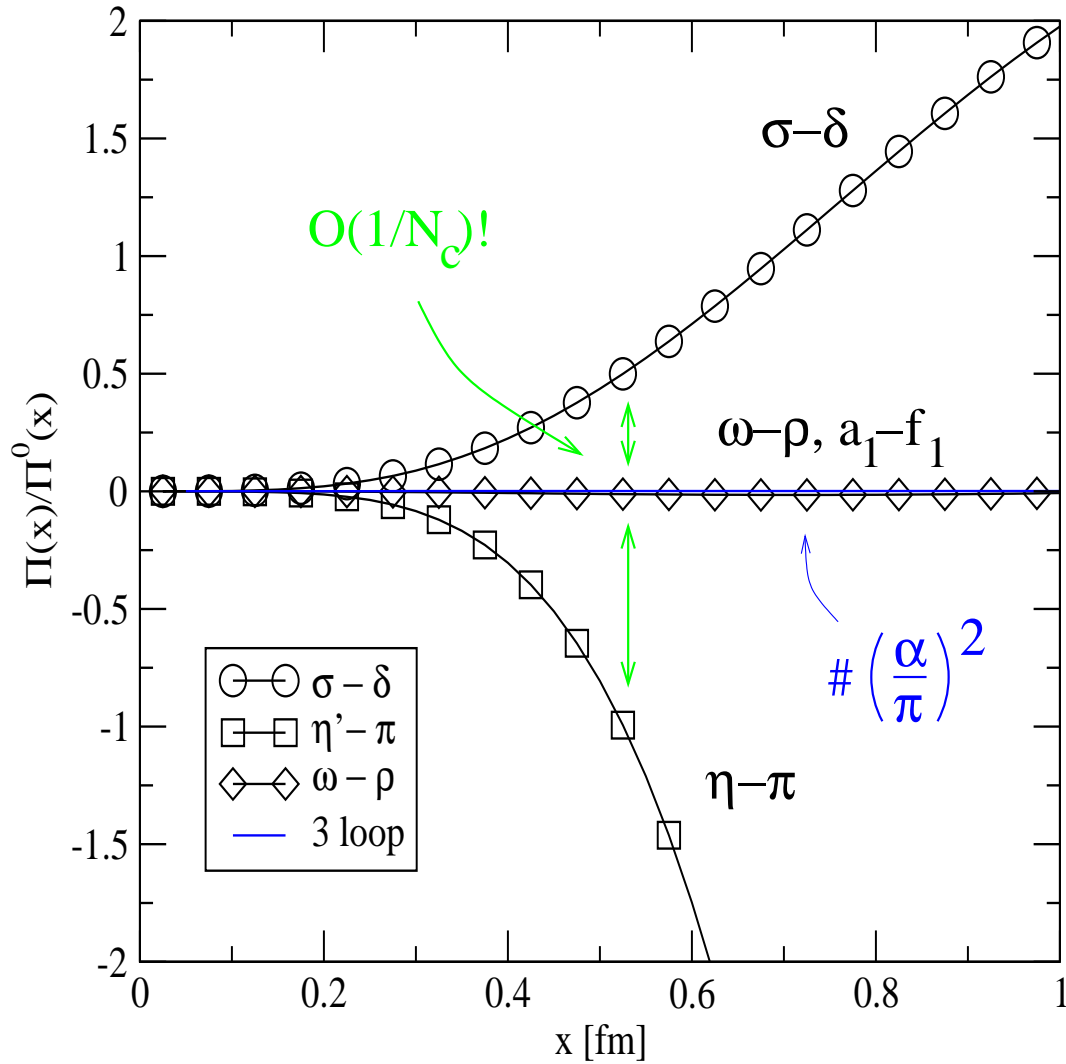
Vector Channels: ρ and a_1



Scalar Channels: π and δ



OZI violation: $\eta' - \pi, \sigma - \delta, \omega - \rho, a_1 - f_1$



Summary

Only small effects in $(\bar{L}L \pm \bar{R}R)^2$.

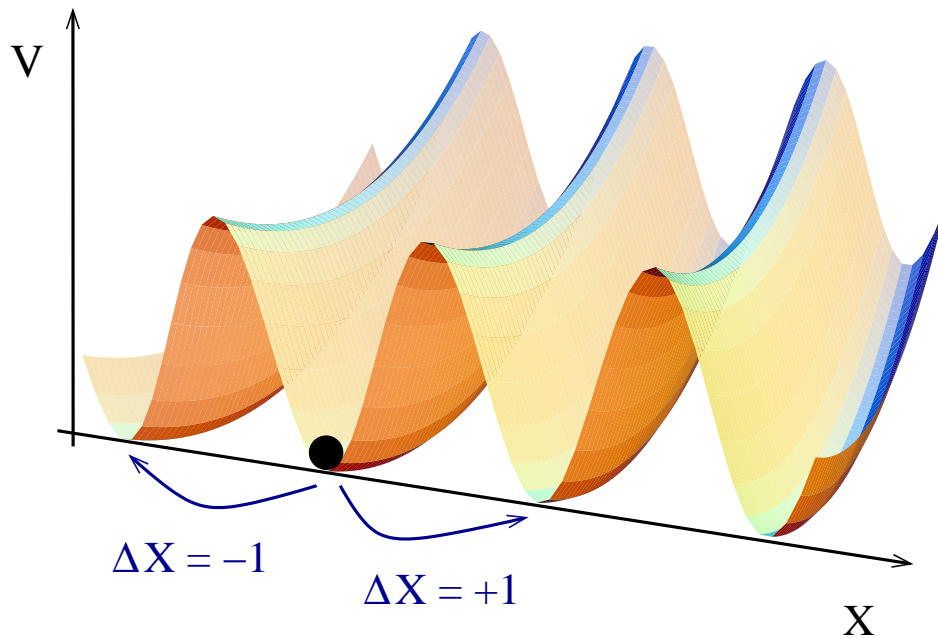
Sign changes for $\bar{L}R \leftrightarrow \bar{R}L$.

Sign changes for $(\bar{u}d)(\bar{u}d) \leftrightarrow (\bar{u}u)(\bar{d}d)$.

$$\mathcal{L} = G \det_f(\bar{\psi}_L \psi_R) + (L \leftrightarrow R)$$

Topology in QCD

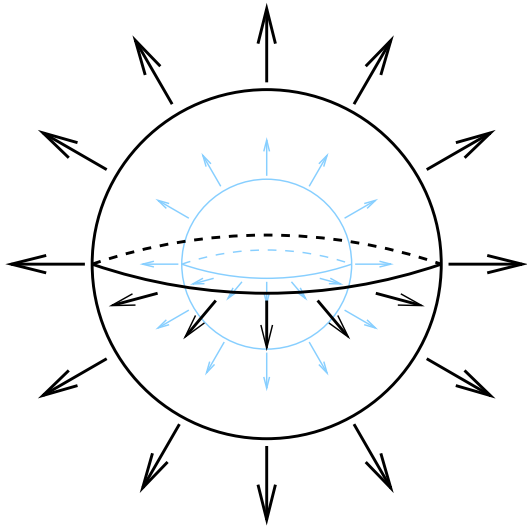
classical potential is periodic in variable X



$$X = \int d^3x K_0(x, t)$$

$$\partial^\mu K_\mu = \frac{1}{32\pi^2} G_{\mu\nu}^a \tilde{G}_{\mu\nu}^a$$

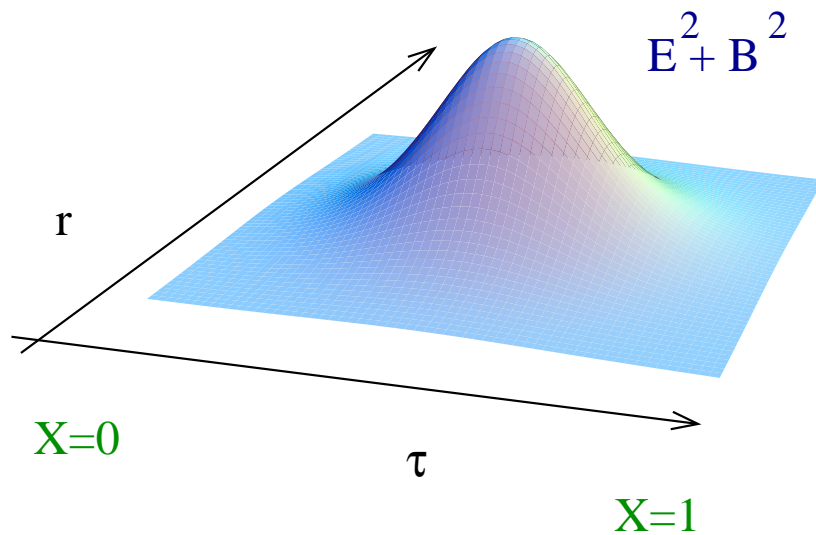
classical minima correspond to pure gauge configurations



$$A_i(x) = iU^\dagger(x)\partial_i U(x)$$

$$E^2 = B^2 = 0$$

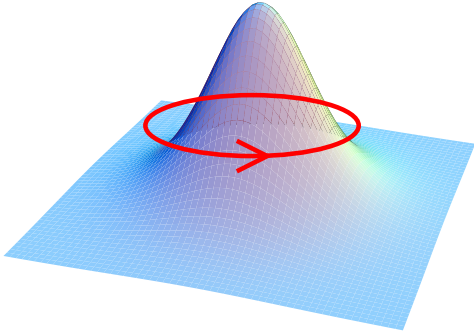
semi-classical tunneling paths: Instantons



$$A_\mu^a(x) = 2 \frac{\eta_{a\mu\nu} x_\nu}{x^2 + \rho^2},$$

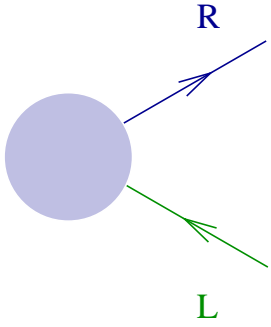
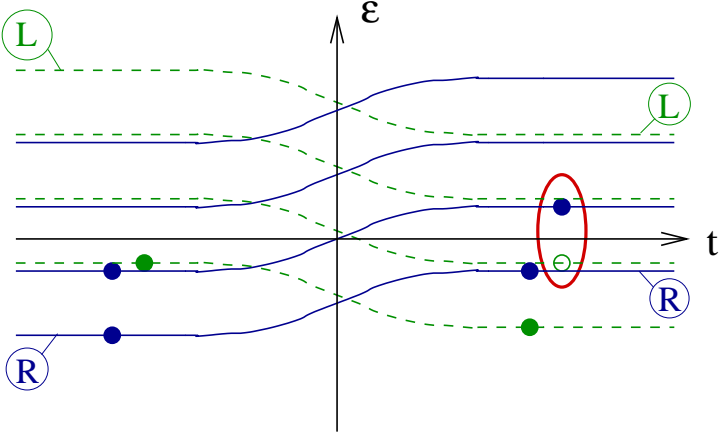
$$G_{\mu\nu}^a \tilde{G}_{\mu\nu}^a = \frac{192\rho^4}{(x^2 + \rho^2)^4}.$$

(Anti)Instantons: Dirac operator has a L/R zero mode.



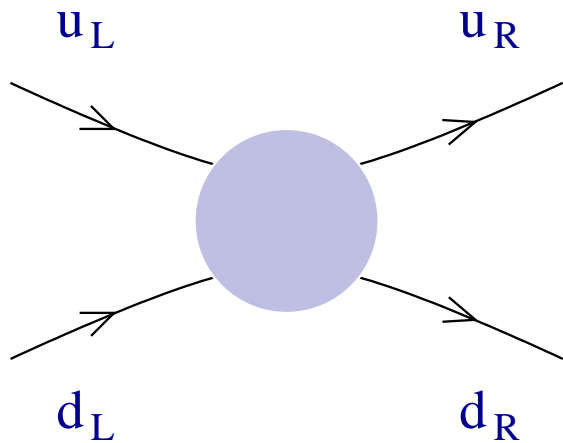
$$\gamma \cdot (\partial + A_{I,A}) \psi_{L,R}^0 = 0$$

spectrum of Hamiltonian



axial charge violation:
 $\Delta Q_A = 2$

instanton induced quark interaction ($N_f = 2$)



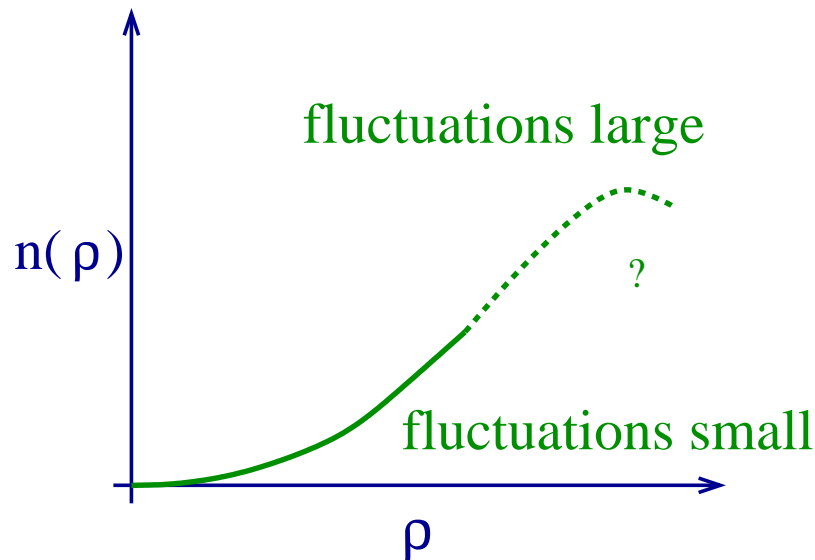
$$\mathcal{L} = G \det_f(\bar{\psi}_{L,f} \psi_{R,g})$$

$$G = \int d\rho n(\rho)$$

violates $U(1)_A$ but
preserves $SU(2)_{L,R}$

... and contributes to
the η' mass

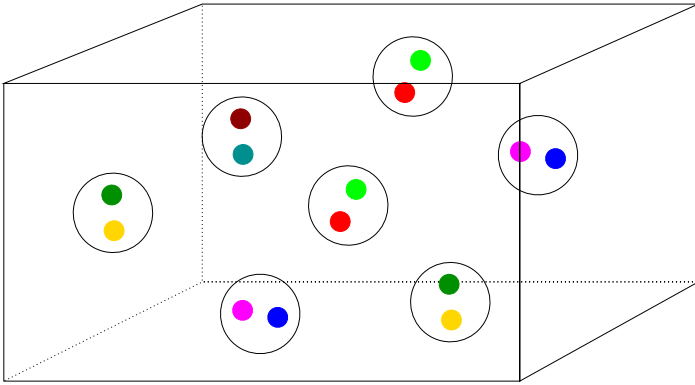
tunneling rate (barrier penetration factor)



$$n(\rho) \sim \exp\left[-\frac{8\pi^2}{g^2(\rho)}\right] \sim \rho^{b-5}$$

Instanton Ensemble

instanton liquid described by partition function

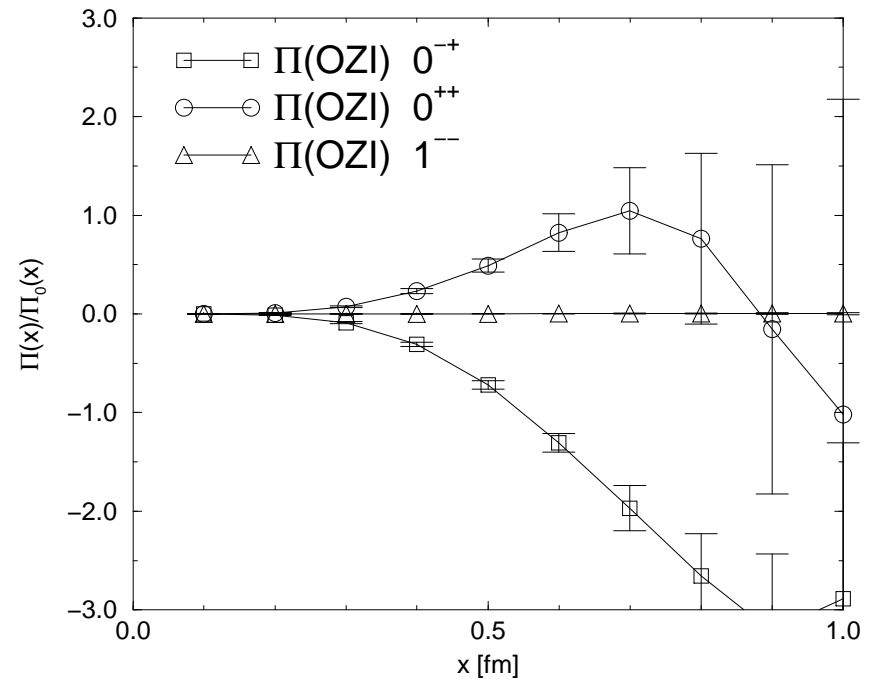
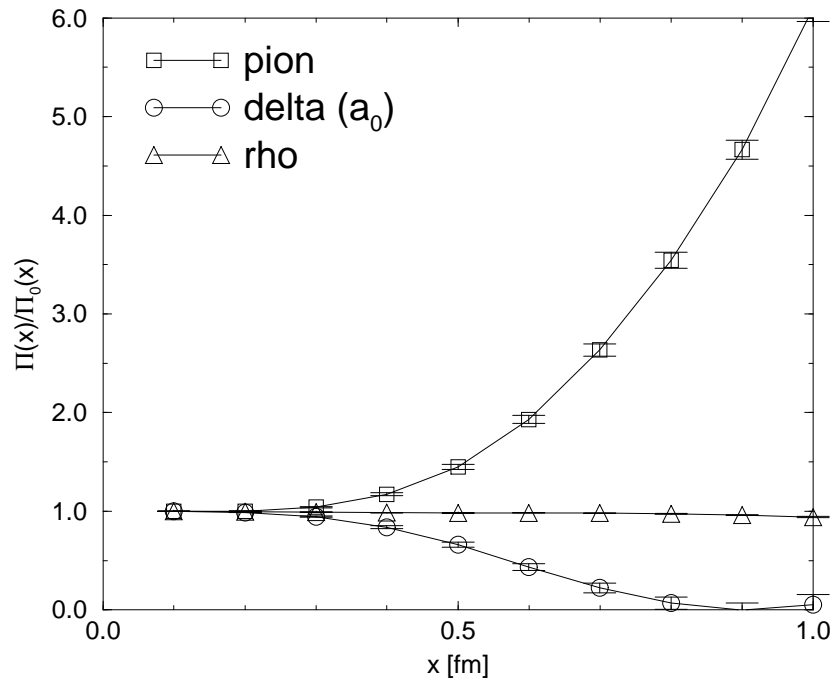


$$Z = \frac{1}{N_I! N_A!} \prod_I^{N_I + N_A} \int [d\Omega_I n(\rho_I)] \\ \times \det(\not{D}) \exp(-S_{int})$$

quark propagator

$$S(x, y) = \sum_{IJ} \psi_I(x) \left(\frac{1}{T + im} \right)_{IJ} \psi_J^\dagger(y) + S_{NZM}(x, y)$$

Meson Correlation Functions



$$m_{\pi} = 140^* \text{ MeV} \quad (f_{\pi} = 71 \text{ MeV})$$

$$m_{\rho} = 795 \text{ MeV}$$

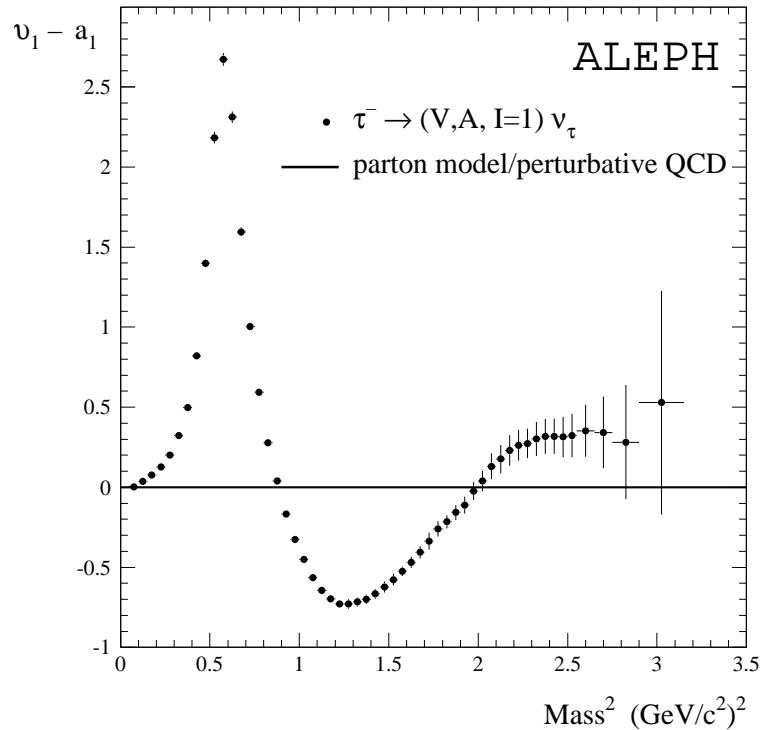
$$m_{a_0} \simeq 1 \text{ GeV}$$

$$m_{\rho} \simeq m_{\omega}$$

$$m_{\sigma} \simeq 580 \text{ MeV}$$

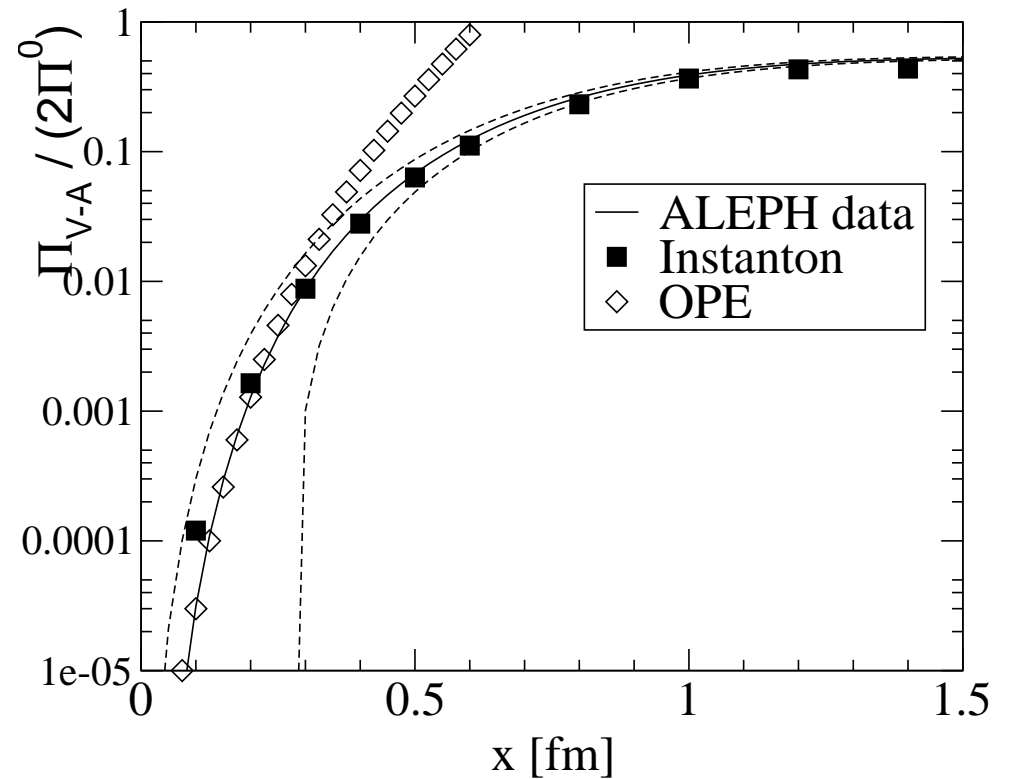
$$m_{\eta'} \simeq 1 \text{ GeV}$$

V–A Correlation Functions



Aleph spectral function

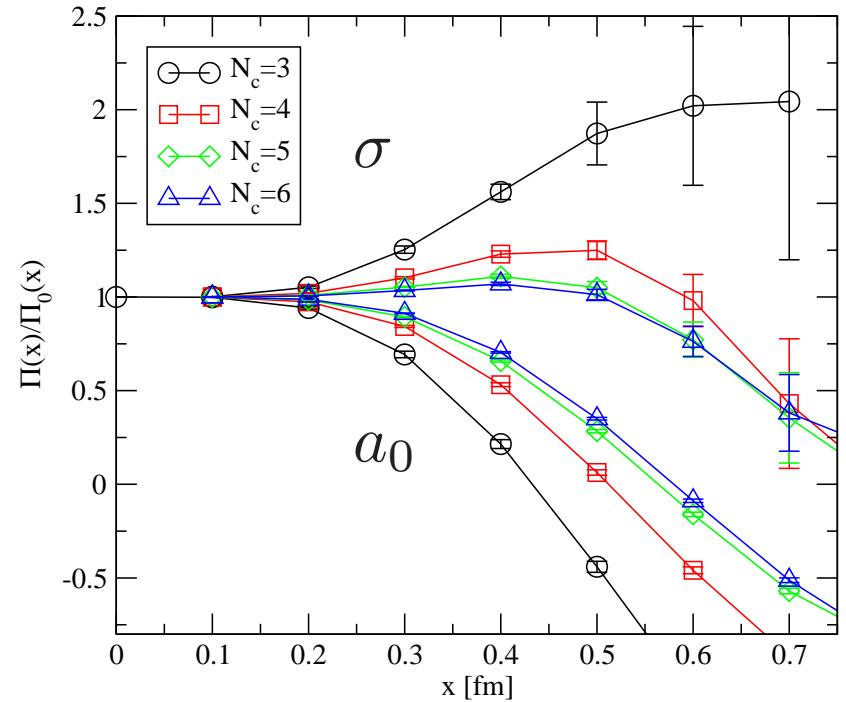
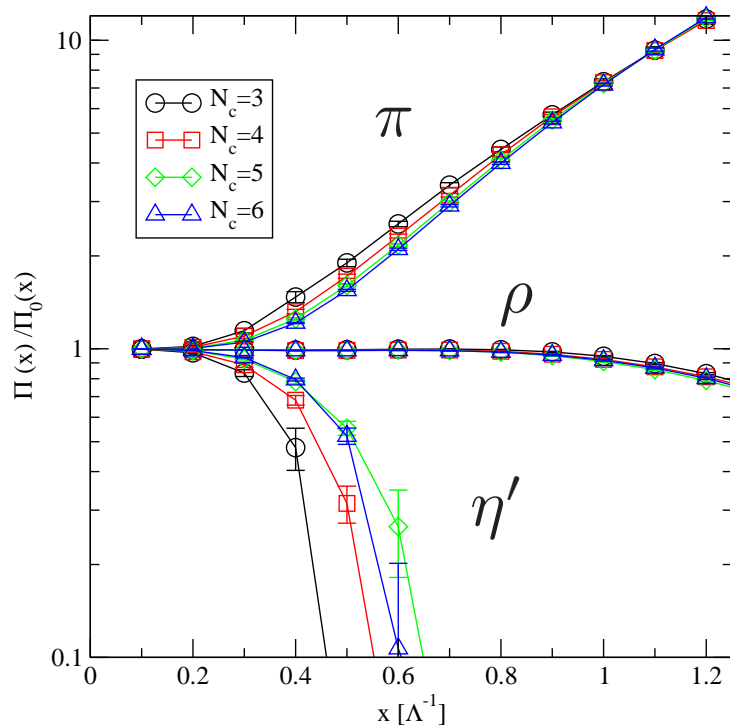
$$\tau \rightarrow (V, A, I=1) \nu_\tau$$



coordinate space correlator

OPE, instanton liquid, data

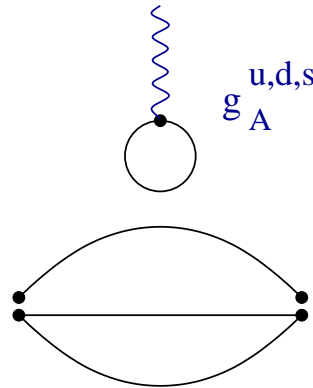
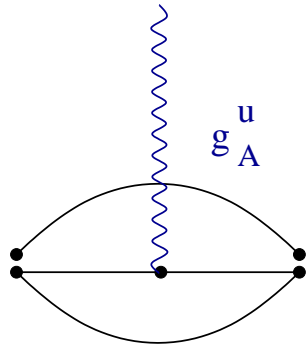
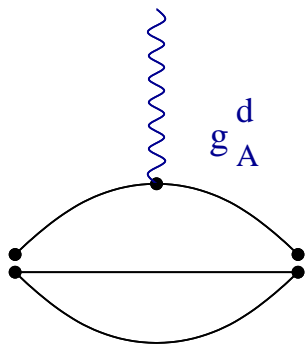
Large N_c : From extraordinary to ordinary hadrons



η' becomes light, light σ disappears
(quenching artifacts in a_0 disappear)

Quark Contribution to Nucleon Spin

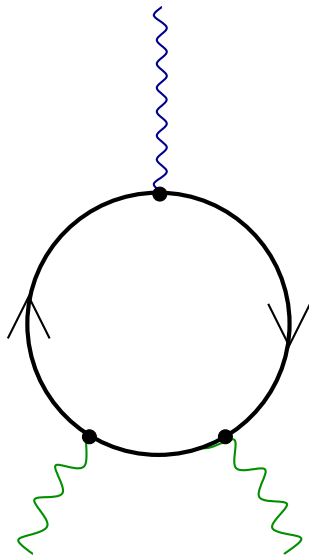
polarized DIS implies large OZI violation



$$g_A^0 = \Delta u + \Delta d + \Delta s \simeq 0.25$$

$$g_A^8 = \Delta u + \Delta d - 2\Delta s \simeq 0.65$$

related to axial anomaly and instantons?

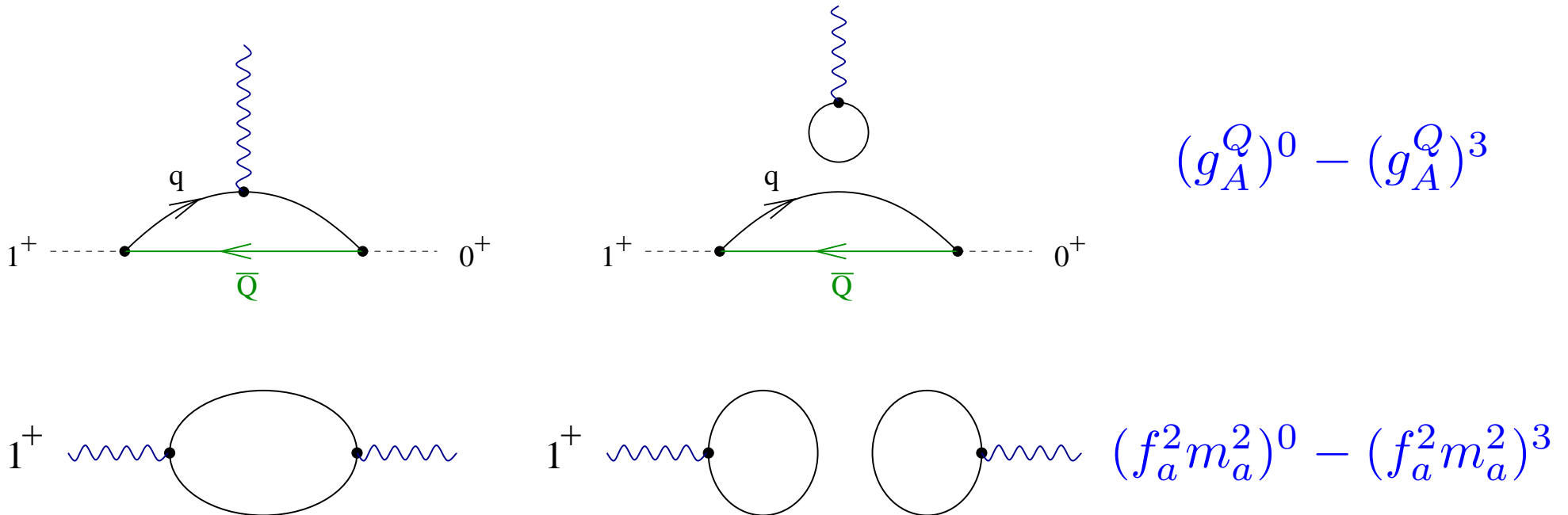


$$\partial^\mu A_\mu^0 = \frac{N_f g^2}{16\pi^2} G_{\mu\nu}^a \tilde{G}_{\mu\nu}^a$$

$$g_A^0 = \frac{N_f}{32\pi^2 m_N} \langle p | g^2 G_{\mu\nu}^a \tilde{G}_{\mu\nu}^a | p \rangle$$

OZI violation

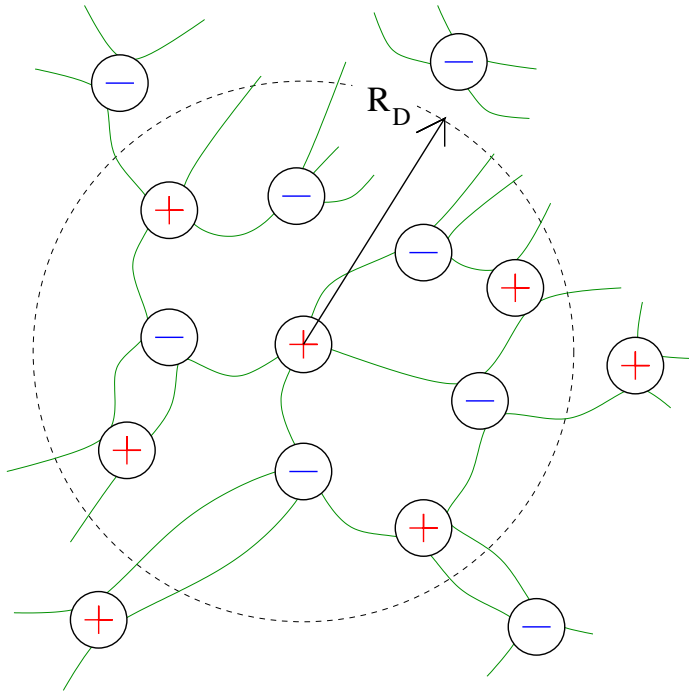
Suppression of g_A^0 property of the nucleon or of the QCD vacuum?



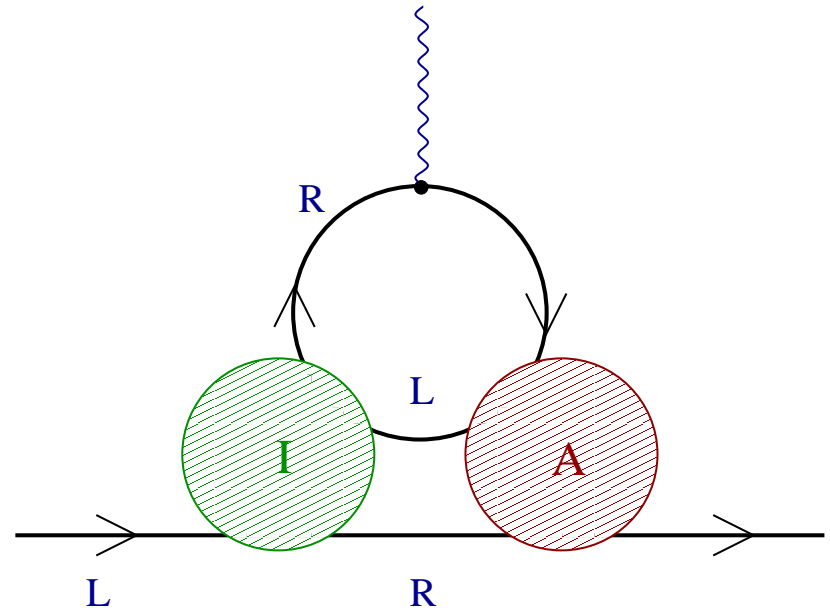
Study singlet correlators in $\bar{q}q$ and $\bar{Q}q$ (or QQq) channel

Vacuum Properties

Axial charge screening related to topological charge screening?



$$\chi_{top} = \frac{1}{V} \langle Q_{top}^2 \rangle = 0$$

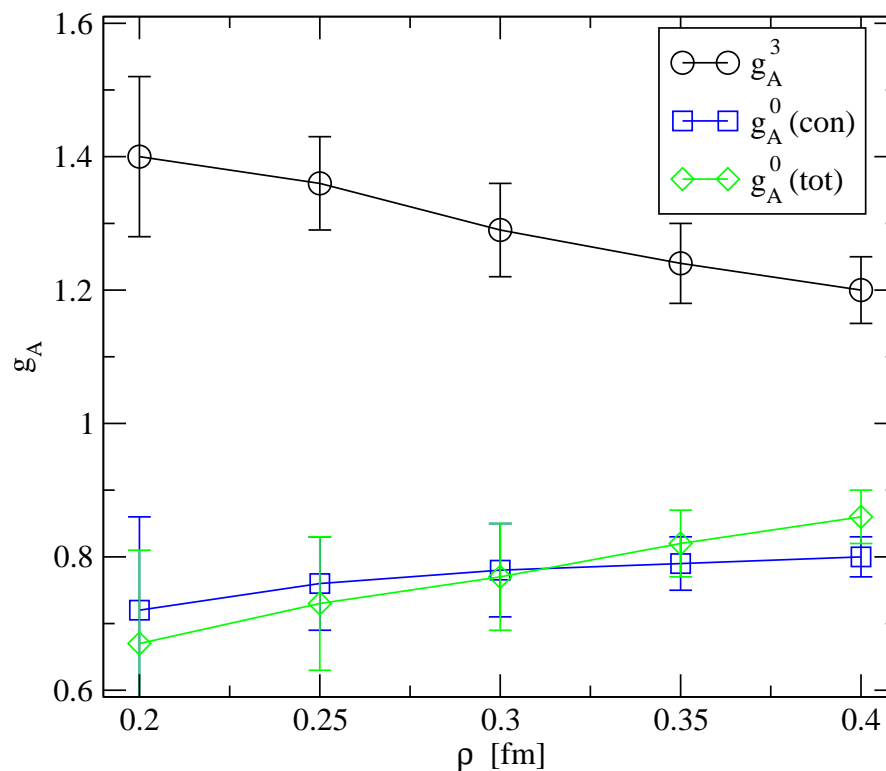


$$L \rightarrow R(\bar{L}R)$$

e.g. Veneziano and Shore $g_A^0 = g_A^8 \sqrt{\frac{6\chi'_{top}(0)}{f_\pi^2}}$ (target independent)

also: Shuryak and Forte, Dorokhov and Kochelev

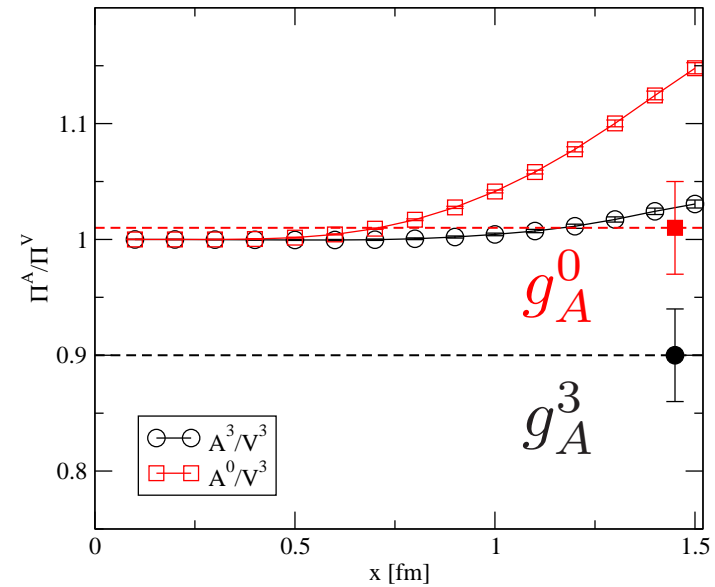
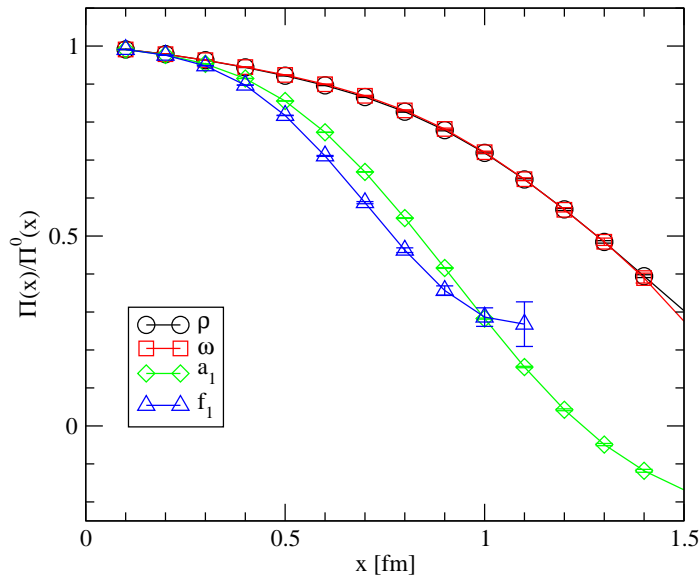
Numerical Study



$g_A^3 \simeq 1.25$ agrees with experiment

$g_A^0 \simeq 0.75$ too large (little OZI violation)

$(\bar{q}q)$ and $(\bar{Q}q)$ states



$$(f^2 m^2)^0 < (f^2 m^2)^3$$

$$(g_A^Q)^0 > (g_A^Q)^3$$

- Note:
1. f_1/a_1 and g_A^0/g_A^3 anti-correlated (\rightarrow NJL studies)
 2. sign fixed by QCD inequalities

Summary and Outlook

instantons account for OZI violation in meson sector

Not all hadrons are alike

instanton liquid reproduces axial vector coupling g_A

$$\text{But: } g_A^8 \simeq g_A^0 \simeq 0.75$$

no evidence that suppression of g_A^0 is a vacuum effect

$$[(g_A^Q)^0 \sim 1] > [(g_A^Q)^3 \sim 0.9]$$

OZI violation? Go back and look at g_A^8

Large $SU(3)_F$ violation?