

Bonus Material:  
Chiral Effective Field Theory

# Low energy effective theory for the Goldstone modes

Step 1: Parameterize  $G/H$  =pseudoscalar GB's

$$U(x) : \quad U \rightarrow LUR^\dagger \quad (L, R) \in SU(3)_L \times SU(3)_R$$

Vacuum  $U^{fg} = \delta^{fg}$ . Massless fluctuations ( $G/H$ )

$$U(x) = \exp(i\phi^a \lambda^a / f_\pi) \quad \phi^a = (\pi^\pm, \pi^0, K^\pm, K^0, \bar{K}^0, \eta)$$

Step 2: Write most general G invariant effective lagrangian

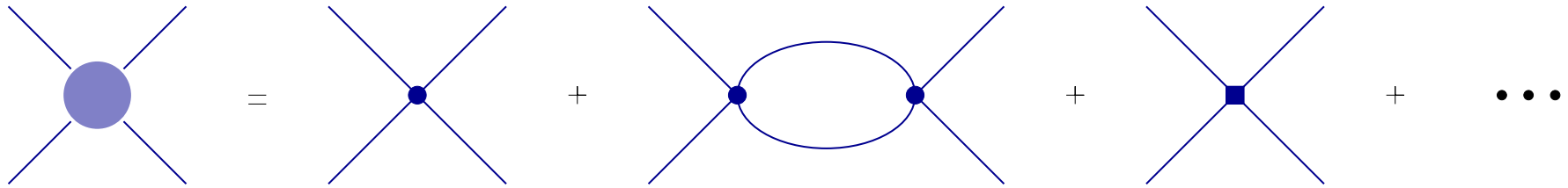
$$\mathcal{L} = \frac{f_\pi^2}{4} \text{Tr}[\partial_\mu U \partial^\mu U^\dagger] + \dots$$

Non-linear sigma model

Expand lagrangian ( $SU(2)$  sector)

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi^a)^2 + \frac{1}{6f_\pi^2} [(\phi^a \partial_\mu \phi^a)^2 - (\phi^a)^2 (\partial_\mu \phi^b)^2] + O\left(\frac{\partial^4}{f_\pi^4}\right)$$

Step 3: Low energy expansion (power counting)

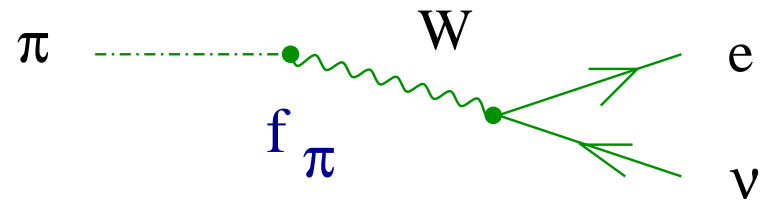


$$T_{\pi\pi} = O(k^2/f_\pi^2) + O((k^2/f_\pi^2)^2)$$

Relation to  $f_\pi$ : Couple weak gauge fields

$$\partial_\mu U \rightarrow (\partial_\mu + igW_\mu^\pm \tau^\mp)U$$

$$\mathcal{L} = gf_\pi W_\mu^\pm \partial^\mu \pi^\mp$$



## Quark Masses

Non-zero quark masses:  $\mathcal{L} = \bar{\psi}_L M \psi_R + \bar{\psi}_R M^\dagger \psi_L$

$$M \rightarrow L M R^\dagger \quad \text{spurion field } M$$

Chiral lagrangian at leading order in  $M$

$$\mathcal{L} = B \text{Tr}[M U] + h.c.$$

Mass matrix  $M = \text{diag}(m_u, m_d, m_s)$ . Minimize effective potential

$$U_{vac} = 1, \quad E_{vac} = -B \text{Tr}[M] \quad \langle \bar{\psi} \psi \rangle = -B$$

Expand around  $U_{vac}$ : pion mass

$$m_\pi^2 f_\pi^2 = (m_u + m_d) \langle \bar{\psi} \psi \rangle$$

Chiral expansion

$$\mathcal{L} = f_\pi^4 \left( \frac{\partial U}{\Lambda_\chi} \right)^m \left( \frac{m_\pi}{\Lambda_\chi} \right)^n \quad \Lambda_\chi = 4\pi f_\pi$$

Bonus Material:

Remarks about  $\chi$ SB and Confinement

## Notes

QCD with general  $N_f, N_c$  (with or without SUSY)

find theories without confinement and/or chiral symmetry breaking

QCD with  $N_f = N_c = 3$

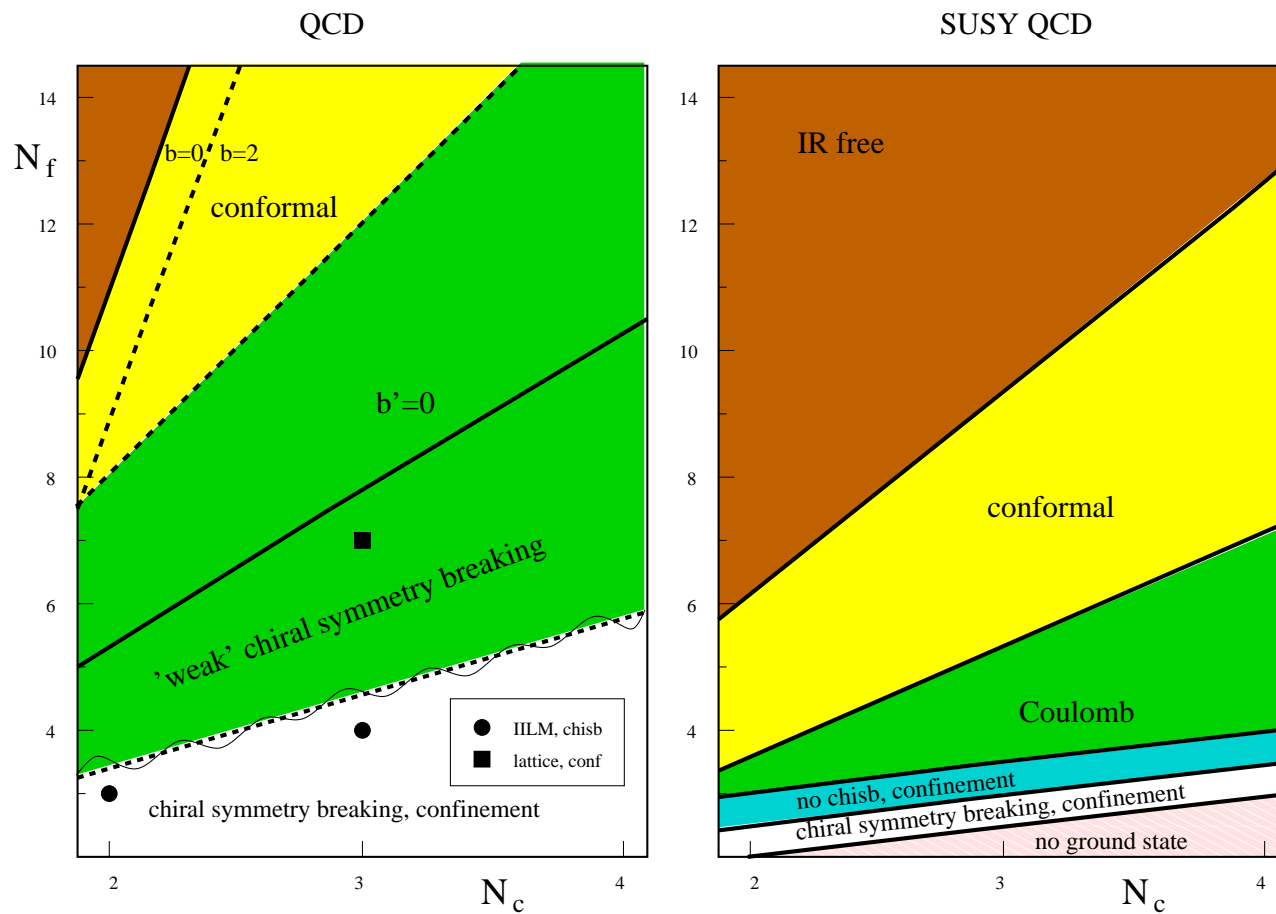
1. Confinement implies chiral symmetry breaking
2. Symmetry breaking pattern  $SU(3)_L \times SU(3)_R \rightarrow SU(3)_V$  unique
3. Order parameter  $\langle \bar{\psi}\psi \rangle \neq 0$

1. Follows from 't Hooft matching conditions

2. Proved in large  $N_c$  limit by Coleman and Witten

3. Kovner and Shifman showed that  $\langle \bar{\psi}\psi \rangle = 0$ ,  $\langle (\bar{\psi}\psi)^2 \rangle \neq 0$  violates Weingarten inequalities.

# QCD Phase Diagram: $N_c$ and $N_f$



Bonus Material:

Sigma Model



## Second Approach: Sigma Model

Simple model based on linear representation of  $SU(2)_L \times SU(2)_R$

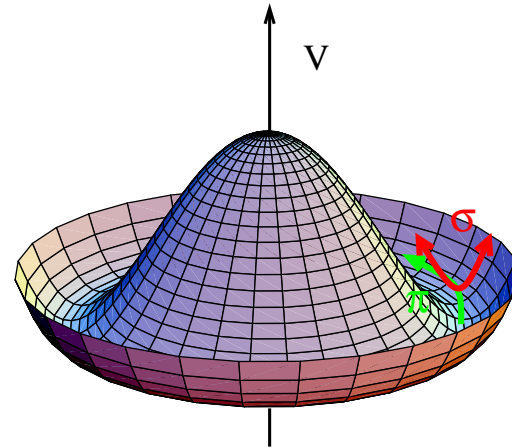
$$\phi^a = (\sigma, \vec{\pi})$$

$$O(4) = SU(2)_L \times SU(2)_R$$

Chirally symmetric lagrangian

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi^a)^2 + V(\phi^a \phi^a)$$

$$V(\phi^a \phi^a) = -\frac{\mu^2}{2} (\phi^a \phi^a) + \frac{\lambda}{4} (\phi^a \phi^a)^2$$



Minimum of potential

$$\partial V / \partial \phi^a = \phi^a (-\mu^2 + \lambda \phi^a \phi^a) = 0 \quad \phi_0^a = (\sigma_0, \vec{0}) \quad \sigma_0^2 = \mu^2 / \lambda$$

Direction fixed by explicit breaking  $\mathcal{L}_{SB} = -c\sigma$

$\sigma_0$  related to pion decay constant

$$\vec{A}_\mu = \sigma \partial_\mu \vec{\pi} + \vec{\pi} \partial_\mu \sigma \simeq \sigma_0 \partial_\mu \vec{\pi} \quad \sigma_0 = f_\pi = 93 \text{ MeV}$$

Consider small oscillations. Equation of motion

$$\delta\mathcal{L}/\delta\phi^a = -\square\phi^a - \partial V/\partial\phi^a = 0$$

Write  $\phi^a = \phi_0^a + \delta\phi^a$

$$\begin{aligned} \square(\delta\phi^a) &= (\phi_0^a + \delta\phi^a) (-\mu^2 + \lambda(\phi_0^a + \delta\phi^a)^2) \\ &= (-\mu^2 + \lambda\phi_0^a\phi_0^a)\phi_0^a + (-\mu^2 + 2\lambda\phi_0^a\phi_0^b + \lambda\delta^{ab}\phi_0^c\phi_0^c)\delta\phi^b + \dots \end{aligned}$$

Split in  $(\sigma, \pi)$  components

$$\square(\delta\sigma) = (-\mu^2 + 3\lambda\sigma_0^2) \delta\sigma \quad m_\sigma^2 = 2\mu^2$$

$$\square(\delta\vec{\pi}) = (-\mu^2 + \lambda\sigma_0^2) \delta\vec{\pi} \quad m_\pi^2 = 0$$

# Thermal Fluctuations

Write  $\phi^a = \langle \phi^a \rangle + \tilde{\phi}^a$  where  $\tilde{\phi}^a$  is a thermal fluctuation. Use

$$\begin{aligned}\langle \tilde{\phi}^a \rangle &= 0 \\ \langle \tilde{\phi}^a \tilde{\phi}^b \rangle &= (\delta^{ab} / 4) \langle \tilde{\phi}^a \tilde{\phi}^a \rangle \\ \langle \tilde{\phi}^a \tilde{\phi}^b \tilde{\phi}^c \rangle &= 0\end{aligned}$$

Equation of motion for  $\langle \phi^a \rangle$  (use  $1/N$ )

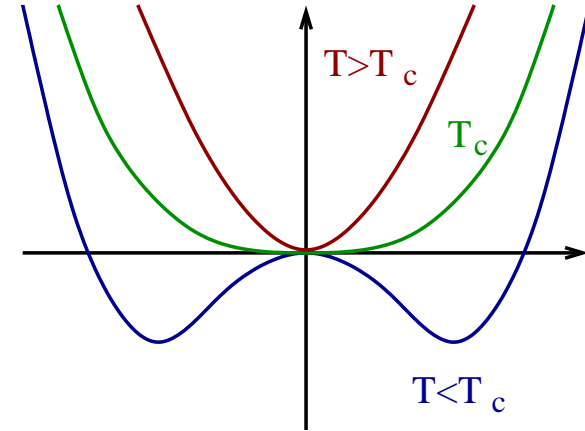
$$\begin{aligned}\square \langle \phi^a \rangle &= -\mu^2 \langle \phi^a \rangle + \lambda \langle \left( \langle \phi^a \rangle + \tilde{\phi}^a \right) \left( \langle \phi^b \rangle + \tilde{\phi}^b \right)^2 \rangle \\ &= -\mu^2 \langle \phi^a \rangle + \lambda \langle \phi^a \rangle \left[ \langle \phi^b \rangle^2 + \langle \tilde{\phi}^b \tilde{\phi}^b \rangle \right]\end{aligned}$$

Fluctuations tend to restore symmetry

## Thermal averages

$$\vec{\pi}_T = 0$$

$$\sigma_T^2 = f_\pi^2 \left( 1 - \frac{1}{f_\pi^2} \langle \tilde{\phi}^a \tilde{\phi}^a \rangle \right)$$



## Gaussian fluctuations ( $m = 0$ )

$$\langle \tilde{\phi}^a \tilde{\phi}^a \rangle = \int \frac{d^3 k}{(2\pi)^3} \frac{1}{\omega_k} \frac{1}{e^{\beta\omega_k} - 1} = \frac{T^2}{12}$$

## Critical temperature (3 light d.o.f.)

$$\sigma_T^2 = f_\pi^2 \left( 1 - \frac{T^2}{3f_\pi^2} \right)$$

$$T_c = \sqrt{3} f_\pi \simeq 150 \text{ MeV}$$

Bonus Material:  
Universality

# Universality

Chiral phase transition might be continuous (2nd order)

Near  $T_c$  masses go to zero and correlation length diverges

Physics independent of microscopic details

Long distance behavior is universal

Only depends on symmetries of the order parameter

Landau-Ginzburg effective action

$$F = \int d^3x \left\{ \frac{1}{2} (\vec{\nabla} \phi^a)^2 + \frac{\mu^2}{2} (\phi^a \phi^a) + \frac{\lambda}{4} (\phi^a \phi^a)^2 + \dots \right\}$$

Consider  $\lambda > 0$ ,  $\mu^2(T_c) = 0$

## Universality

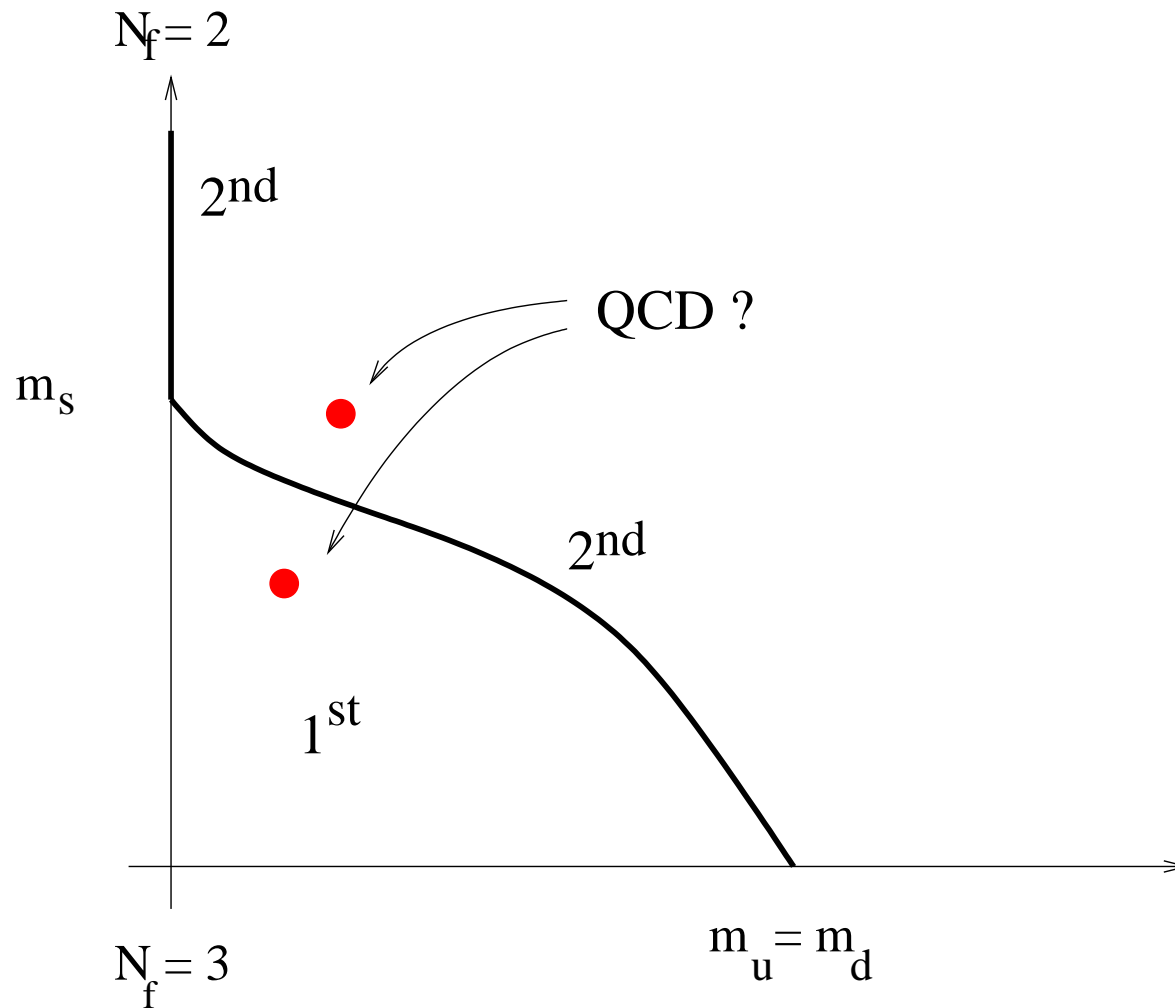
$SU(2)_L \times SU(2)_R$	QCD	$\equiv$	$O(4)$	magnet
$\langle \bar{\psi}\psi \rangle$	$\chi$ condensate		$\vec{M}$	magnetization
$m_q$	quark mass		$H_3$	magnetic field
$\vec{\pi}$	pions		$\vec{\phi}$	spin waves

## Predictions

$C \sim t^\alpha$	$\alpha = -0.19$	$t = (T - T_c)/T$
$\langle \bar{\psi}\psi \rangle \sim t^\beta$	$\beta = 0.38$	from $\epsilon$ expansion,
$m_\pi \sim t^\nu$	$\nu = 0.73$	numerical simulations

$N_f = 3$  : extra cubic invariant  $\det(\phi)$ , 2nd order transition unstable

$N_f = 3$  transition is 1st order



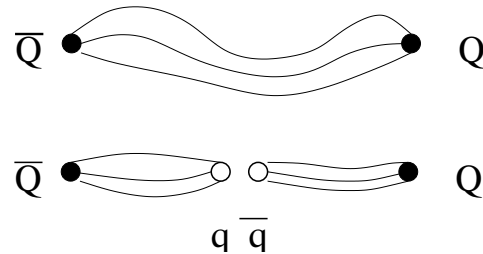


# Universality: Confinement

Confinement characterized by heavy quark potential

$$V(r) \sim kr$$

$$k \sim 1 \text{ GeV/fm}$$

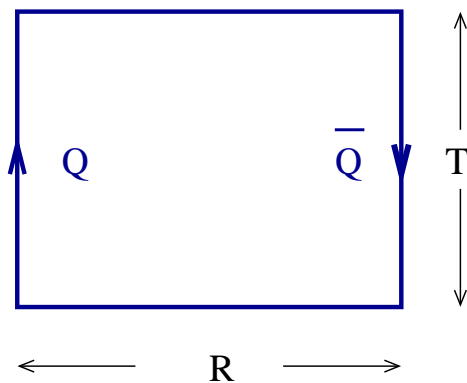


Propagator for heavy quark

$$\left( i\partial_0 + gA_0 + \vec{\alpha}(i\vec{\nabla} + g\vec{A}) + \gamma_0 M \right) \psi = 0$$

$$S(x, x') \simeq \exp \left( ig \int A_0 dt \right) \left( \frac{1 + \gamma_0}{2} \right) e^{im(t-t')} \delta(\vec{x} - \vec{x}')$$

Potential related to Wilson loop



$$W(R, T) = \exp \left( ig \oint A_\mu dz_\mu \right)$$

Have  $W(R, T) = \exp(-E \cdot T) = \exp(-V(R)T)$

$$W(R, T) \sim \exp(-kA) \quad \text{Confinement} \equiv \text{AreaLaw}$$

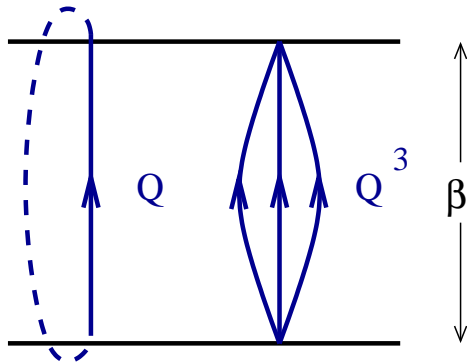
Local order parameter? Polyakov line

$$P(\vec{x}) = \frac{1}{N_c} \text{Tr}[L(\vec{x})] = \frac{1}{N_c} \text{PTr} \left[ \exp \left( ig \int_0^\beta A_0 dt \right) \right]$$

Naive Interpretation:  $\langle P \rangle \sim \exp(-m_Q \beta)$

$$\langle P \rangle = 0 \quad \text{confined} \quad \langle P \rangle \neq 0 \quad \text{deconfined}$$

Symmetry: Consider  $L \rightarrow zL$   $z = \exp(2\pi ki/N_c) \in Z_{N_c}$



$$\begin{aligned} \text{Tr}[L(\vec{x})] &\rightarrow z \text{Tr}[L(\vec{x})] \\ \text{Tr}[L(\vec{x})^3] &\rightarrow \text{Tr}[L(\vec{x})^3] \end{aligned}$$

Polyakov line:  $P \rightarrow zP$

$$\langle P \rangle = 0 \quad Z_{N_c} \text{ unbroken} \quad T < T_c$$

$$\langle P \rangle \neq 0 \quad Z_{N_c} \text{ broken} \quad T > T_c$$

Landau-Ginzburg Theory (cubic invariant:  $SU(3)$  only)

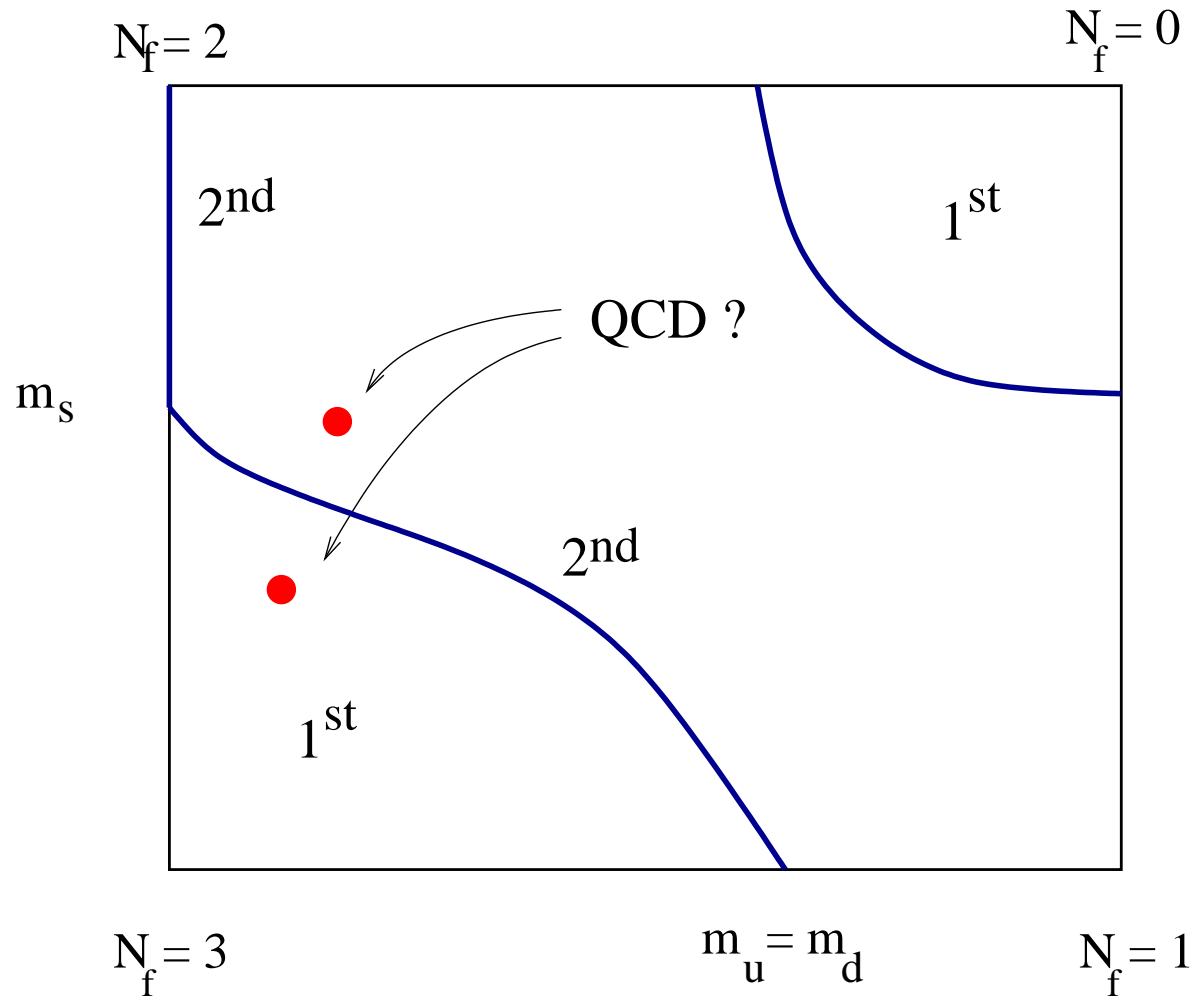
$$F = \int d^3x \left\{ \frac{1}{2} |\vec{\nabla} P|^2 + \mu^2 |P|^2 + g \text{Re}(P^3) + \lambda |P|^4 + \dots \right\}$$

Predictions

$SU(2)$ -color: 2nd order

$SU(3)$ -color: 1st order

# Summary: Universality



Bonus Material:  
Partition Function of Free Gas

## Example: Free energy of non-interacting bosons

Partition function:  $Z = [\det(p^2 + m^2)]^{-1/2}$

$$\log Z = -\frac{1}{2} \sum_n \log(\omega_n^2 + \omega^2) \quad \omega^2 = \vec{p}^2 + m^2$$

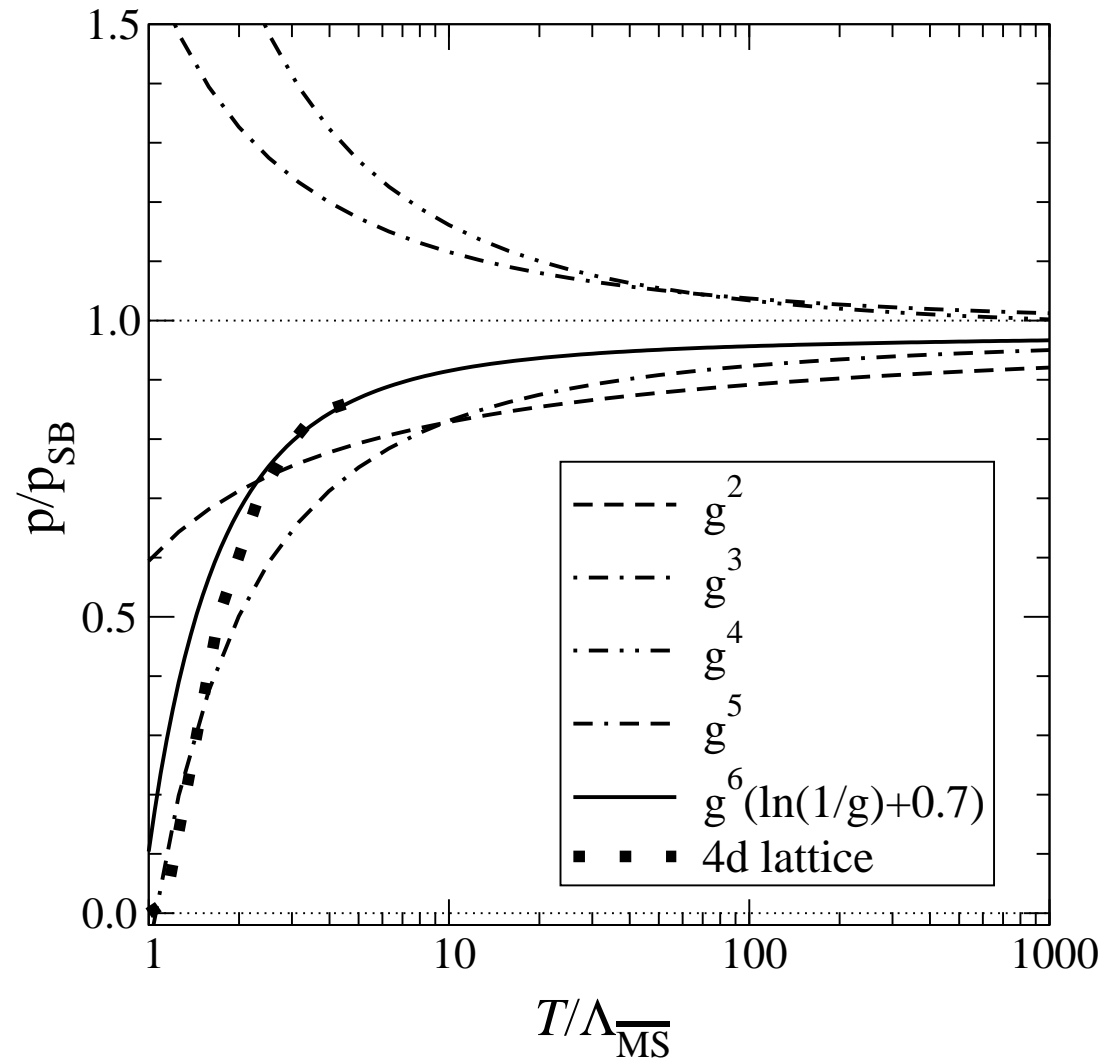
Consider derivative with respect to  $\omega^2$

$$\frac{d \log Z}{d\omega^2} = -\frac{1}{2} \sum_n \frac{1}{\omega_n^2 + \omega^2}$$

Use bosonic Matsubara sum and integrate back

$$-T \log Z = \frac{\omega}{2} + \frac{1}{\beta} \log(1 - e^{-\beta\omega})$$

# Weak Coupling Thermodynamics



Bonus Material:

Kinetic Theory and Shear Viscosity



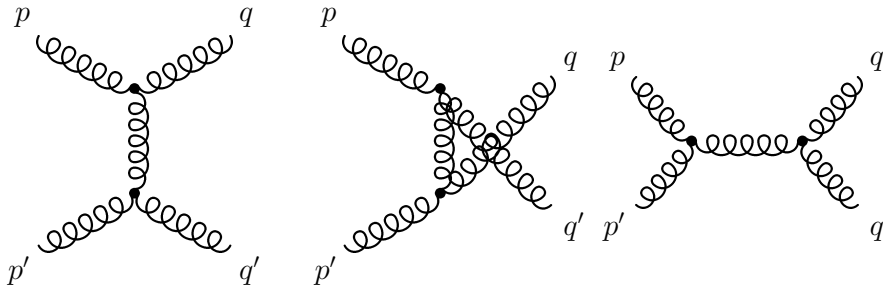
# Kinetic Theory

Boltzmann equation

$$\frac{\partial f_p}{\partial t} + \vec{v} \cdot \vec{\nabla}_x f_p + \vec{F} \cdot \vec{\nabla}_p f_p = C[f_p]$$

Collision term  $C[f_p] = C_{gain} - C_{loss}$

$$C_{loss} = \int dp' dq dq' f_p f_{p'} w(p, p'; q, q') \quad C_{gain} = \dots$$



$$C[f_p^0] = 0 \quad (equ.)$$

Linearized theory (Chapman-Enskog):  $f_p = f_p^0(1 + \chi_p/T)$

$$C[f_p] \equiv C_p \chi_p \quad \text{linear collision operator}$$

Linear response to flow gradient

$$f_p = \exp(-(E_p - \vec{p} \cdot \vec{v})/(kT))$$

Drift term proportional to “driving term” ( $v_{ij} = \partial_i v_j + \partial_j v_i - \text{trace}$ )

$$\frac{\partial f_p}{\partial t} + \vec{v} \cdot \vec{\nabla}_x f_p \equiv X \quad X \equiv p_i p_j v_{ij}$$

Boltzmann equation

$$C_p \chi_p = X \quad \chi_p \equiv g_p p_i p_j v_{ij}$$

Viscosity  $T_{ij} = T_{ij}^0 + \eta v_{ij}$

$$\eta \sim \langle X | \chi \rangle \quad \langle \chi | X \rangle = \int d^3 p f_p^0 (\chi_p \cdot p_i p_j v_{ij})$$

$$\eta \sim \langle \chi | C_p | \chi \rangle$$

## Variational principle

$$\langle \chi_{var} | C_p | \chi_{var} \rangle \langle \chi | C_p | \chi \rangle \geq \langle \chi_{var} | C_p | \chi \rangle^2 = \langle \chi_{var} | X \rangle^2$$

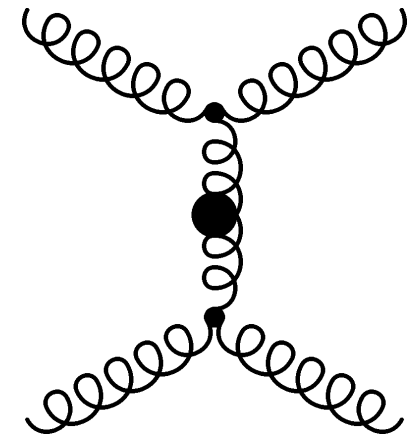
## Variational bound

$$\eta \geq \frac{\langle \chi_{var} | X \rangle^2}{\langle \chi_{var} | C | \chi_{var} \rangle}$$

Best bound for  $g_p \sim p^\alpha$  ( $\alpha \simeq 0.1$ )

$$\eta = \frac{0.34T^3}{\alpha_s^2 \log(1/\alpha_s)}$$

$\log(\alpha)$  from dynamic screening



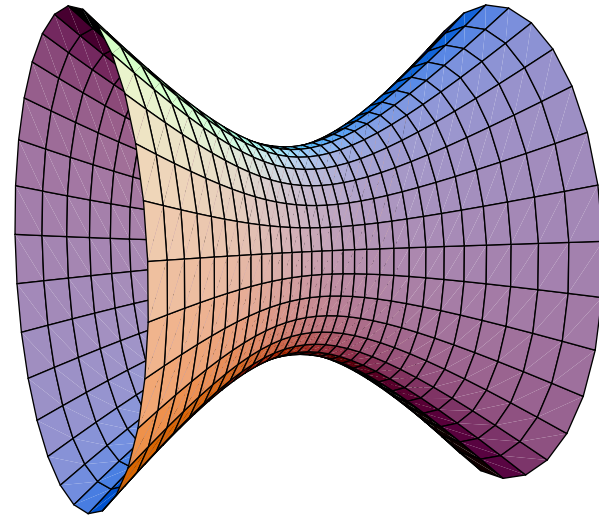
Bonus Material:

AdS/CFT

# Anti-DeSitter Space

Consider a hyperboloid embedded in 6-d euclidean space

$$-R^2 = \sum_{i=1,4} x_i^2 - x_0^2 - x_5^2$$



This is a space of constant negative curvature, and a solution of the Einstein equation

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = \frac{1}{2}g_{\mu\nu}\Lambda$$

with negative cosmological constant. Isometries of  $AdS_5$ :  $SO(4, 2)$

Many possible choices of coordinates. Witten uses

$$ds^2 = \frac{1}{z^2} (-dz^2 + \eta_{\mu\nu}dx^\mu dx^\nu)$$

## $\mathcal{N} = 4$ Supersymmetric Yang-Mills Theory

Fields: Gluons, Gluinos, Higgses; all in the adjoint of  $SU(N_c)$

$$\mathcal{L} = \frac{1}{4} (F_{\mu\nu}^a)^2 + \bar{\lambda}_A^a \sigma^\mu (D_\mu \lambda^A)^a + (D_\mu \Phi_{AB})^a (D_\mu \Phi^{AB})^a + \dots$$

$$A_\mu^a$$

$$\lambda_A^a (\bar{4}_R)$$

$$\Phi_{AB}^a (6_R)$$

Global symmetries: Conformal and  $SU(4)_R$

$$SO(4, 2) \times SU(4)_R$$

Properties: Conformal  $\beta(g) = 0$ , extra scalars, no fundamental fermions, no chiral symmetry breaking, no confinement

Bonus Material:

Simple Model of Phase Diagram in  $\mu - T$  Plane

## QQ vs $\bar{Q}Q$ Condensation

Schematic interaction:  $\mathcal{L} = G(\bar{\psi}\gamma_\mu\lambda^a\psi)^2$

$$\mathcal{L} = G_M(\bar{\psi}\psi)^2 + \dots \quad \mathcal{L} = G_D(\psi C\gamma_5\tau_2\lambda_2\psi)(h.c.) + \dots$$

$\bar{Q}Q$  gap equation

$$M = m_0 + G_M\langle\bar{q}q\rangle \quad \langle\bar{q}q\rangle = -\frac{3}{\pi^2} \int p^2 dp \frac{M}{E_p} (1 - n_F)$$

QQ gap equation

$$\Delta = G_D\langle qq\rangle \quad \langle qq\rangle = \frac{1}{4\pi^2} \int p^2 dp \frac{\Delta}{[(E_p - \mu)^2 + \Delta^2]^{1/2}}$$

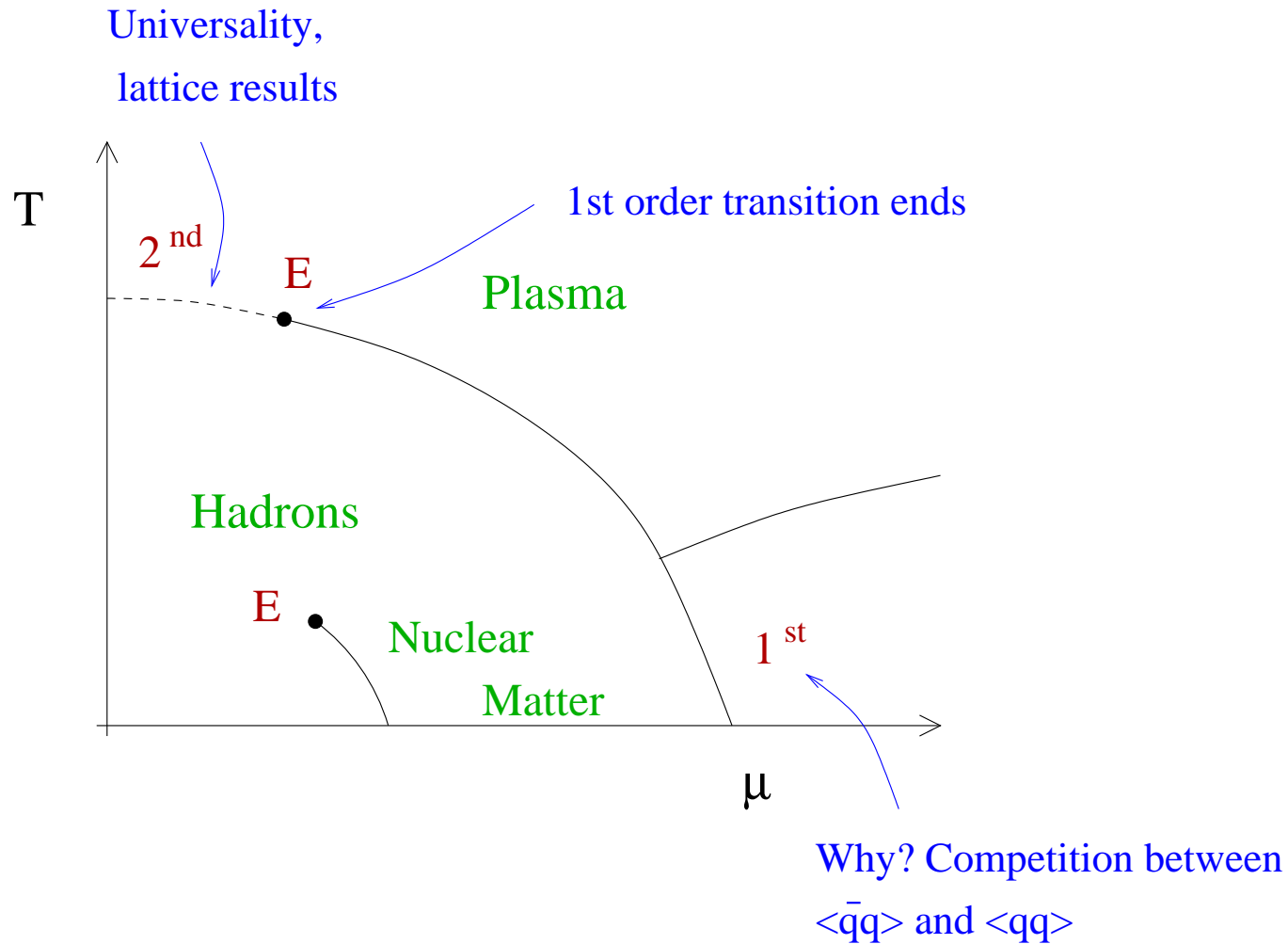
Condensation energy

$$E_{\bar{Q}Q} = -f_\pi^2 M^2 \quad E_{QQ} = \frac{\mu^2}{2\pi^2} \Delta^2$$

$G_M > G_D$  favors  $\bar{Q}Q$        $\mu > 0$  favors  $QQ$



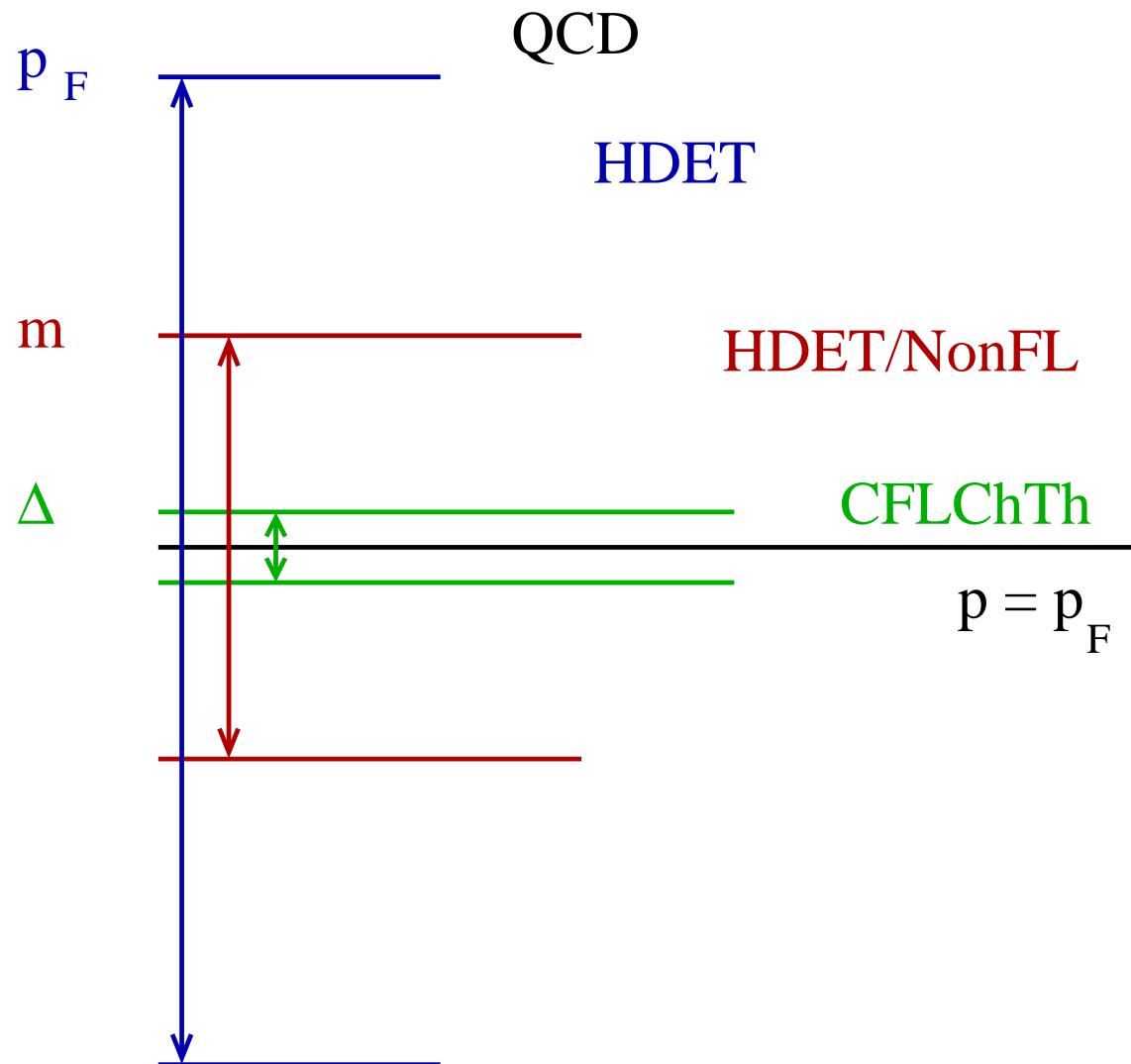
# Phase Diagram: Second Revision



critical endpoint (E) persists even if  $m \neq 0$

Bonus Material:  
Effective Theories of the CFL Phase

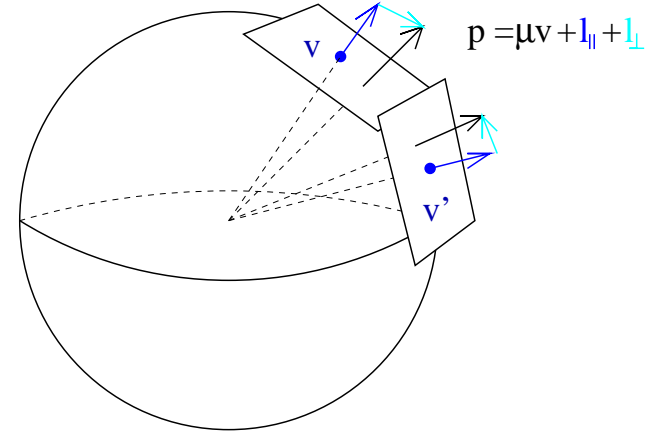
# Very Dense Matter: Effective Field Theories



# High Density Effective Theory

Effective field theory on  $v$ -patches

$$\psi_{v\pm} = e^{-i\mu v \cdot x} \left( \frac{1 \pm \vec{\alpha} \cdot \vec{v}}{2} \right) \psi$$



Effective lagrangian for  $p_0 < m$

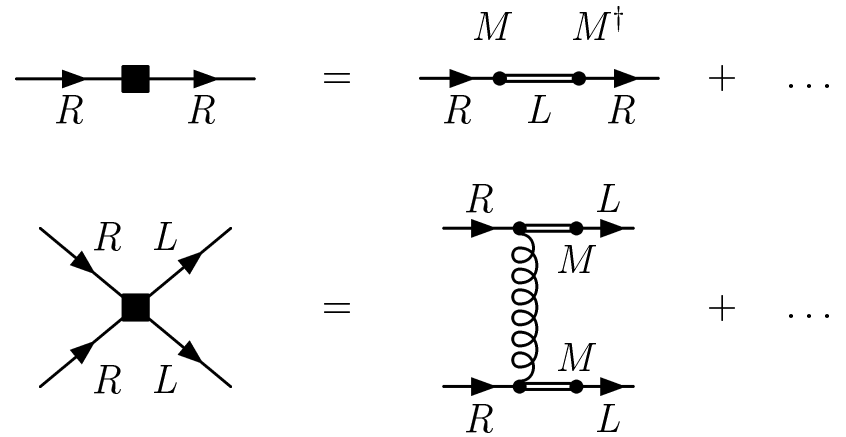
$$\mathcal{L} = \psi_v^\dagger \left( i v \cdot D - \frac{D_{\perp}^2}{2\mu} \right) \psi_v + \mathcal{L}_{4f} - \frac{1}{4} G_{\mu\nu}^a G_{\mu\nu}^a + \mathcal{L}_{HDL}$$

$$\mathcal{L}_{HDL} = -\frac{m^2}{2} \sum_v G_{\mu\alpha}^a \frac{v^\alpha v^\beta}{(v \cdot D)^2} G_{\mu\beta}^b$$

## Mass Terms: Match HDET to QCD

$$\mathcal{L} = \psi_R^\dagger \frac{MM^\dagger}{2\mu} \psi_R + \psi_L^\dagger \frac{M^\dagger M}{2\mu} \psi_L$$

$$+ \frac{V_M^0}{\mu^2} (\psi_R^\dagger M \lambda^a \psi_L) (\psi_R^\dagger M \lambda^a \psi_L)$$



mass corrections to FL parameters  $\hat{\mu}_{L,R}$  and  $V^0(RR \rightarrow LL)$

## EFT in the CFL Phase

Consider HDET with a CFL gap term

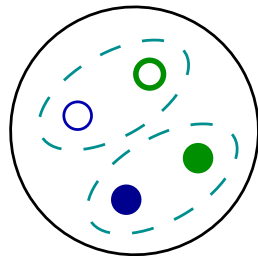
$$\mathcal{L} = \text{Tr} \left( \psi_L^\dagger (i v \cdot D) \psi_L \right) + \frac{\Delta}{2} \left\{ \text{Tr} (X^\dagger \psi_L X^\dagger \psi_L) - \kappa [\text{Tr} (X^\dagger \psi_L)]^2 \right\} \\ + (L \leftrightarrow R, X \leftrightarrow Y)$$

$$\psi_L \rightarrow L \psi_L C^T, \quad X \rightarrow L X C^T, \quad \langle X \rangle = \langle Y \rangle = \mathbb{1}$$

Quark loops generate a kinetic term for  $X, Y$

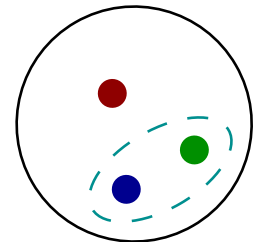
Integrate out gluons, identify low energy fields ( $\xi = \Sigma^{1/2}$ )

$$\Sigma = X Y^\dagger$$



[8]+[1] GBs

$$N_L = \xi (\psi_L X^\dagger) \xi^\dagger$$



[8]+[1] Baryons

# Effective theory: (CFL) baryon chiral perturbation theory

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$$\begin{aligned}
 \mathcal{L} = & \frac{f_\pi^2}{4} \left\{ \text{Tr} (\nabla_0 \Sigma \nabla_0 \Sigma^\dagger) - v_\pi^2 \text{Tr} (\nabla_i \Sigma \nabla_i \Sigma^\dagger) \right\} \\
 & + A \left\{ [\text{Tr} (M \Sigma)]^2 - \text{Tr} (M \Sigma M \Sigma) + h.c. \right\} \\
 & + \text{Tr} (N^\dagger i v^\mu D_\mu N) - D \text{Tr} (N^\dagger v^\mu \gamma_5 \{ \mathcal{A}_\mu, N \}) \\
 & - F \text{Tr} (N^\dagger v^\mu \gamma_5 [ \mathcal{A}_\mu, N ]) + \frac{\Delta}{2} \left\{ \text{Tr} (N N) - [\text{Tr} (N)]^2 \right\}
 \end{aligned}$$

$$\nabla_0 \Sigma = \partial_0 \Sigma + i \hat{\mu}_L \Sigma - i \Sigma \hat{\mu}_R$$

$$D_\mu N = \partial_\mu N + i [ \mathcal{V}_\mu, N ]$$

$$f_\pi^2 = \frac{21 - 8 \log 2}{18} \frac{\mu^2}{2\pi^2} \quad v_\pi^2 = \frac{1}{3} \quad A = \frac{3\Delta^2}{4\pi^2} \quad D = F = \frac{1}{2}$$

## Phase Structure and Spectrum

Phase structure determined by effective potential

$$V(\Sigma) = \frac{f_\pi^2}{2} \text{Tr} (\hat{\mu}_L \Sigma \hat{\mu}_R \Sigma^\dagger) - A \text{Tr}(M \Sigma^\dagger) - B_1 [\text{Tr}(M \Sigma^\dagger)]^2 + \dots$$

$$V(\Sigma_0) \equiv \textit{min}$$

Fermion spectrum determined by

$$\mathcal{L} = \text{Tr} (N^\dagger i v^\mu D_\mu N) + \text{Tr} (N^\dagger \gamma_5 \rho_A N) + \frac{\Delta}{2} \left\{ \text{Tr} (N N) - [\text{Tr} (N)]^2 \right\},$$

$$\rho_{V,A} = \frac{1}{2} \left\{ \xi \hat{\mu}_L \xi^\dagger \pm \xi^\dagger \hat{\mu}_R \xi \right\} \quad \xi = \sqrt{\Sigma_0}$$