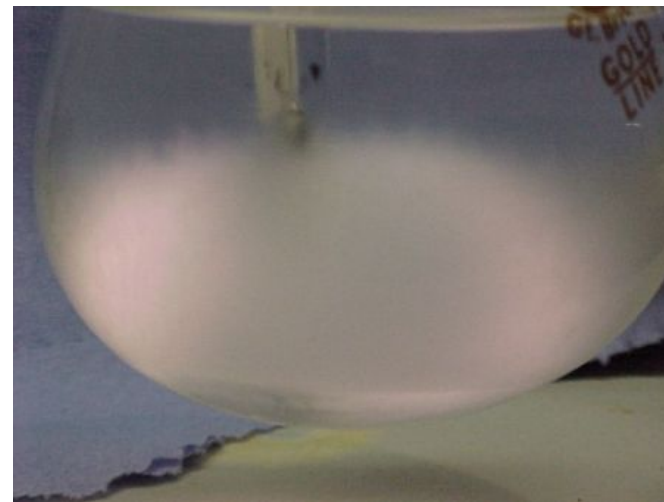
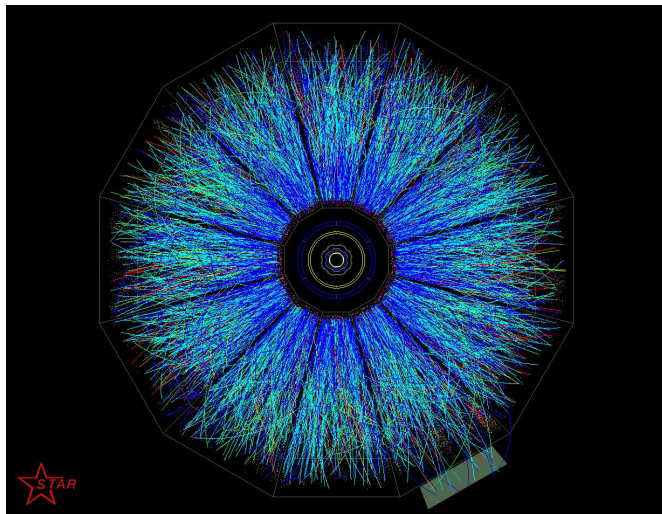


Emergence of Collectivity in Small Systems

Part 3: Heavy Ion-Collisions

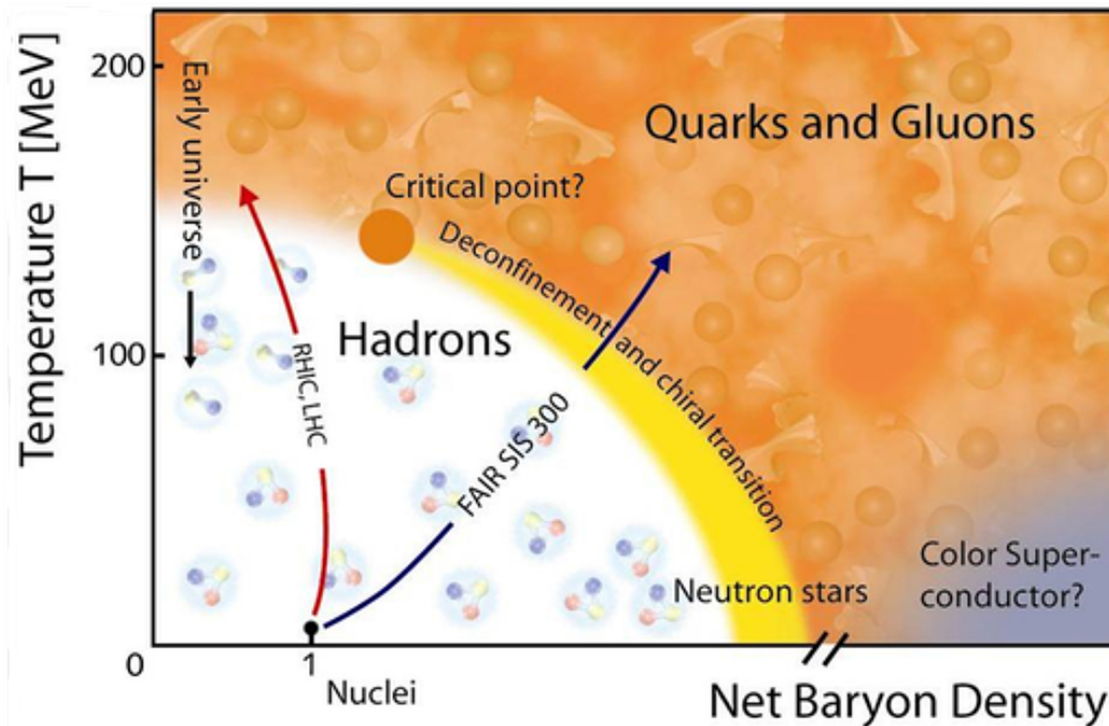
Thomas Schäfer

North Carolina State University

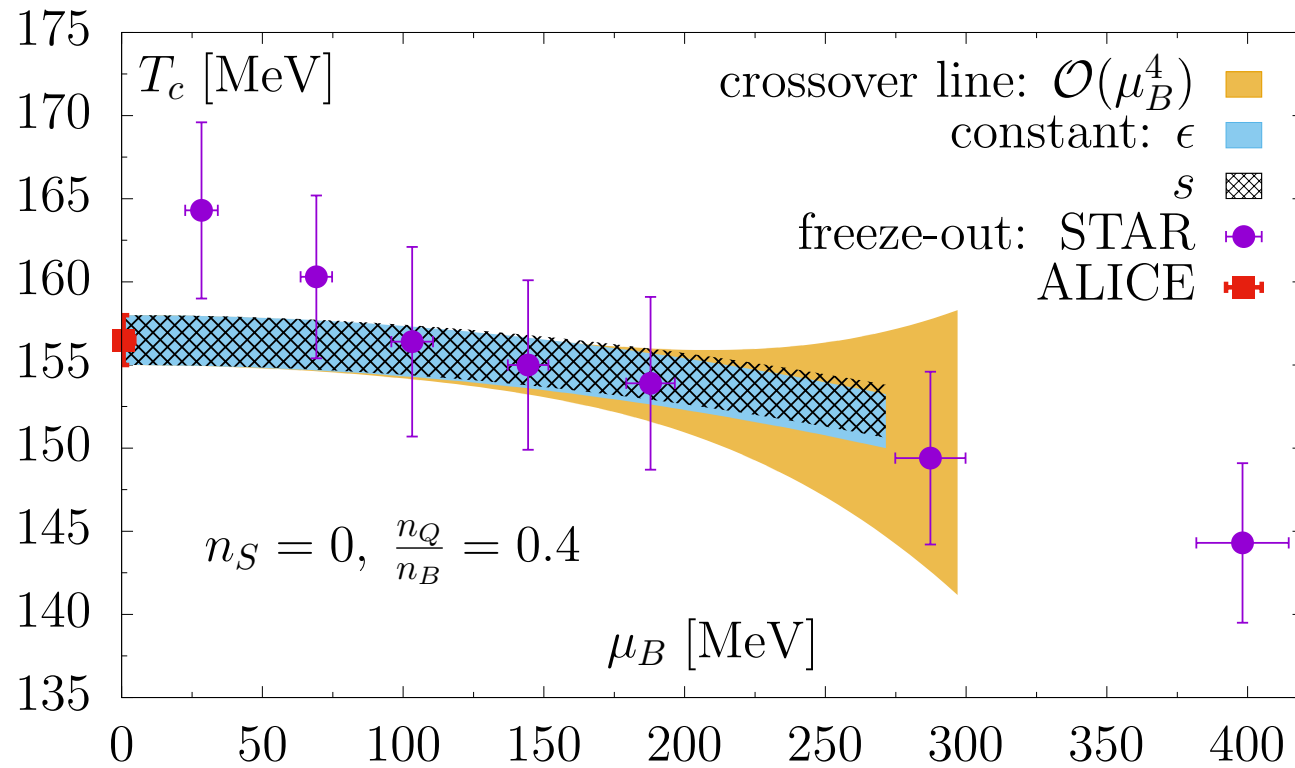


The phase diagram of QCD

$$\mathcal{L} = \bar{q}_f (i \not{D} - m_f) q_f - \frac{1}{4g^2} G_{\mu\nu}^a G_{\mu\nu}^a$$



Lattice results: Crossover transition



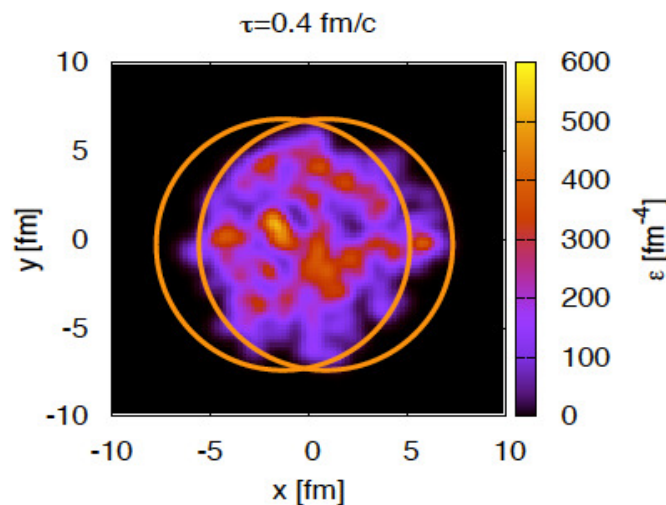
Curvature of crossover transition small, no hint of sharpening.

Large μ regime inaccessible (sign problem).

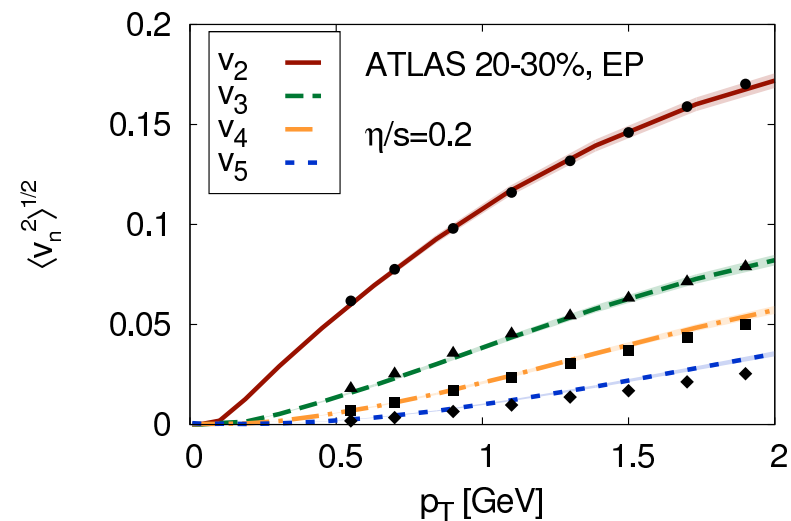
Central Experimental Result: Hydrodynamic Flow

Heavy ion collisions at RHIC are described by a very simple theory:

$\pi\alpha\nu\tau\alpha\rho\epsilon\iota$ (*everything flows*)



B. Schenke

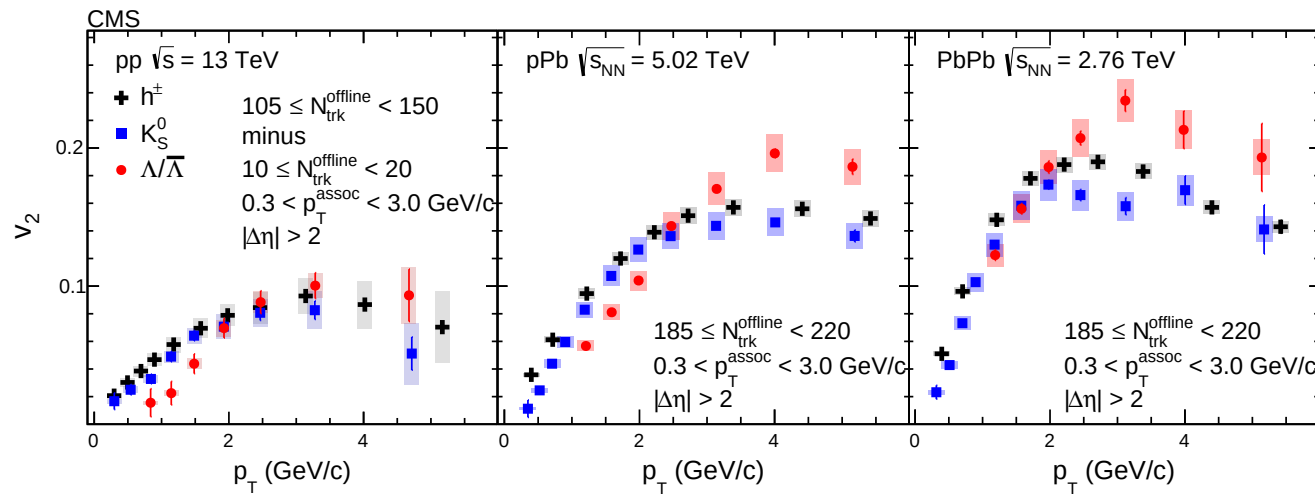


C. Gale et al.

Hydro converts initial state geometry, including fluctuations, to flow. Attenuation coefficient is small, $\eta/s \simeq 0.08\hbar/k_B$, indicating that the plasma is strongly coupled.

LHC: Flow in Small Systems

Even the smallest droplets of QGP fluid produced in (high multiplicity) pp and pA collisions exhibit collective flow.



Small viscosity $\eta/s \simeq 0.08\hbar/k_B$ implies short mean free path and rapid hydrodynamization.

Outline

- I. Relativistic Fluid Dynamics
- II. Small Systems
- III. Fluctuations

I. Relativistic Fluid Dynamics

Conservation of energy, momentum, and baryon number (extend to BSQ?)

$$\partial_\mu T^{\mu\nu} = 0$$

$$\partial_\mu j^\mu = 0$$

$$T^{\mu\nu} = \begin{pmatrix} \overset{\text{Energy density}}{\uparrow} T^{00} & \overset{\text{Momentum flux}}{\uparrow} T^{0i} & \dots \\ T^{i0} & \begin{matrix} \cdot & \cdot & \cdot \\ T^{ii} & T^{ij} \\ T^{ji} & \cdot & \cdot \end{matrix} & \dots \\ \vdots & & \end{pmatrix} \begin{matrix} \rightarrow \text{Shear stress} \\ \text{tensor } \pi^{ij} \\ \rightarrow \text{Pressure} \end{matrix}$$

Constitutive relations: $T^{\mu\nu} = T_{(0)}^{\mu\nu} + T_{(1)}^{\mu\nu} + \dots$

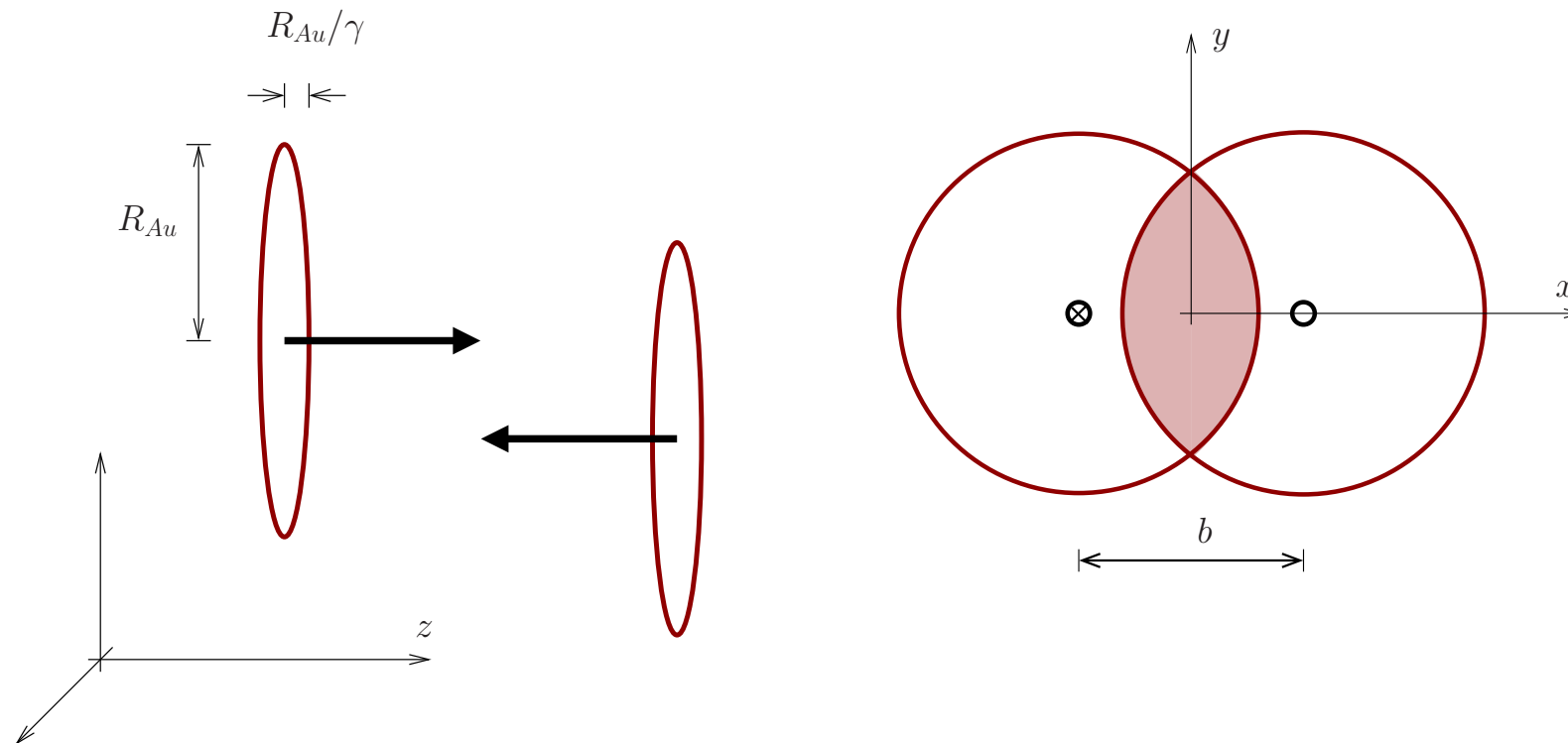
$$T_{(0)}^{\mu\nu} = (\epsilon + P)u^\mu u^\nu + P g^{\mu\nu}$$

$$T_{(1)}^{\mu\nu} = -\eta \Delta^{\mu\alpha} \Delta^{\nu\alpha} \left(\partial_\alpha u_\beta + \partial_\beta u_\alpha - \frac{2}{3} g_{\alpha\beta} \partial \cdot u \right) - \zeta \Delta^{\mu\nu} \partial \cdot u$$

Equation of state: $P = P(\epsilon, n)$

Many technical details: Stability, causality, initial conditions, freezeout

Heavy ion collision: Geometry



rapidity : $y = \frac{1}{2} \log \left(\frac{E + p_z}{E - p_z} \right)$

transverse
momentum : $p_T^2 = p_x^2 + p_y^2$

Bjorken expansion

Experimental observation: At high energy ($\Delta y \rightarrow \infty$) rapidity distributions of produced particles (in both pp and AA) are “flat”

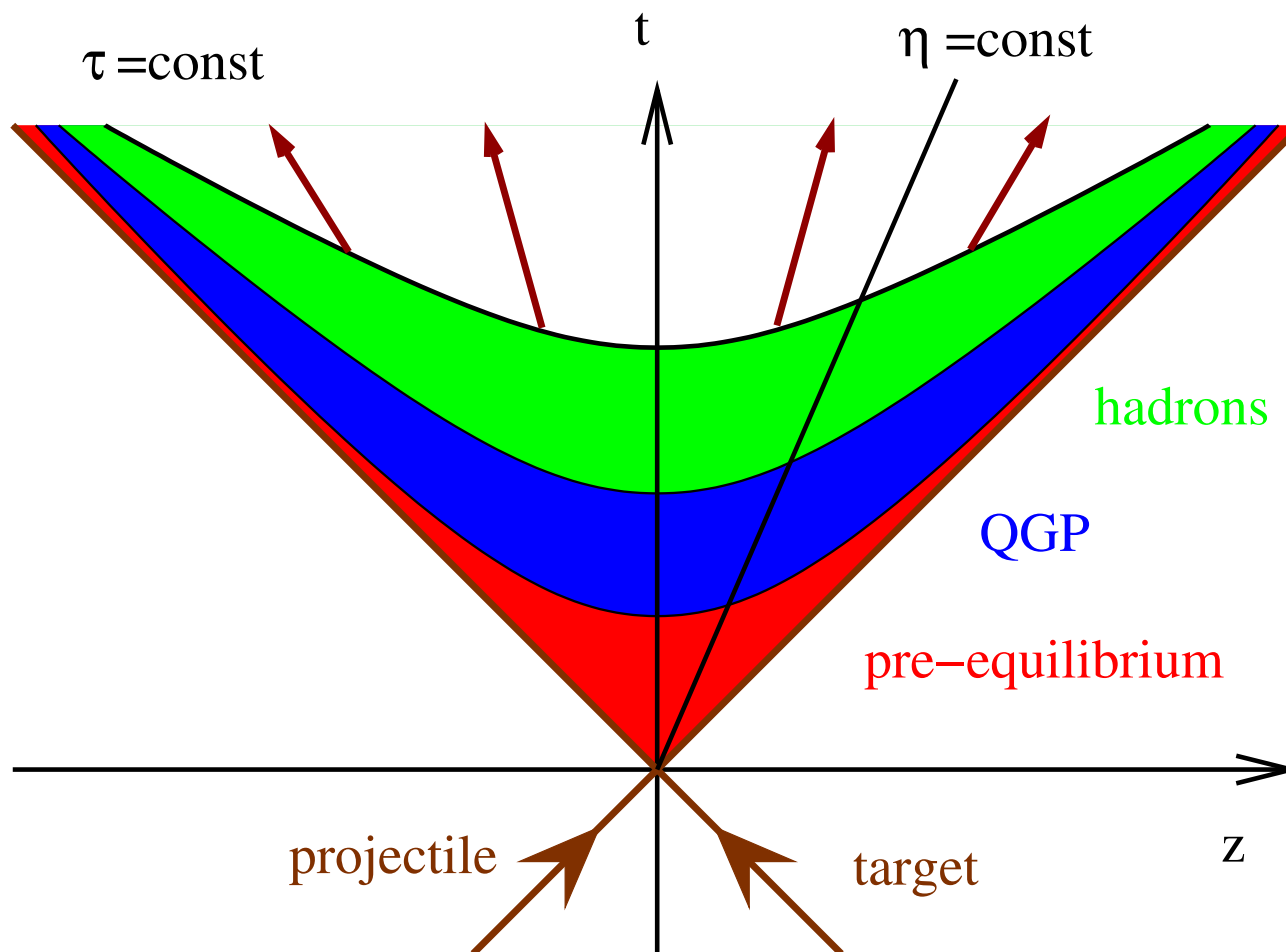
$$\frac{dN}{dy} \simeq \text{const}$$

Physics depends on proper time $\tau = \sqrt{t^2 - z^2}$, not on y

All comoving ($v = z/t$) observers are equivalent

Analogous to Hubble expansion

Bjorken expansion



$$\tau = \sqrt{t^2 - z^2}$$

$$\eta = \frac{1}{2} \log \left(\frac{t+z}{t-z} \right)$$

Bjorken expansion: Hydrodynamics

Boost invariant expansion

$$u^\mu = \gamma(1, 0, 0, v_z) = (t/\tau, 0, 0, z/\tau)$$

solves Euler equation (no longitudinal acceleration)

$$\partial^\mu (s u_\mu) = 0 \quad \Rightarrow \quad \frac{d}{d\tau} [\tau s(\tau)] = 0$$

Solution for ideal Bj hydrodynamics

$$\boxed{s(\tau) = \frac{s_0 \tau_0}{\tau}} \quad T = \frac{const}{\tau^{1/3}}$$

Exact boost invariance, no transverse expansion, no dissipation, ...

Viscous corrections

Longitudinal expansion: Bj expansion solves Navier-Stokes equation

entropy equation
$$\frac{1}{s} \frac{ds}{d\tau} = -\frac{1}{\tau} \left(1 - \frac{\frac{4}{3}\eta + \zeta}{sT\tau} \right)$$

Viscous corrections small if
$$\frac{4}{3} \frac{\eta}{s} + \frac{\zeta}{s} \ll (T\tau)$$

early $T\tau \sim \tau^{2/3} \quad \eta/s \sim \text{const} \quad \eta/s < \tau_0 T_0$

late $T\tau \sim \text{const} \quad \eta \sim T/\sigma \quad \tau^2/\sigma < 1$

Hydro valid for $\tau \in [\tau_0, \tau_{fr}]$

Viscous corrections to T_{ij} (radial expansion)

$$T_{zz} = P - \frac{4}{3} \frac{\eta}{\tau} \quad T_{xx} = T_{yy} = P + \frac{2}{3} \frac{\eta}{\tau}$$

increases radial flow (central collision)

decreases elliptic flow (peripheral collision)

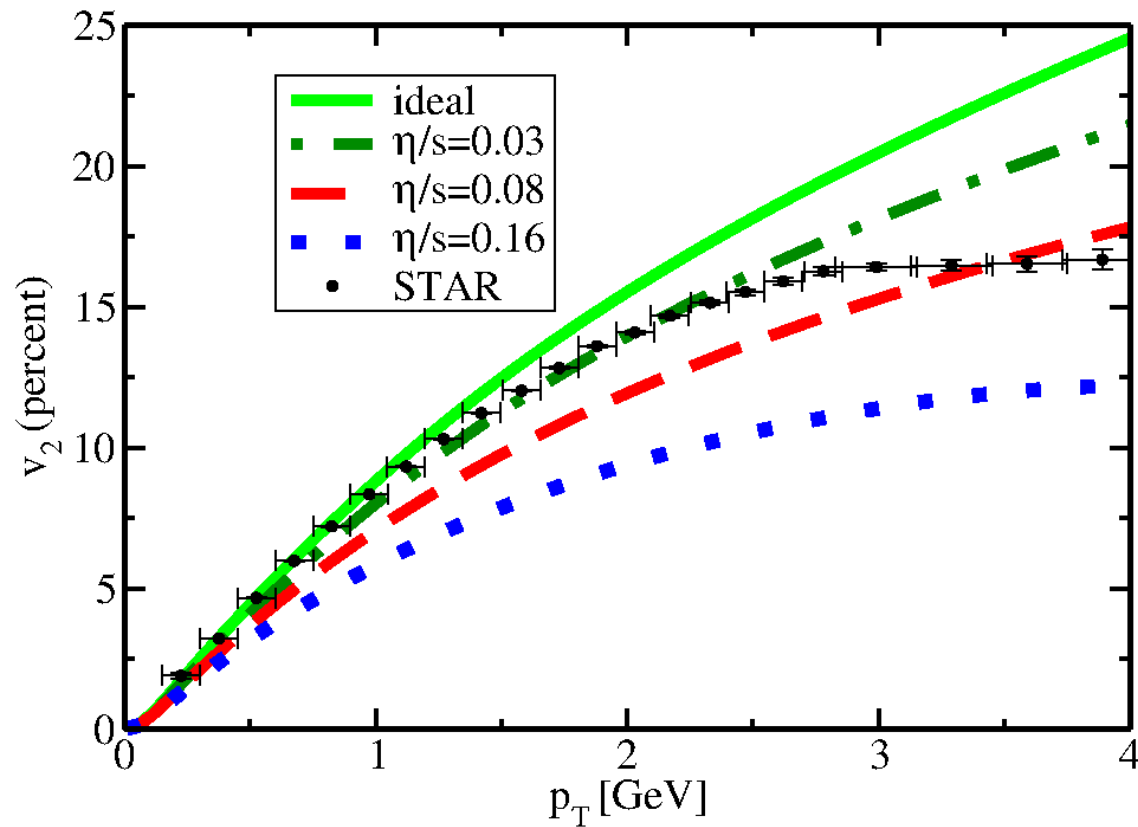
Modification of distribution function ($\Gamma_s = (\frac{4}{3}\eta + \zeta)/(sT)$)

$$\delta f = \frac{3}{8} \frac{\Gamma_s}{T^2} f_0 (1 + f_0) p_\alpha p_\beta \nabla^{\langle \alpha} u^{\beta \rangle}$$

Correction to spectrum grows with $p_\perp^2$

$$\frac{\delta(dN)}{dN_0} = \frac{\Gamma_s}{4\tau_f} \left(\frac{p_\perp}{T} \right)^2$$

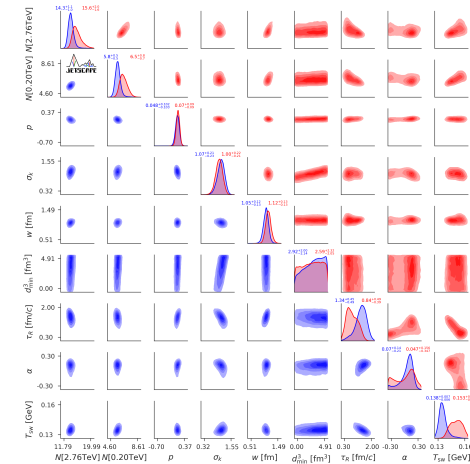
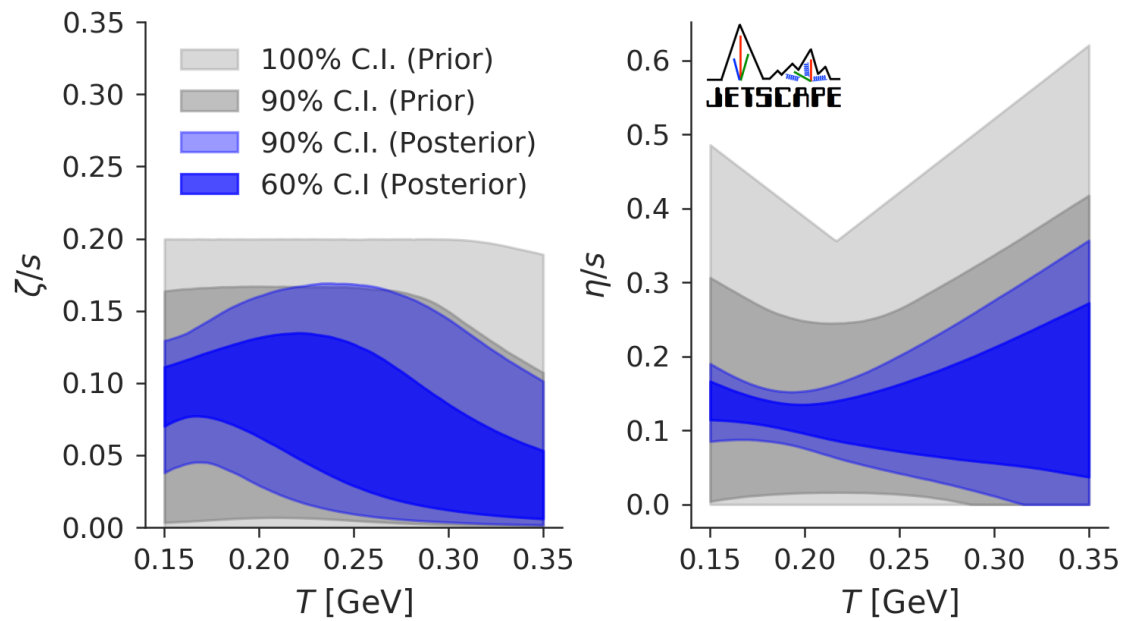
Viscous effects at RHIC: First Attempts



$$p_0 \left. \frac{dN}{d^3p} \right|_{p_z=0} = v_0(p_\perp) (1 + 2v_2(p_\perp) \cos(2\phi) + \dots)$$

Viscous effects: Bayesian analysis

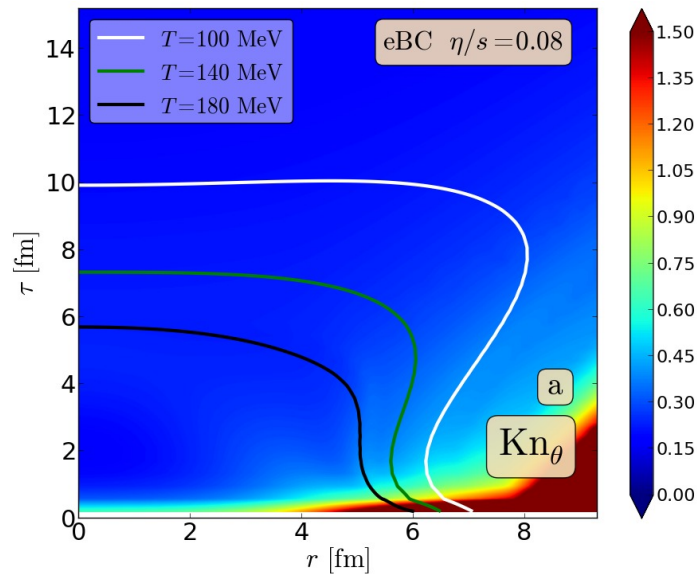
Viscosity Posterior : Grad



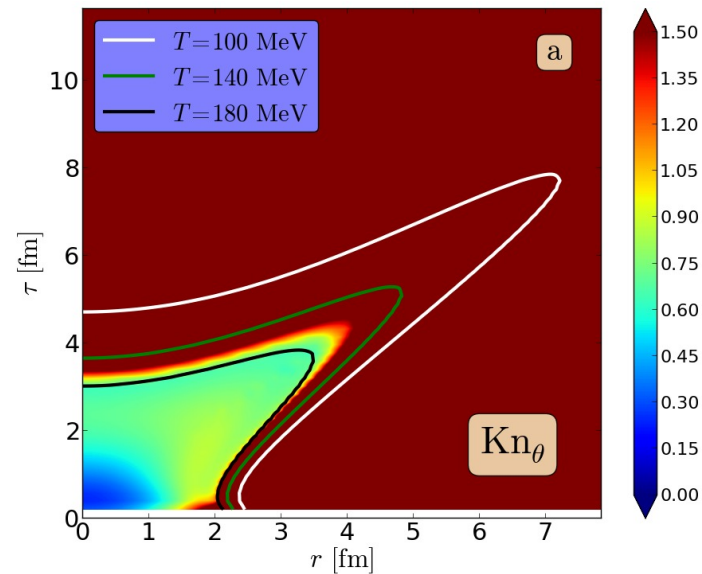
Combined analysis of LHC and RHIC data

JetScape collaboration arXiv:2011.01430.

II. Hydrodynamics in small systems



Pb+Pb LHC

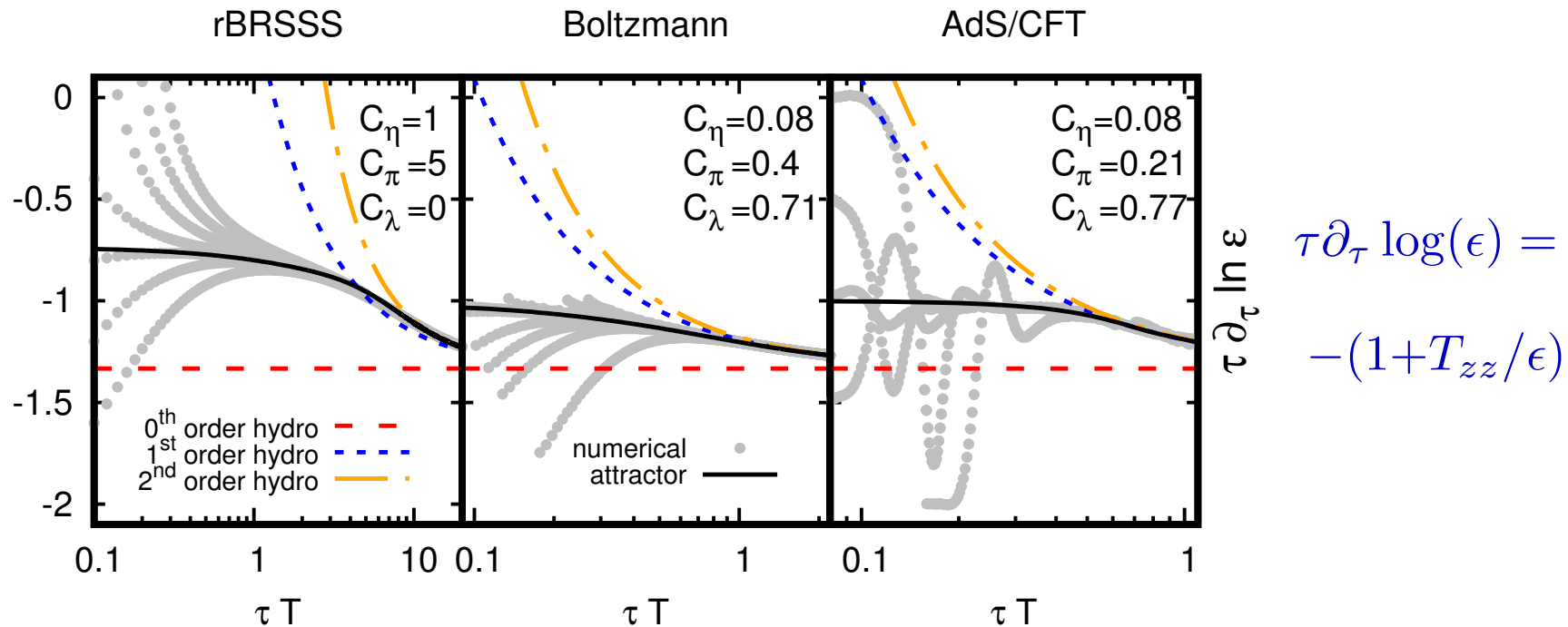


p+Pb LHC

- Ideas:
- 1) Attractors/Resurgence
 - 2) Hydro+
 - 3) Phenomenology

Plots from Niemi, Denicol arXiv:1404.7327.

1. Hydrodynamic Attractors



Attractor in a dynamical system: Asymptotic solution independent of initial conditions.

How to characterize the attractor: Resurgence

Weak coupling expansion in QM or QFT

$$\begin{aligned} F(g^2) \sim & \left(a_0^{(0)} + a_1^{(0)} g^2 + a_2^{(0)} g^4 + \dots \right) \\ & + \sigma e^{-S/g^2} \left(a_0^{(1)} + a_1^{(1)} g^2 + a_2^{(1)} g^4 + \dots \right) \\ & + \sigma^2 e^{-2S/g^2} \left(a_0^{(2)} + a_1^{(2)} g^2 + a_2^{(2)} g^4 + \dots \right) + \dots \end{aligned}$$

Perturbative terms + instantons = trans-series

Ambiguities in (Borel sum) of perturbation theory canceled by ambiguities in multi-instanton effects = “resurgence”

Kinetic theory: Perturbative sum = gradient terms

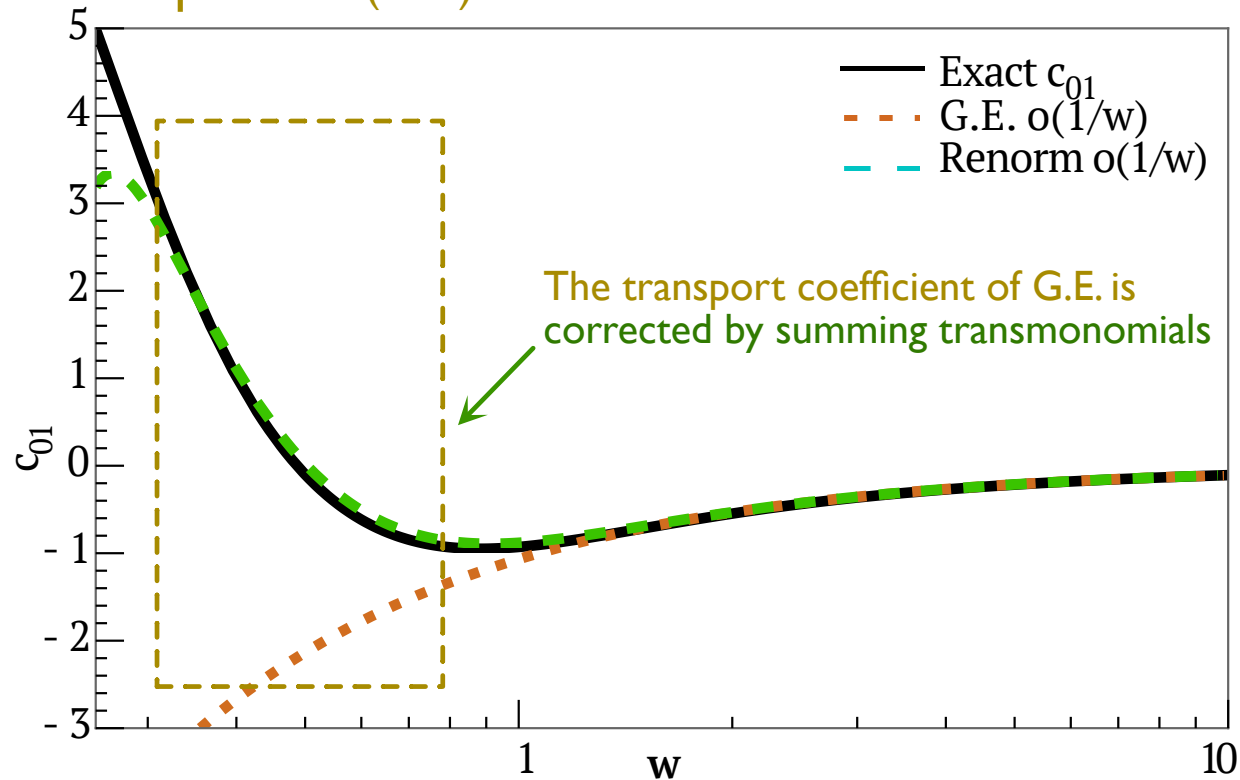
instantons = non-hydrodynamic modes

$$\text{Expansion parameter} \quad w = \frac{4\pi s\tau T}{\eta}$$

Resurgent kinetic theory: Bjorken expansion

$$c_{01} = \sum_{k=0} a_k w^{-k} \rightarrow c_{01} = \sum_{k=0} \left[a_k + \sum_{n=0} u_k^n \left(\sigma e^{-S w} w^{-\beta} \right)^n \right] w^{-k}$$

Transport coefficient of gradient expansion (G.E.)
transmonomial corrections

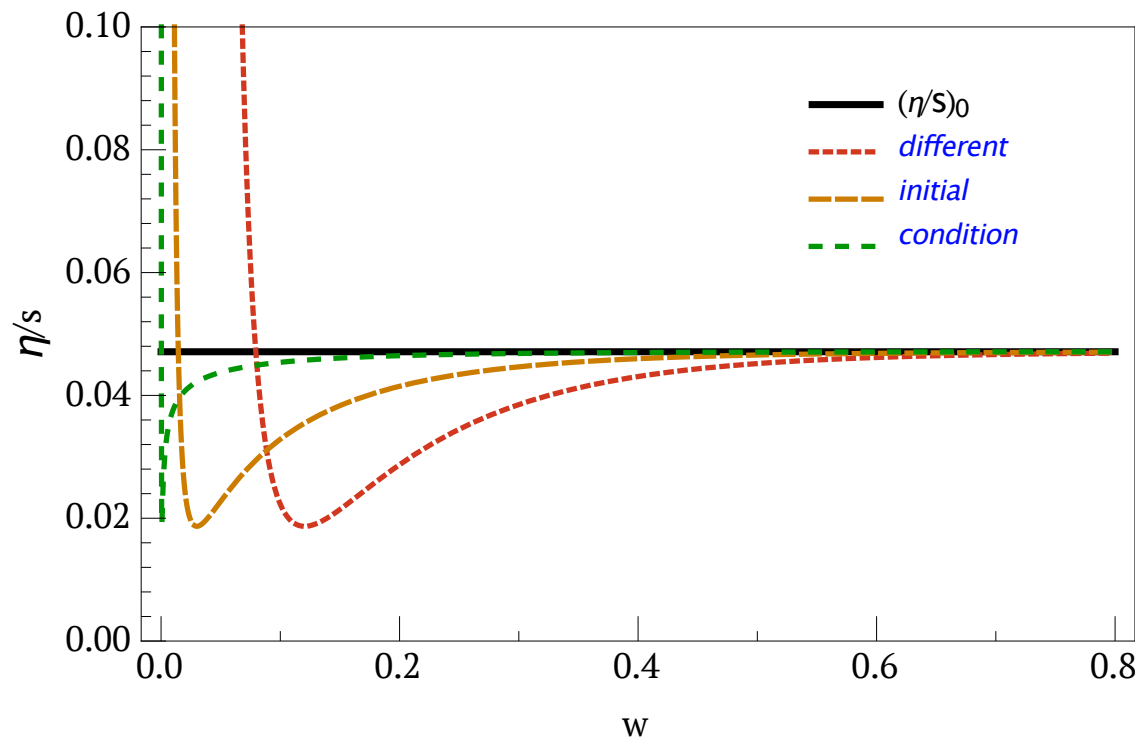


Transasymptotic matching: All-orders viscosity

Dynamical Renormalization of Transport Coefficient

First order transport coefficient $\frac{\eta}{s} \rightarrow \left(\frac{\eta}{s}\right)_0 + \sum_{n=0} u_1^n (\sigma e^{-S w} w^{-\beta})^n$

Satisfies the transasymptotic matching
which depends on its gradient size $\frac{d\frac{\eta}{s}(w, \sigma)}{d \log w} = \beta(w)$



2. Extended Hydrodynamic Theories: Maximum Entropy

Consider moment equations for

$$\rho_{(n)}^{\mu_1 \mu_2 \cdots \mu_l} \equiv \langle (u \cdot p)^n p^{\langle \mu_1} p^{\mu_2} \cdots p^{\mu_l \rangle} \rangle_\delta,$$

Need closure. Idea: Use the least-biased distribution that uses all of (and only) the information provided by hydro. This is the f that maximizes

$$s[f] = - \int dP (u \cdot p) f \ln(f),$$

subject to constraints

$$\int dP (u \cdot p)^2 f = e, \quad -\frac{1}{3} \int dP p_{\langle \mu \rangle} p^{\langle \mu \rangle} f = P + \Pi, \quad \int dP p^{\langle \mu} p^{\nu \rangle} f = \pi^{\mu \nu}$$

The maximum-entropy distribution is

$$f_{\text{ME}}(x, p) = \left[\exp \left(\Lambda (u \cdot p) - \frac{\lambda_\Pi}{u \cdot p} p_{\langle \alpha \rangle} p^{\langle \alpha \rangle} + \frac{\gamma_{\langle \alpha \beta \rangle}}{u \cdot p} p^{\langle \alpha} p^{\beta \rangle} \right) - a \right]^{-1},$$

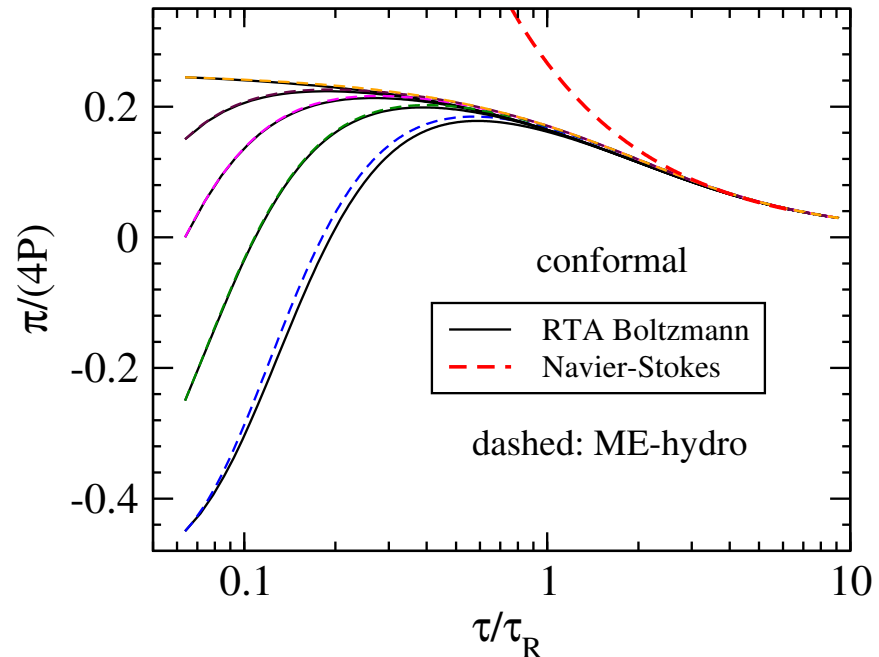
where $(\Lambda, \lambda_\Pi, \gamma_{\alpha\beta})$ are Lagrange parameters.

Maximum Entropy Fluid Dynamics: Bjorken Flow

Evolution equations

$$\begin{aligned}\frac{de}{d\tau} &= -\frac{e + P_L}{\tau} \\ \frac{dP_L}{d\tau} &= -\frac{P_L - P}{\tau_R} + \frac{\bar{\zeta}_z^L}{\tau} \\ \frac{dP_T}{d\tau} &= -\frac{P_T - P}{\tau_R} + \frac{\bar{\zeta}_z^\perp}{\tau}\end{aligned}$$

where $\bar{\zeta}^{L,\perp}$ fixed by Max-Ent



Compare to exact RTA kinetic theory. Very good agreement.

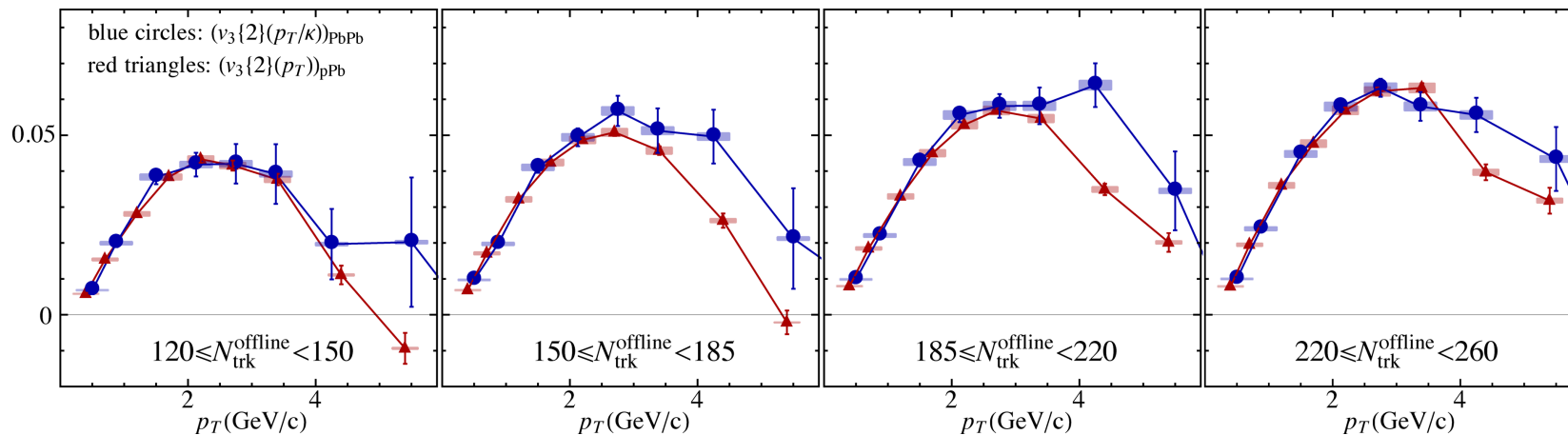
3. Phenomenology: Knudsen Scaling?

Consider scaling with Knudsen number in $Pb + Pb$ and $p + Pb$

$$Kn^{-1} \sim \frac{c_s}{S} \frac{dN}{dy} \quad Kn^{-1} \sim \left(c_s \frac{dN}{dy} \right)^{1/3}$$

non-conformal fluid

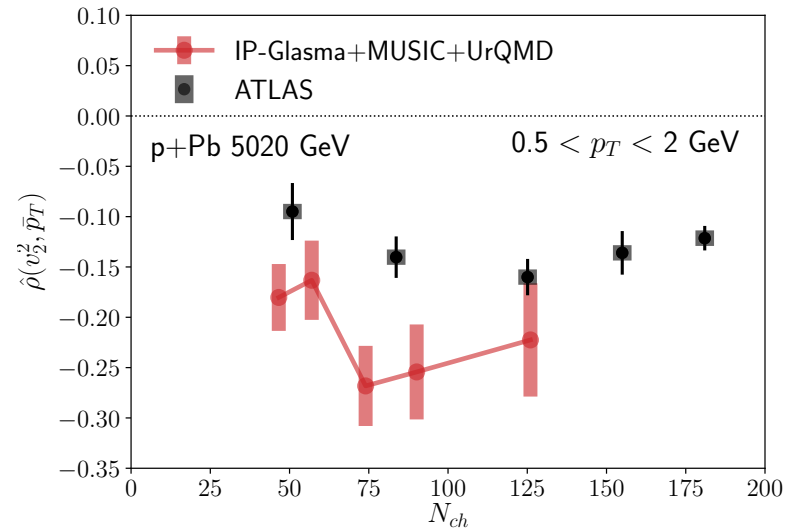
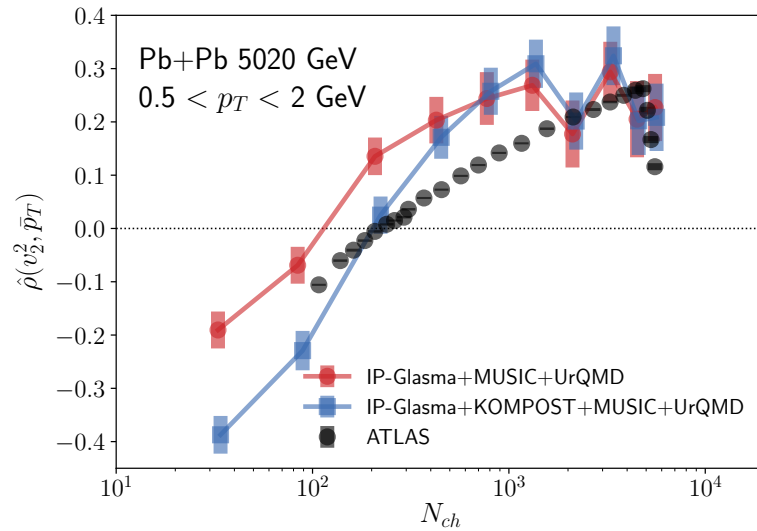
conformal fluid



Triangular flow $v_3(p_T)$ in pPb (red) and PbPb (blue)

p_T dependence scaled by mean $\langle p_T \rangle$

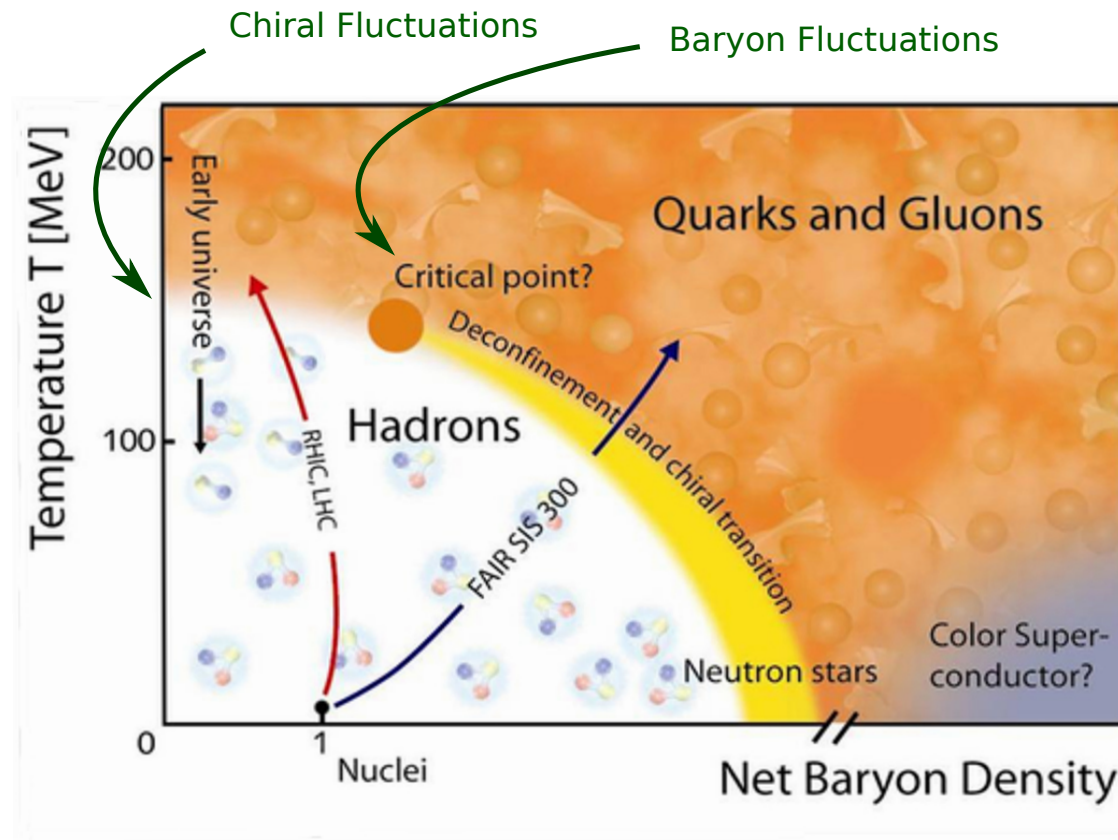
Phenomenology: $p_T - v_2$ correlations?



$$\hat{\rho}(v_2^2, \bar{p}_T) = \frac{\langle \delta v_2^2 \delta \bar{p}_T \rangle}{\sqrt{\langle (\delta v_2^2)^2 \rangle \langle (\delta \bar{p}_T)^2 \rangle}}$$

p+Pb qualitatively similar to very peripheral $Pb + Pb$

III. Phase Transitions and Fluctuations



Can we locate the chiral phase transition, or the endpoint of a first-order QGP-hadron gas transition?

Dynamical Theory

What is the dynamical theory near the critical point?

The basic logic of fluid dynamics still applies. Important modifications:

- Critical equation of state.
- Possible Goldstone modes (chiral field in QCD?)
- Stochastic fluxes, fluctuation-dissipation relations.

Digression: Diffusion

Consider a Brownian particle

$$\dot{p}(t) = -\gamma_D p(t) + \zeta(t)$$

drag (dissipation)

$$\langle \zeta(t) \zeta(t') \rangle = \kappa \delta(t - t')$$

white noise (fluctuations)

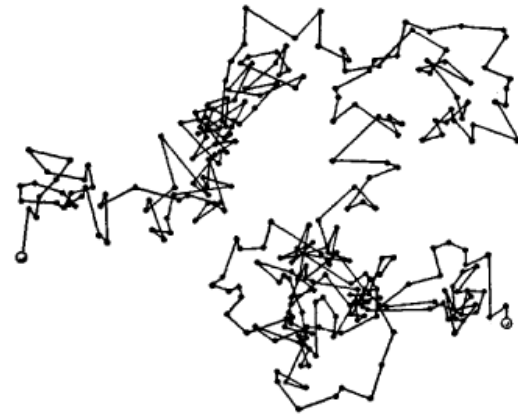
For the particle to eventually thermalize

$$\langle p^2 \rangle = 2mT$$

drag and noise must be related

$$\kappa = \frac{mT}{\gamma_D}$$

Einstein (Fluctuation-Dissipation)



Hydrodynamic equation for critical mode

Equation of motion for critical mode ϕ (“model H”)

$$\frac{\partial \phi}{\partial t} = \kappa \nabla^2 \frac{\delta \mathcal{F}}{\delta \phi} - g \left(\vec{\nabla} \phi \right) \cdot \frac{\delta \mathcal{F}}{\delta \vec{\pi}^T} + \zeta \quad (g = 1)$$

Diffusion Advection Noise

Equation of motion for momentum density π

$$\frac{\partial \vec{\pi}^T}{\partial t} = \eta \nabla^2 \frac{\delta \mathcal{F}}{\delta \vec{\pi}^T} + g \left(\vec{\nabla} \phi \right) \cdot \frac{\delta \mathcal{F}}{\delta \phi} - g \left(\frac{\delta \mathcal{F}}{\delta \vec{\pi}^T} \cdot \vec{\nabla} \right) \vec{\pi}^T + \vec{\xi}$$

Free energy functional: Order parameter ϕ , momentum density $\vec{\pi} = w\vec{v}$

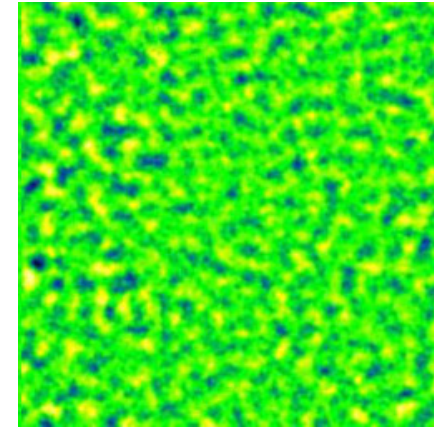
$$\mathcal{F} = \int d^3x \left[\frac{1}{2w} \vec{\pi}^2 + \frac{1}{2} (\vec{\nabla} \phi)^2 + \frac{m^2}{2} \phi^2 + \lambda \phi^4 \right] \quad D = m^2 \kappa$$

Fluctuation-Dissipation relation

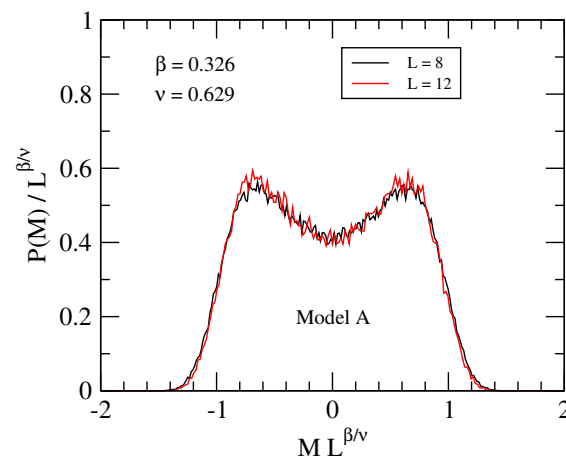
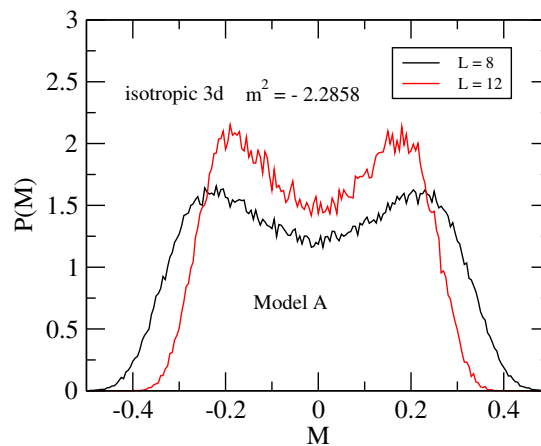
$$\langle \zeta(x, t) \zeta(x', t') \rangle = -2\kappa T \nabla^2 \delta(x - x') \delta(t - t')$$

$$\langle \xi_i(x, t) \xi_j(x', t') \rangle = -2\eta T \nabla^2 P_{ij}^T \delta(x - x') \delta(t - t')$$

ensures $P[\phi, \vec{\pi}] \sim \exp(-\mathcal{F}[\phi, \vec{\pi}]/T)$



Tune m^2 to critical point $m^2 = m_c^2$ (Ising critical point)

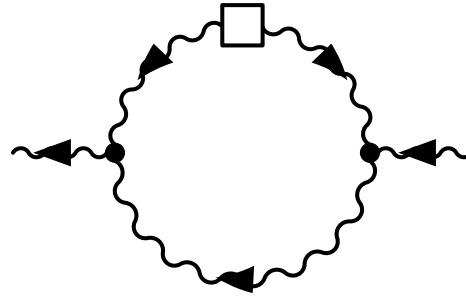


Linearized analysis (non-critical fluid)

Navier-Stokes equation: $\partial_0 \vec{\pi} + \nu \nabla^2 \vec{\pi} = \text{mode couplings} + \text{noise}$

Linearized propagator: $\langle \delta \pi_i^T \delta \pi_j^T \rangle_{\omega, k} = \frac{-\nu \rho k^2 P_{ij}^T}{-i\omega + \nu k^2} \quad \nu = \frac{\eta}{\rho}$

Fluctuation correction:



Renormalized viscosity:

$$\eta = \eta_0 + c_\eta \frac{T \rho \Lambda}{\eta_0} - c_\tau \sqrt{\omega} \frac{T \rho^{3/2}}{\eta_0^{3/2}}$$

Hydro is a renormalizable stochastic theory

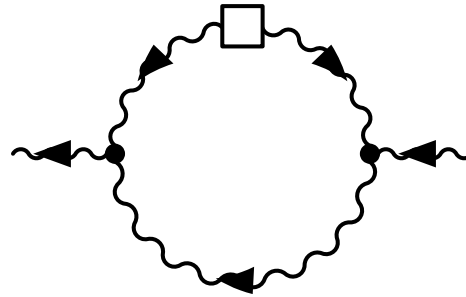
governed by “Schwinger-Keldysh” effective field theory

Linearized analysis (non-critical fluid)

Navier-Stokes equation: $\partial_0 \vec{\pi} + \nu \nabla^2 \vec{\pi} = \text{mode couplings} + \text{noise}$

Linearized propagator: $\langle \delta \pi_i^T \delta \pi_j^T \rangle_{\omega, k} = \frac{-\nu \rho k^2 P_{ij}^T}{-i\omega + \nu k^2} \quad \nu = \frac{\eta}{\rho}$

Fluctuation correction:



Renormalized viscosity:

$$\eta = \eta_0 + c_\eta \frac{T \rho \Lambda}{\eta_0} - c_\tau \sqrt{\omega} \frac{T \rho^{3/2}}{\eta_0^{3/2}}$$

Non-analytic term leads to long-time tail
and breakdown of naive gradient expansion.

Numerical realization

Stochastic relaxation equation (“model A”)

$$\partial_t \psi = -\Gamma \frac{\delta \mathcal{F}}{\delta \psi} + \zeta \quad \langle \zeta(x, t) \zeta(x', t') \rangle = \Gamma T \delta(x - x') \delta(t - t')$$

Naive discretization

$$\psi(t + \Delta t) = \psi(t) + (\Delta t) \left[-\Gamma \frac{\delta \mathcal{F}}{\delta \psi} + \sqrt{\frac{\Gamma T}{(\Delta t) a^3}} \theta \right] \quad \langle \theta^2 \rangle = 1$$

Noise dominates as $\Delta t \rightarrow 0$, leads to discretization ambiguities in the equilibrium distribution.

Idea: Use Metropolis update

$$\psi(t + \Delta t) = \psi(t) + \sqrt{2\Gamma(\Delta t)} \theta \quad p = \min(1, e^{-\beta \Delta \mathcal{F}})$$

Numerical realization

Central observation

$$\begin{aligned}\langle \psi(t + \Delta t, \vec{x}) - \psi(t, \vec{x}) \rangle &= -(\Delta t) \Gamma \frac{\delta \mathcal{F}}{\delta \psi} + O((\Delta t)^2) \\ \langle [\psi(t + \Delta t, \vec{x}) - \psi(t, \vec{x})]^2 \rangle &= 2(\Delta t) \Gamma T + O((\Delta t)^2) .\end{aligned}$$

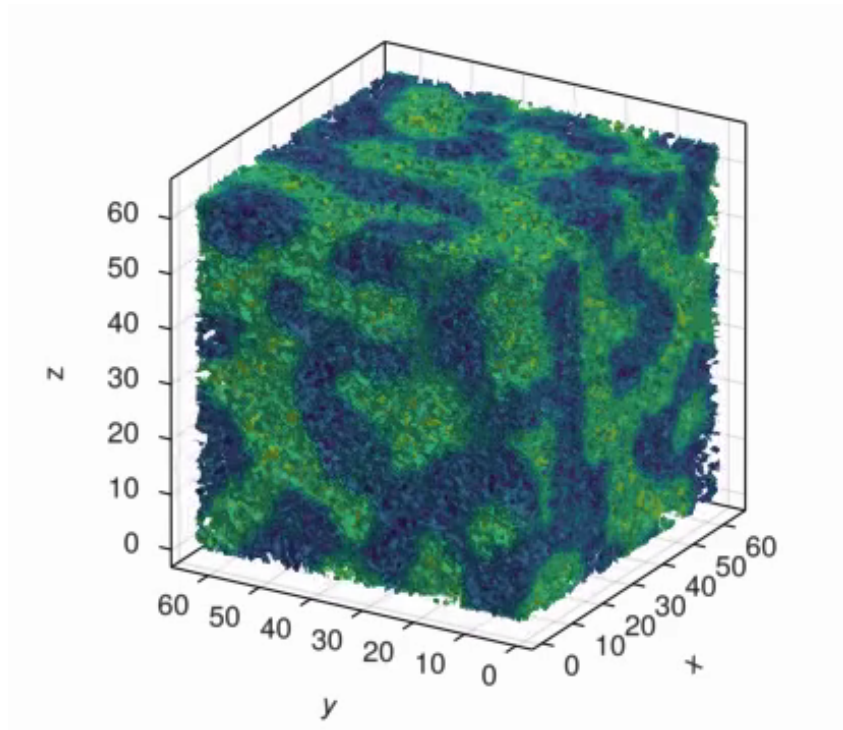
Metropolis realizes both diffusive and stochastic step. Also

$$P[\psi] \sim \exp(-\beta \mathcal{F}[\psi])$$

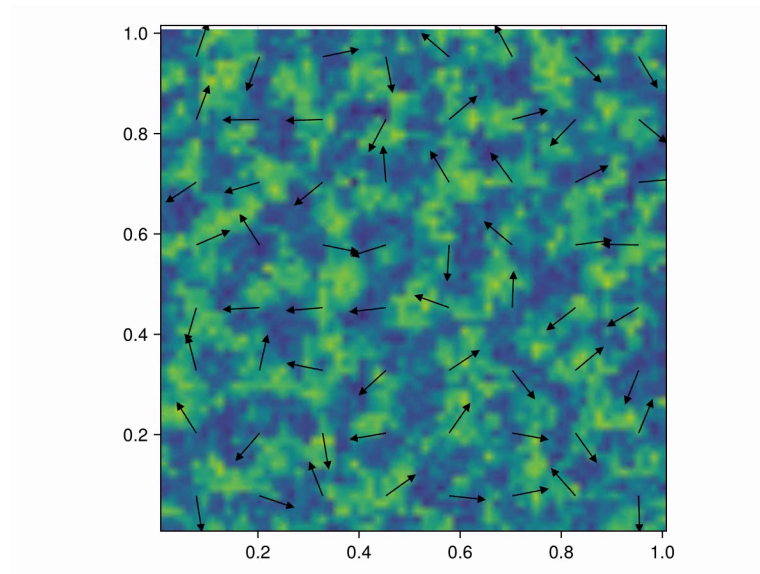
Note: Still have short distance noise; need to adjust bare parameters such as Γ, m^2, λ to reproduce physical quantities.

Numerical results (critical Navier-Stokes)

Order parameter (3d)

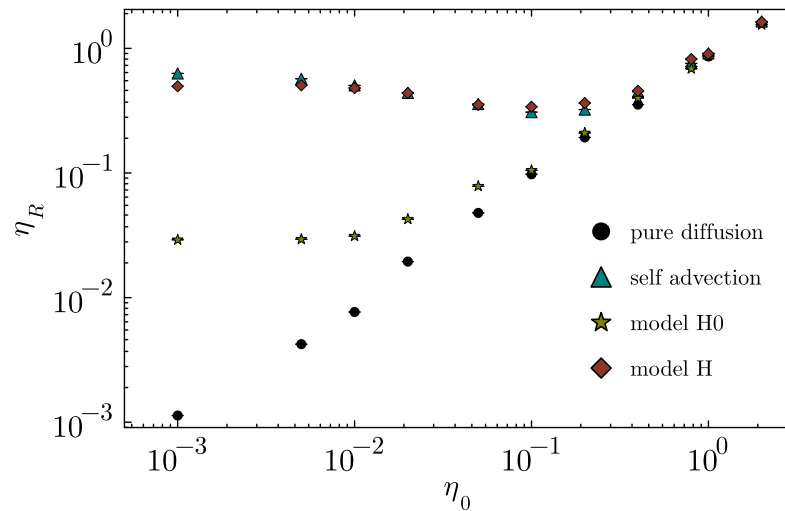


Order parameter/velocity field (2d)



Critical Navier-Stokes (model H)

Renormalized viscosity



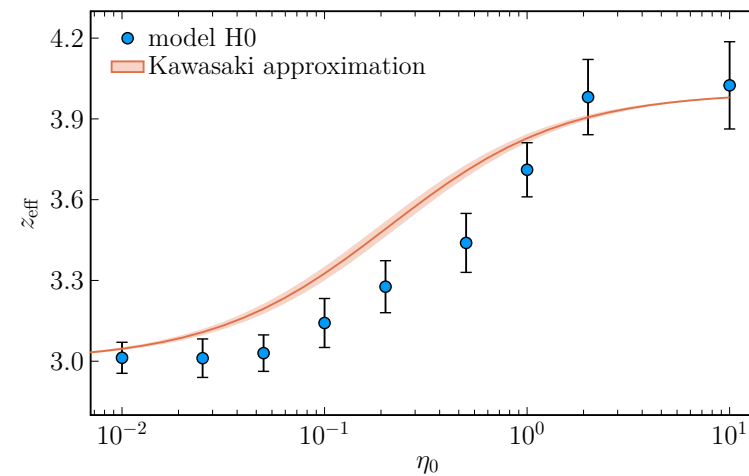
Top: Model H

Middle: No self-advection

Bottom: No advection

Stickiness of shear waves

Dynamic exponent $\tau \sim \xi^z$



small η /large $\xi \leftrightarrow$ large η /small ξ

Shear waves speed up relaxation

Outlook

Opportunity: Discover QCD critical point by observing critical fluctuations in heavy ion collisions. Observe chiral transitions using soft pions and multi-pion correlations.

Challenge: Propagate fluctuations of conserved charges in relativistic fluid dynamics. Describe initial state fluctuations and final state freezeout.

Opportunity: Observe breakdown of hydrodynamics in small systems. Learn about initial state, sub-nucleonic degrees of freedom, and non-hydrodynamic modes.

Challenge: Disentangle initial state and fluid dynamic evolution.

Many interesting lessons about fluid dynamics along the way.