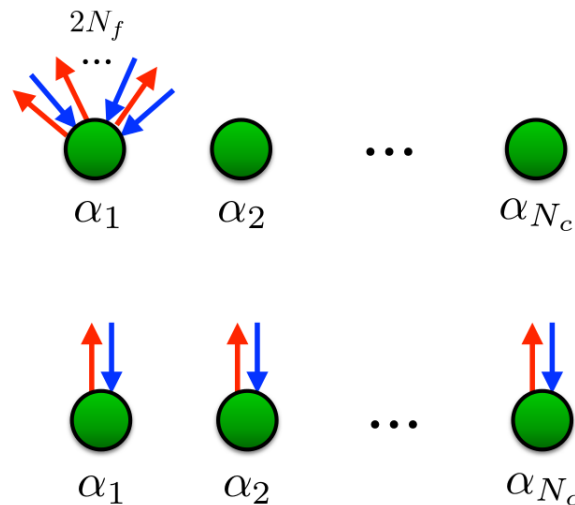


# Chiral Symmetry Breaking from Monopoles and Duality

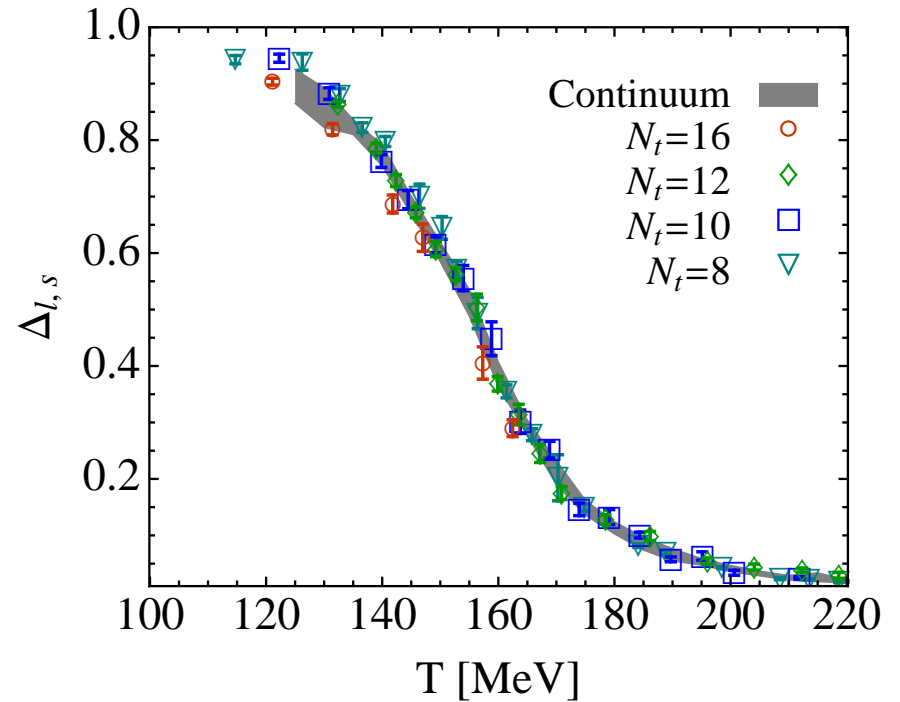
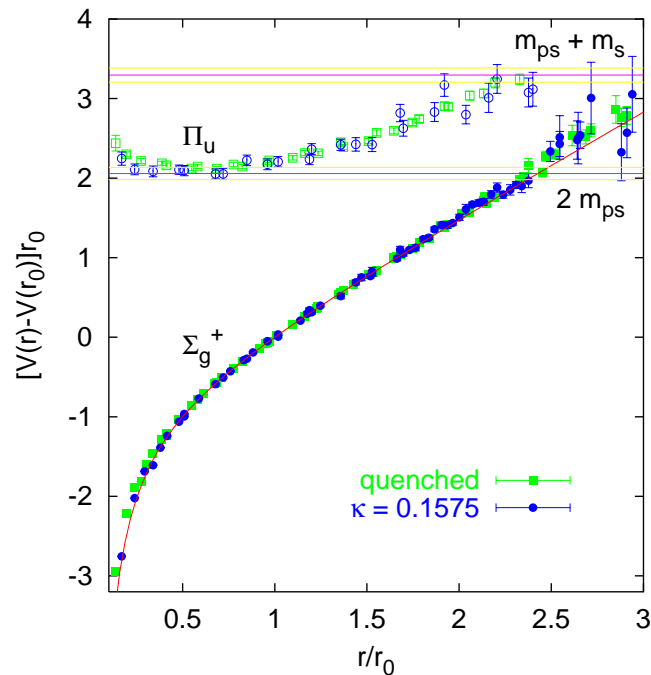
Thomas Schaefer, North Carolina State University



with A. Cherman and M. Unsal, PRL 117 (2016) 081601

# Motivation

Confinement and chiral symmetry breaking are well established



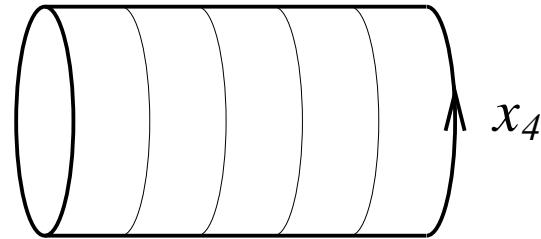
Goal: Find deformations of QCD, continuously connected to the full theory, that exhibit  $\chi$ SB and confinement.

# Background: Confinement in Weak Coupling

Consider  $SU(2)$  gauge theory with  $N_f^{ad} = 1$  on  $R^3 \times S_1$

$$\mathcal{L} = -\frac{1}{4g^2} F_{\mu\nu}^a F^{a\mu\nu} - \frac{i}{g^2} \lambda^a \sigma \cdot D^{ab} \lambda^b + \frac{m}{g^2} \lambda^a \lambda^a$$

$$A_\mu^a(0) = A_\mu^a(L)$$



Large mass limit: Pure YM. Small mass limit: SUSY YM.

Small  $S_1$  and  $m$ : Confinement can be studied using semi-classical methods, based on monopole-instantons, instantons, and bions.

Low energy fields: Holonomy  $b$  and dual photon  $\sigma$

## Non-perturbative effects

Topological classification on  $R^3 \times S_1$  (GPY)

1. Topological charge

$$Q_{top} = \frac{1}{16\pi^2} \int d^4x F \tilde{F}$$

2. Holonomy (eigenvalues  $q^\alpha$  of Polyakov line at spatial infinity)

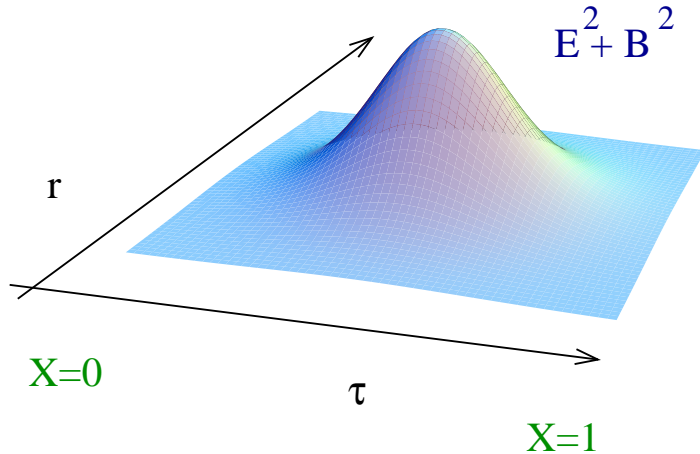
$$\langle \Omega(\vec{x}) \rangle = \left\langle \text{Tr} \exp \left[ i \int_0^\beta A_4 dx_4 \right] \right\rangle$$

3. Magnetic charges

$$Q_M^\alpha = \frac{1}{4\pi} \int d^2S \text{Tr} [P^\alpha B]$$

# Periodic instantons (calorons)

Instanton solution in  $R^4$  can be extended to solution on  $R^3 \times S^1$



$$Q_{top} = \pm 1$$

$$\Omega_\infty = 1 \quad Q_M^\alpha = 0$$

$SU(2)$  solution has  $1 + 3 + 1 + 3 = 8$  bosonic zero modes

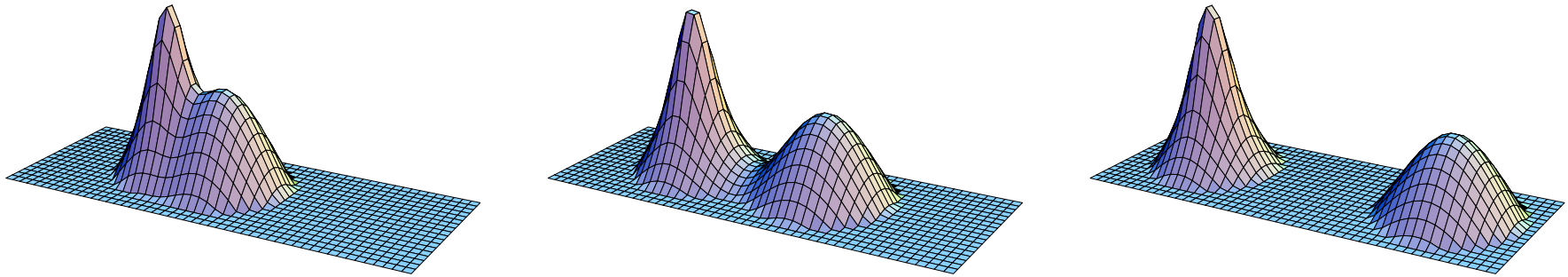
$$\int \frac{d\rho}{\rho^5} \int d^3x dx_4 \int dU e^{-2S_0} \quad 2S_0 = \frac{8\pi^2}{g^2}$$

$4n_{adj}$  fermionic zero modes

$$\int d^2\zeta d^2\xi$$

# Calorons at finite holonomy: monopole constituents

KvBLL (1998) construct calorons with non-trivial holonomy



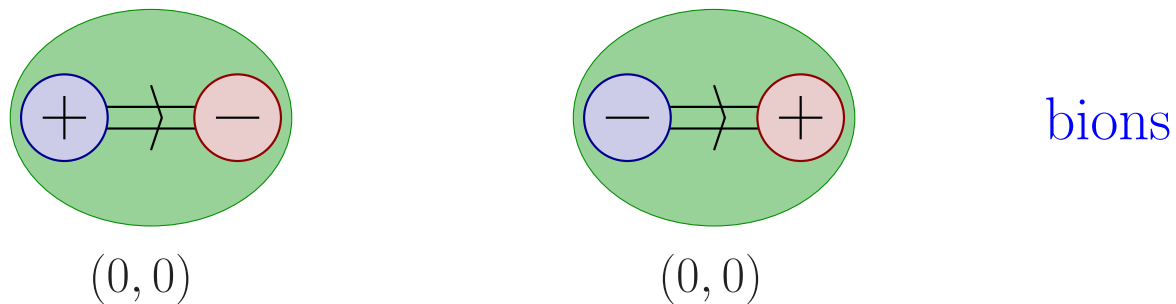
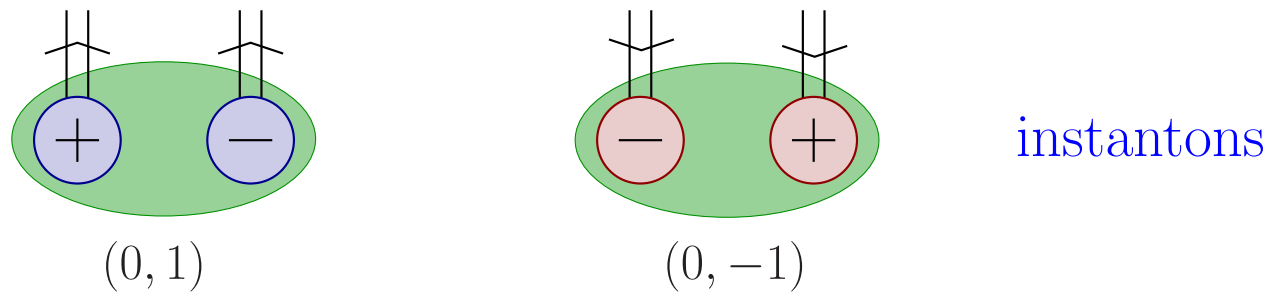
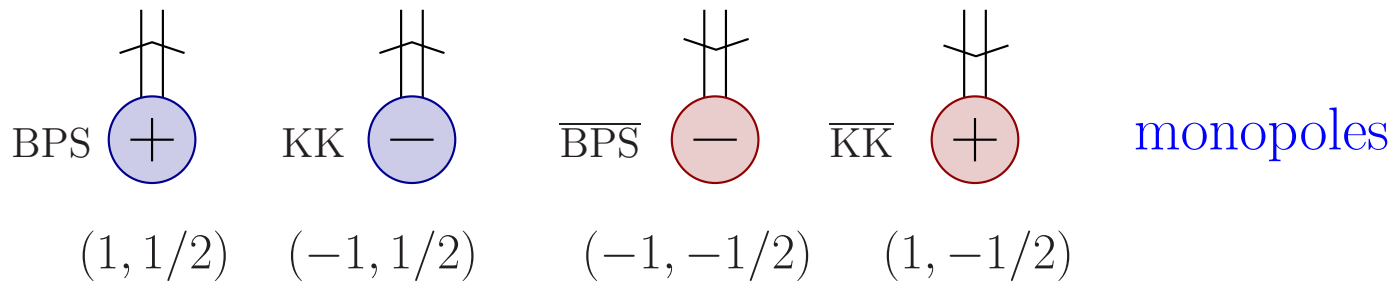
BPS and KK monopole constituents. Fractional topological charge,  $1/2$  at center symmetric point.

$2 \times (3 + 1) = 8$  bosonic zero modes,  $2 \times 2$  fermionic ZM.

$$\int d\phi_1 \int d^3 x_1 \int d^2 \zeta e^{-S_1} \int d\phi_2 \int d^3 x_2 \int d^2 \xi e^{-S_2}$$

# Topological objects

$$(Q_M, Q_{top}) = \left( \int_{S_2} B \cdot d\Sigma, \int_{R^3 \times S_1} F \tilde{F} \right)$$



Note: BPS/KK topological charges in  $Z_2$  symmetric vacuum. Also have  $(2, 0)$  (magnetic) bions.

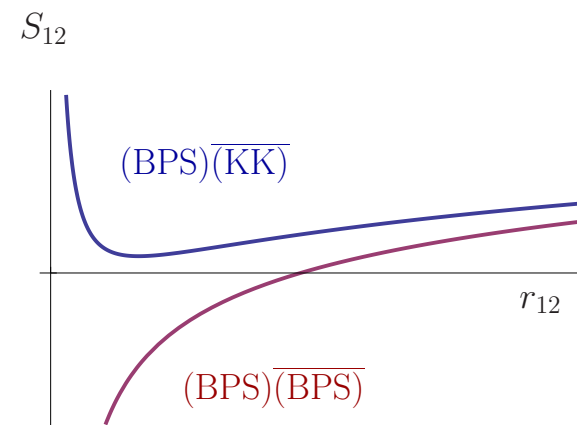
# Effective potential

Instantons and monopoles: Exact solutions, but  $V(b, \sigma) = 0$ .

Bions: Approximate solutions

$$V_{BPS, \overline{BPS}} \sim e^{-2b} e^{-2S_0} \int d^3r e^{-S_{12}(r)}$$

$$S_{12}(r) = \frac{4\pi L}{g^2 r} (q_m^1 q_m^2 - q_b^1 q_b^2) + 4 \log(r)$$



Saddle point integral after analytic continuation  $g^2 \rightarrow -g^2$  (BZJ)

$$V(b, \sigma) \sim \frac{M_{PV}^6 L^3 e^{-2S_0}}{g^6} \left[ \cosh \left( \frac{8\pi}{g^2} (\Delta\theta - \pi) \right) - \cos(2\sigma) \right]$$

Center symmetric vacuum  $\text{tr}(\Omega) = 0$  preferred

Mass gap for dual photon  $m_\sigma^2 > 0$  ( $\rightarrow$  confinement)



## What about chiral symmetry breaking?

Original setup: One adjoint fermion, chiral symmetry is discrete.

$$\langle \bar{\lambda}\lambda \rangle \neq 0 \quad Z_{2N_c} \rightarrow Z_2$$

Light fundamental fermions: Need strong coupling.

$$\mathcal{L} \sim G \det_{N_f}(\bar{\psi}_L \psi_R) + \text{h.c.}$$

Heavy fundamental fermions: Study explicit breaking of  $Z_N$  center symmetry.

## Role of Boundary Conditions

Consider flavor twisted boundary conditions

$$\psi(\tau + \beta) = \Omega_F \psi(\tau) \quad \Omega_F = \text{diag}(1, e^{2\pi i/N_f}, \dots, e^{2\pi i(N_f-1)/N_f})$$

Flavor holonomy  $\Omega_F$  has several interesting properties:

1.  $N_f = N_c$ : Respects  $Z_{N_c}$  center symmetry.
2. Large L: Breaks flavor symmetry, but in a controlled fashion.
3. Small L: New semi-classical picture of chiral symmetry breaking: Distributed zero modes and color-flavor transmutation.

## Large L expectations

Flavor holonomy corresponds imaginary flavor (isospin) chemical potential  $\tilde{\mu}_F \sim i/L$ .

Can be studied using chiral Lagrangian

$$\mathcal{L} = \frac{f_\pi^2}{4} \text{Tr} [\nabla_\mu U \nabla^\mu U^\dagger] - B \text{Tr} [MU + h.c]$$

with  $\nabla_\mu U = \partial_\mu U + i[\tilde{\mu}_F T_F, U]$ .

Consider  $N_f = 2$  (isospin chemical potential)

$$m_{\pi^0}^2 = m_\pi^2 \quad m_{\pi^\pm}^2 = m_\pi^2 + \tilde{\mu}_I^2$$

$N_f - 1$  exact Goldstone modes ( $m=0$ ), others acquire gaps.

## Small L theory: Perturbation theory

Consider center symmetric gauge holonomy (add double trace deformation). For  $l \gtrsim N_c L$  theory abelianizes

$$SU(N_c) \rightarrow [U(1)]^{N_c-1}$$

Gapless (Cartan) gluons described by dual photon  $\vec{\sigma}$

$$S = \frac{g^2}{8\pi^2 L} \int d^3x (\partial_\mu \vec{\sigma})^2$$

with  $F_{\mu\nu}^i = \frac{g^2}{2\pi L} \epsilon_{\mu\nu\alpha} \partial^\alpha \sigma^i$ .

Remain gapless to all orders in perturbation theory due to emergent shift symmetry  $\vec{\sigma} \rightarrow \vec{\sigma} + \vec{\epsilon}$ .

## Small L theory: Semiclassical objects

Center symmetric background, no fermions: Instanton fractionalize into  $N_c$  constituents

$$\mathcal{M}_i \sim e^{-S_0} e^{i\vec{\alpha}_i \cdot \vec{\sigma}} \quad S_0 = \frac{8\pi^2}{g^2 N_c} \quad \vec{\alpha}_i \text{ } SU(N_c) \text{ root vectors}$$

In the ground state these objects proliferate: The monopole-anti-monopole gas.

$$V(\vec{\sigma}) \sim m_W^3 e^{-S_0} \sum_i \cos(\vec{\alpha}_i \cdot \vec{\sigma})$$

Mass gap for the dual photon, continuous shift symmetry broken.

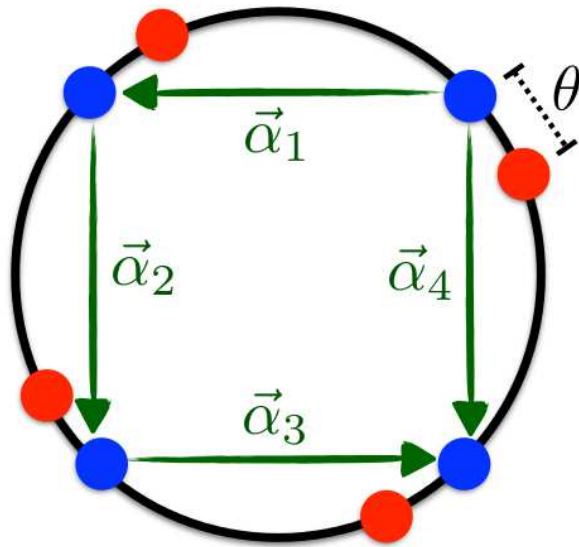
Massless fermions: Take into account fermion zero modes.

# Small L theory: Fermion zero modes

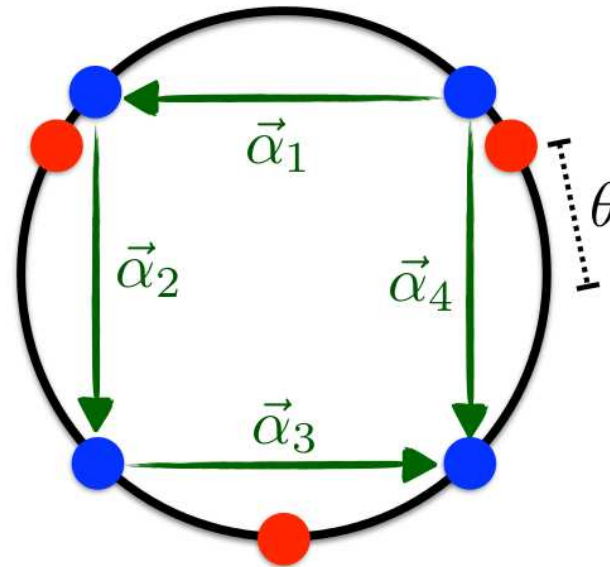
Many eigenvalue circles: Polyakov line Flavor holonomy

Instanton-monopoles

$\theta$  flavor singlet twist



$$N_c = N_f = 4$$



$$N_c = 4 \quad N_f = 3$$

Zero modes localize on monopoles jumping over flavor eigenvalues

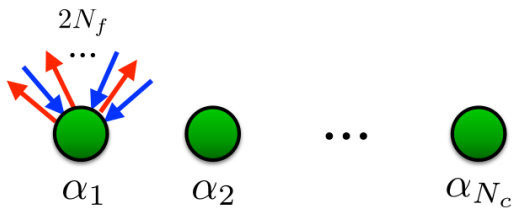
## Two basic scenarios ( $N_c = N_f$ )

No flavor twist: Standard 't Hooft vertex carried by one monopole

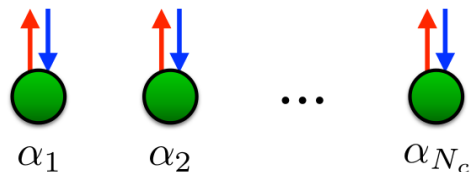
$$\mathcal{M}_1 \sim e^{-S_0} e^{i\vec{\alpha}_1 \cdot \vec{\sigma}} \det_F(\bar{\psi}_L^f \psi_R^g) \quad \mathcal{M}_{i>1} \sim e^{-S_0} e^{i\vec{\alpha}_i \cdot \vec{\sigma}}$$

Center symmetric flavor holonomy: Single flavor 't Hooft vertex carried by each monopole

$$\mathcal{M}_i \sim e^{-S_0} e^{i\vec{\alpha}_i \cdot \vec{\sigma}} (\bar{\psi}_L^i \psi_R^i)$$



trivial flavor holonomy



center symmetric holonomy

# Spontaneous symmetry breaking

Unbroken symmetries of flavor twisted theory

$$[U(1)_J]^{N_c-1} \times [U(1)_V]^{N_f-1} \times [U(1)_A]^{N_f-1} \times U(1)_Q$$

Shift symmetry

Exact flavor symmetry

Symmetries of monopole vertex

$$\mathcal{M}_i \sim e^{-S_0} e^{i\vec{\alpha}_i \cdot \vec{\sigma}} (\bar{\psi}_L^i \psi_R^i)$$

Preserves vectorial symmetry  $[U(1)_V]^{N_f-1} \times U(1)_Q$ . Breaks axial symmetry

$$[U(1)_A]^{N_f-1} : (\bar{\psi}_L^f \psi_R^f) \rightarrow e^{i\epsilon_i} (\bar{\psi}_L^i \psi_R^i)$$



# Spontaneous symmetry breaking, continued

Monopole vertex is invariant provided  $[U(1)_A]^{N_f-1}$  is combined with  $[U(1)_J]^{N_c-1}$  shift symmetry

$$[\tilde{U}(1)_A]^{N_f-1} : \begin{cases} (\bar{\psi}_L^f \psi_R^f) \rightarrow e^{i\epsilon_i} (\bar{\psi}_L^i \psi_R^i) \\ e^{i\vec{\alpha}_i \cdot \vec{\sigma}} \rightarrow e^{-i\epsilon_i} e^{i\vec{\alpha}_i \cdot \vec{\sigma}} \end{cases}$$

Ground state  $\langle e^{i\vec{\alpha}_i \cdot \vec{\sigma}} \rangle \rightarrow 1$ . Breaks

$$[U(1)_V]^{N_f-1} \times [\tilde{U}(1)_A]^{N_f-1} \rightarrow [U(1)_V]^{N_f-1}$$

For  $m = 0$  the ground state is degenerate. Massless Goldstone boson

$$S_\sigma = L \int d^3x \left\{ \frac{f_\pi^2}{4} \text{Tr} [\partial_\mu \Sigma \partial^\mu \Sigma^\dagger] - B \text{Tr} [M \Sigma + h.c.] \right\}$$

Microscopically  $\Sigma = e^{i\Pi/f_\pi}$  with  $\Pi = \pi^a T^a$  and  $\pi^a = \frac{g}{2\pi L} \sigma^a$

Color-flavor transmutation

# Chiral Lagrangian

Chiral lagrangian has calculable coefficients

$$S_\sigma = L \int d^3x \left\{ \frac{f_\pi^2}{4} \text{Tr} [\partial_\mu \Sigma \partial^\mu \Sigma^\dagger] - B \text{Tr} [M \Sigma + h.c.] \right\}$$

$$f_\pi^2 = \left( \frac{g}{\sqrt{6}\pi L} \right)^2 = \frac{N_c \lambda m_W^2}{24\pi^2}$$

$$B = -\frac{1}{2} \langle \bar{\psi} \psi \rangle \sim m_W^{-3} e^{-\frac{8\pi^2}{\lambda}}$$

Also note: VEV of monopole operator can be viewed as effective constituent quark mass

$$m_Q \sim m_W e^{-\frac{8\pi^2}{\lambda}}$$

## Conclusions and Outlook

Calculate mechanism for chiral symmetry breaking in a compactified version of QCD.

Results consistent with continuity between large  $L$  (full QCD) and small  $L$  theory.

Mechanism based on monopole instantons and color flavor transmutation.

Study: Extension to  $N_f > N_c$ ? Relation between  $\chi$ SB and confinement?